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Divergent trajectories of antiviral memory after SARS-Cov-2 infection

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78 Contributions

79 EB, PK, CD and SJD conceptualised the study. SJD, PK, EB, CPC, DTS and LS designed and oversaw 80 the clinical study. LS, DE, KJ, PM, AJM, PG, SAJ, ST, the OPTIC Study Group and the C-MORE Group 81 contributed to the implementation of the clinical study. AO, AT, CD, DOC, DTS, MP, MWC, WSJ, EB, 82 PK, and SJD designed and oversaw the laboratory studies. AJP, AO, CD, EA, JF, PJG, MWC, WSJ, 83 EB, PK, SJD and TL were responsible for the implementation of the laboratory testing, while AB, ACH, 84 AF, AL, BC, BK, CH, CJ, CP, CS, EAC, FA, HB, HS, JG, JG-J, JH, JM, KA, LB, LS-R, LSt, LT, MAA, 85 MA, MLK, PR, RH, SB, SB-R, SL, SM, ST, STh, TJ, TT, TW, VAV, YL were responsible for laboratory 86 testing and assay development. AT and DOC undertook the advanced data analysis. AO, AT, CD, 87 DOC, DTS, EB, PK, and SJD prepared the manuscript, which was reviewed by all contributing authors. 88 All other authors contributed to the implementation of the study and data collection. 89

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- 91

92 Competing Interests

93 DWE declares lecture fees from Gilead, outside the submitted work. No other competing interests94 declared.

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 variants of concern, COVID-19

98

99 Abstract

100 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection is normally controlled by 101 effective host immunity including innate, humoral and cellular responses. However, the trajectories and 102 correlates of acquired immunity, and the capacity of memory responses months after infection to 103 neutralise variants of concern - which has important public health implications - is not fully understood. 104 To address this, we studied a cohort of 78 UK healthcare workers who presented in April to June 2020 105 with symptomatic PCR-confirmed infection or who tested positive during an asymptomatic screening 106 programme and tracked virus-specific B and T cell responses longitudinally at 5-6 time points each over 107 6 months, prior to vaccination. We observed a highly variable range of responses, some of which - T 108 cell interferon-gamma (IFN-y) ELISpot, N-specific antibody waned over time across the cohort, while 109 others (spike-specific antibody, B cell memory ELISpot) were stable. In such cohorts, antiviral antibody 110 has been linked to protection against re-infection. We used integrative analysis and a machine-learning 111 approach (SIMON - Sequential Iterative Modeling Over Night) to explore this heterogeneity and to 112 identify predictors of sustained immune responses. Hierarchical clustering defined a group of high and 113 low antibody responders, which showed stability over time regardless of clinical presentation. These 114 antibody responses correlated with IFN-y ELISpot measures of T cell immunity and represent a 115 subgroup of patients with a robust trajectory for longer term immunity. Importantly, this immune-116 phenotype associates with higher levels of neutralising antibodies not only against the infecting 117 (Victoria) strain but also against variants B.1.1.7 (alpha) and B.1.351 (beta). Overall memory responses 118 to SARS-CoV-2 show distinct trajectories following early priming, that may define subsequent protection 119 against infection and severe disease from novel variants.

120 Introduction

121 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), an RNA virus that causes 122 coronavirus disease 2019 (COVID-19), first emerged in humans in December 2019 and has since 123 spread globally, with more than 3.56 million deaths reported world-wide (June 2021 124 https://coronavirus.jhu.edu/map.html). Although the majority of infections cause asymptomatic or mild 125 disease, a significant minority develop a severe illness, requiring hospitalisation, oxygen support, and 126 invasive ventilation ¹. Healthcare workers (HCW) have been at the forefront of caring for patients with 127 SARS-CoV-2 infection in community and hospital environments during the pandemic. High exposure 128 rates have meant that a significant proportion of HCW have become infected and HCW most commonly 129 infected are those working on the front line in patient facing roles, predominantly in acute medical 130 specialities². Older age, comorbidities and male sex remain the dominant factors that predispose to 131 severe outcomes ³ – since HCW are predominantly younger and female ², most have developed mild 132 disease, although deaths are widely reported in this population.

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134 Starting early in the pandemic, we and others have sought to characterise the immune responses during 135 SARS-CoV-2 infection that are associated with viral clearance and disease severity. SARS-CoV-2 136 infection has been associated with the generation of high magnitude, broad T cell responses and high 137 titres of immunoglobulin G (IgG) targeting SARS-CoV-2 spike and nucleoprotein (NP) antigens, 138 particularly in severe COVID-19⁴. Asymptomatic infection, that appears more common in younger 139 people, may be associated with discordant T cell and humoral immunity with both the absence of IgG 140 seroconversion in the presence of detectable T cell responses ^{5,6} or conversely the presence of IgG in 141 the absence of T cell immune responses 7. However, more recently critical questions have emerged 142 that include the durability of immune responses following initial infection, the quality of these responses. 143 immune correlates of protection from re-infection, and the capacity of these responses to neutralise 144 new variants of concern (VOC) that have emerged globally. These questions have become paramount 145 following the development of effective vaccines for COVID-19, since deployment of these has been 146 limited by vaccine supply, concerns around adverse events and vaccine hesitancy. Furthermore, to 147 manage limited vaccine resource, people with previous infection are now being offered a single vaccine 148 dose 6 months after infection in many European countries (France, Germany, Spain, and Italy)⁸, on 149 the assumption that natural immunity will protect from re-infection.

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151 An in depth understanding of immune responses after SARS-CoV-2 infection, and how these change 152 over time, will be critical to understanding who is susceptible to re-infection and to inform vaccine 153 strategies. Currently, the precise correlates of immune protection from subsequent infection after 154 primary disease, or after vaccination, are unknown. Previous reports suggest SARS-CoV-2 IgG 155 antibodies ⁹ and previous exposure to seasonal coronaviruses (CoV) ¹⁰ are protective against 156 subsequent SARS-CoV-2 infection. However, since the magnitude of T and B cell responses correlate 157 with each other ¹¹, dissecting the role of these immune subsets in protection from re-infection or severe 158 disease on re-exposure is challenging. Several groups have now reported that SARS-CoV-2 specific T 159 and B cells decline after acute disease ^{12, 13, 14, 15, 16}, but there is high heterogeneity between individuals

160 in the levels of measurable immunity in different compartments it is unclear how or if the kinetics of this 161 decline correlate with protection from subsequent infection. Concerns have been raised that SARS-162 CoV-2 re-infection associated with waning immunity is plausible, particularly since the seasonal 163 coronaviruses, closely related to SARS-CoV-2, commonly re-infect the same host ^{17, 18}. However, 164 waning of immune responses following acute infection, or vaccination is well recognised as part of the 165 normal evolution of memory responses, and reports describing decline in immune responses have 166 focused on ex vivo responses that may not reflect the memory recall potential of viral specific T and B 167 cells responses. A particular concern is the identification of SARS-CoV-2 variants of concern (VOC) 168 (B.1.1.7 - alpha, B.1.351 - beta, P.1 - gamma and B.1.617.2 - delta), with mutations which are 169 associated with an increase in transmissibility, severity or escape from vaccine or SARS-CoV-2-induced immunity ^{19, 20, 21, 22, 23, 24, 25, 26, 27}. Immune escape, with a failure to neutralise the VOC, in live viral assays 170 171 in vitro, appear following vaccination and after SARS-CoV-2 infection, and is pronounced in the context 172 of lower antibody titres measured against the initial pandemic strain (B/Victoria).

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174 Since April 2020, we have followed a cohort of SARS-CoV-2 infected HCW prospectively over time at 175 Oxford University Hospital NHS Foundation Trust. Seventy-eight HCW infected during the UK's "first 176 wave" (defined by positive PCR and seropositive for anti-spike antibodies) were assessed at up to six 177 timepoints and followed for six months in 2020, pre-vaccination, with multiple immune parameters 178 evaluated in more than 430 blood draws. Our aims are to characterise memory T and B cell responses 179 following infection, and to determine the interactions between clinical presentation and the generation 180 and maintenance of T and B cell responses over time. We assess the association of exposure to 181 seasonal coronaviruses and symptomatic SARS-CoV-2 disease with the durability of SARS-CoV-2 182 specific responses. We evaluate the predictive value of clinical and immune parameters measured early 183 after infection on the durability of immune responses using an integrative analysis with a machine 184 learning platform (SIMON) ^{28, 29}. Using this approach, we define a group of high and low antibody 185 responders with a differential capacity to neutralise the VOC. 186

187 Methods and materials

- 188 Detailed description of methods are included in the Appendix.
- 189

190 HCW volunteer recruitment and ethics

191 We sampled seventy-eight HCW at five or six time points each, over six months. HCWs were recruited 192 from Oxford University Hospitals NHS Foundation Trust after a positive SARS-CoV-2 PCR test ² in 193 April-May 2020, including 66 volunteers with symptomatic disease (fever, shortness of breath, cough, 194 loss of taste or smell, sore throat, coryza or diarrhoea) and 12 asymptomatic HCW who did not report 195 any symptoms of COVID-19 in 2020 prior to staff screening or in the seven days following testing 196 positive. The age, sex and ethnicity of the HCW are shown in **Supplementary Table 1**. Blood samples 197 were acquired at multiple timepoints over 6 months (acute[range:1-20], 28 days [21-41], 56 days [42-198 73], 90 days [74-104], 120 days [110-140], and 180[160-200]) from onset of symptoms in the 199 symptomatic group and from the date of positive PCR test for asymptomatic people diagnosed on 200 screening. Nine hospitalised patients with severe disease were included for comparative analysis. All 201 subjects were seropositive for anti-spike IgG antibodies by ELISA. Mild and asymptomatic participants 202 were recruited under ethics approved by the research ethics committee (REC) at Yorkshire & The 203 Humber - Sheffield (GI Biobank Study 16/YH/0247). Participants with severe disease were recruited 204 after consenting into either the CMORE study protocol (research ethics committee (REC): Northwest -205 Preston, REC reference 20/NW/0235) and / or Sepsis Immunomics protocol [Oxford Research Ethics 206 Committee C, reference 19/SC/0296]). The study was conducted according to the principles of the 207 Declaration of Helsinki (2008) and the International Conference on Harmonization (ICH) Good Clinical 208 Practice (GCP) guidelines. Written informed consent was obtained for all participants enrolled in the 209 study.

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211 Isolation of peripheral blood mononuclear cells (PBMC), plasma and serum

PBMCs and plasma were isolated by density gradient centrifugation from blood collected in EDTA
 tubes, and serum was collected in a serum-separating tube (SST, Becton Dickinson) as previously
 described⁵ and detailed in the Appendix.

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216 T cell assays

217 T cell assays including interferon-gamma (IFN-y) Enzyme-Linked immunospot (ELISpot) assay, 7-day 218 proliferation assay and intracellular staining were performed ⁵. For IFN-y ELISpot assay we used SARS-219 CoV-2 peptide pools panning Spike (S1 and S2), membrane (M), nucleocapsid protein (NP), the X-220 domain of non-structural protein 3 (NSP3B), open reading frames 3 and 8 (ORF3 and ORF8), and 221 cytomegalovirus, Epstein-Barr virus and Flu peptide pools (CEF) (2ug/ml per peptide) in a 16-18hour 222 incubation at 37°C. ELISpot plates were read using an AID ELISpot Reader (v.4.0) and results were 223 reported as spot-forming units (SFU)/10⁶ PBMC. T cell proliferation assay was performed using fresh 224 or cryopreserved PBMC and CellTrace® Violet (CTV, Life Technologies) labelling and stimulated with 225 peptide pools from SARS-CoV-2 spanning Spike (S1 and S2), M, NP, ORF3 and ORF8, and FEC-T 226 (1µg/ml per peptide). On day 7, cells were stained with fluorochrome-conjugated primary human227 specific antibodies for CD3, CD4 and CD8 for analysis on a MACSQuant 10 flow cytometer. For 228 Intracellular cytokine staining, PBMC were stained for CD3, CD4, CD8, CD154, IFN- γ , IL-2 and TNF- α 229 then analysed on a BD LSR II.

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231 Antibody and B cell assays

232 Standardised total anti-spike IgG ELISA ³⁰ and anti-spike subclass and isotype ELISAs ^{31, 32} were 233 performed. A multiplexed MSD immunoassay (MSD, Rockville, MD) was used to measure the IgG 234 responses to SARS-CoV-2, severe acute respiratory syndrome coronavirus-1 (SARS-CoV-1), MERS-235 CoV and seasonal CoVs (human coronavirus (HCoV)-OC43, HcoV-HKU1, HcoV-229E, HcoV-NL63). 236 For Microneutralisation Assay (MNA), the viral isolates used are described in the Appendix, and the 237 assay was performed to determine the concentration of antibody that produces a 50% reduction in 238 infectious focus-forming units of authentic SARS-CoV-2 in Vero CCL81 cells. Infectious foci were 239 enumerated by ELISpot reader and data were analysed using four-parameter logistic regression (Hill 240 equation) in GraphPad Prism 8.3. The Monogram Bioscience pseudotype neutralisation assay 241 (PseudoNA) was performed ³⁰.

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243 For the Spike-specific SARS-CoV-2, OC43, HKU1, 229E and NL63 IgG+ and IgA+ B cell memory 244 ELISpot assays, PBMCs were cultured for 3-3.5 days with polyclonal stimulation, and added to Mabtech 245 flurospot plates coated with the relevant spike glycoprotein (SARS-CoV-2 at 10µg/ml, OC43 at 10µg/ml, 246 NL63 at 15µg/ml, HKU1 at 5µg/ml and 229E at 10µg/ml, all diluted in PBS). All cells were incubated for 247 ≥16 hours at 37°C, and following development Spot forming units were enumerated using AID ELISpot 248 8.0 software on the AID ELR08IFL reader. For antibody-dependent effector functions, the spike-specific 249 antibody-dependent effector functions, natural killer cell activity (ADNKA), neutrophil phagocytosis 250 (ADNP) and monocyte phagocytosis (ADMP) were performed ³¹, and are detailed in the Appendix 251 alongside the Antibody-dependent complement deposition (ADCD) assay.

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253 Integrative analysis using unsupervised and supervised machine learning in SIMON

254 The integrative analysis was performed using SIMON (Sequential Iterative Modeling "Over Night") 255 software ^{28, 29} as detailed in the Appendix. The integrated dataset was generated using the standard 256 extract-transform-load (ETL) procedure to merge total of 29 csv files across 14 assays and clinical data 257 via donor-specific variable (Donor ID) according to the SIMON method. The outcome of immune 258 response durability was calculated based on the titre of the anti-N specific antibodies measured 6 259 months post symptoms onset (pso), and individuals with anti-N antibody titre \geq 1.4 were labelled as 260 high responders, while individuals having anti-N antibody titre below 1.4 were low responders. Before 261 integrative analyses, data was pre-processed (centre/scale), missing values were median imputed, 262 features with zero-variance, near-zero-variance and with correlation (cut-off 0.85) were removed using 263 SIMON software. The t-distributed stochastic neighbour embedding (t-SNE) (2,000 iterations, perplexity 264 30, and theta 0.5) followed by clustering (seed number 1337, number of clusters 3) was performed to 265 analyse the pre-processed integrated dataset (excluding disease severity and timepoint which are used 266 as grouping variables). Principal component analysis (PCA) was performed on multivariate

267 immunological parameters (continuous variables, excluding features with less than 10% of unique 268 values and grouping variable - disease severity). Pairwise correlations of immunological parameters in 269 the integrated dataset were visualized as a correlogram and Spearman's rank correlation coefficient 270 was computed. Values shown on the correlogram were adjusted for multiple testing using Benjamini-271 Hochberg correction at the significance threshold (False discover rate, FDR < 0.05). Agglomerative 272 hierarchical clustering was performed on the samples with immunological parameters analysed on day 273 28 pso and visualized as the dendrogram on heatmap (tightest cluster ordered first). To identify early 274 immunological signature at day 28 pso that can predict if the individual will be high or low responder 6 275 months pso, we performed SIMON analysis on all immunological parameters (day 28 pso) using 172 276 ML algorithms . Missing values (29% missingness) were removed using multi-set interaction function 277 ('mulset', SIMON software), resulting in 30 resamples. Each resample was split into train/test partition 278 (75%/25%) preserving the balanced distribution of the outcome class (seed number 1337). The models 279 were evaluated using 10-fold cross-validation on training sets (train AUROC), and additionally on the 280 held-out test sets (test AUROC). The best performing model was built using the Sparse Partial Least 281 Squares (sPLS) algorithm (train AUROC: 0.95 (CI 0.5-1) and test AUROC: 1). In the final step, SIMON 282 calculated the contribution of each feature to the model as variable importance score (scaled to 283 maximum value of 100).

284

285 Statistical analyses

286 Statistical analysis was performed using R (https://www.r-project.org/), integrative analysis was performed using SIMON software ^{28, 29}, figures were made with R using R package ggplot2 ³³ and 287 288 GraphPad Prism 8. Kruskal-Wallis test —unless otherwise specified — was used for comparison of the 289 disease severity groups. Wilcoxon rank-sum test —unless otherwise specified — was employed to 290 compare between study time points. A generalised additive mixed model (GAMM) by restricted 291 maximum likelihood (REML) was used to fit the immunological measures (log10 transformed) using 292 Gaussian process smooth term (R package gamm4 ³⁴). ICS cytokine expression analyses was 293 performed using PESTEL v2.0 and SPICE v6.0. Statistical significance was set at P<0.05 and all tests 294 were 2-tailed. Machine learning analysis was performed using SIMON software (https://genular.org).

295

296 Data Availability

297 Data relating to the findings of this study are available from a research data repository Zenodo298 (https://zenodo.org/record/4905965).

299

300 Results

Anti-N IgG decline over time and stratify by disease severity, whilst Anti Spike IgG and memory 302 responses are maintained

303 Anti-nucleocapsid (NP) and spike (S) total IgG (tIgG) responses were assessed by ELISA in both

304 symptomatic and asymptomatic individuals (Fig. 1A). The magnitude of the IgG response varied

- 305 markedly between people in both cohorts, with a proportion of individuals' anti-nucleocapsid tlgG level
- 306 recorded in the negative or indeterminate range of the assay at all time-points.

307

- Asymptomatic and mild infection induces similar anti-NP responses in the early phase (<20 days post PCR positivity/symptom onset) of observed infection (P=0.6125, **Supplementary Fig. 1A**). However, anti-NP tlgG levels in the two disease cohorts separated as higher levels were observed in those with mild infection from the day 28 timepoint onwards (P =0.0015 for day 28 comparison, **Supplementary Fig. 1A**). Anti-NP lgG responses waned over time with a significant decrease from approximately day 28 to day 180 timepoints (P=0.00071 for asymptomatic and P=7.2x10⁻⁹ for mild symptomatic individuals, **Fig. 1A**). Most (91.7%) asymptomatic individuals have an indeterminate or negative anti-NP tlgG
- response to the nucleocapsid antigen at the day 180 timepoint.
- 316

Over the time course of observation, anti-spike IgG antibody levels (**Fig. 1B**) in individuals remained consistent in individuals with asymptomatic (P=0.35) and severe (P=0.44) COVID-19 disease. Similarly, the initial anti-spike tIgG responses increased in individuals with mild disease and remained consistent from day 28 to the 6-month timepoint (P=0.12). Furthermore, disease severity was not a significant predictor of anti-spike tIgG levels in those with asymptomatic and mild SARS-CoV-2 infection throughout the 6-month observation (P=0.632, GAMM, **Fig. 1B**).

323

324 In line with the tIgG antibody binding to spike remaining consistent, we observed a steady number of 325 IgG+ memory B cells following an initial increase (Fig. 1C). Anti-SARS-CoV-2 spike-specific IgG+ 326 memory B cells at 6 months following symptom onset were higher than observed during early infection 327 in mild (P=0.00042, Fig. 1C) and severe (P=0.0027, Fig. 1C) individuals. For asymptomatic individuals, 328 no change was observed in cell frequencies when comparing the earliest samples collected and 6-329 month timepoints (P=0.54), although we note that the timing of infection onset for asymptomatic 330 individuals cannot be precisely determined. Asymptomatic and mild disease did not predict different 331 kinetics for the IgG memory response (P=0.284, GAMM, Fig. 1C).

332

333 Pseudo-neutralisating antibodies decreased in all disease severities over time

334 Pseudo-neutralisating antibodies (pseudoNA) were measured in all individuals (Fig. 1D) using an assay 335 that incorporates the spike glycoprotein. Disease severity was a significant predictor of pseudoNA 336 (P=0.00073, GAMM, Fig. 1D) – with higher pseudoNA levels with increasing disease severity at all time 337 points measured (Fig. 1D and Supplementary Fig. 1D). Regardless of disease severity, the pseudo-338 neutralising capacity of circulating antibodies to the Wuhan/B lineage virus decreased over 6 months 339 following the detection of SARS-CoV-2 infection (asymptomatic P=0.023; mild $P=4.2 \times 10^{-9}$; severe 340 P=0.01, Fig. 1D). People with severe infection maintained pseudoNA 6 months post symptom onset, 341 and at higher levels than in those with mild or asymptomatic infection (P=0.00022, Kruskal-Wallis test, 342 Supplementary Fig. 1D). The decline was less marked in asymptomatic individuals with no decrease 343 observed from day 28 to day 180 (P=0.41, Fig. 1D); however, the difference in the pseudoNA titres in 344 the mild vs asymptomatic groups remained until day 180 (P=0.0148). At day 180 post symptom onset 345 or PCR confirmation, one asymptomatic and four symptomatic individuals no longer mounted a positive

- 346 result in the pseudoNA assay, one of whom consistently did not mount pseudoNA capacity at all time 347 points measured.
- 348

349 Mild infection induces a more multifunctional antibody profile

- A cohort of 30 individuals with mild infection, along with the 9 and 12 participants with severe and asymptomatic infection respectively were selected to comprehensively characterise antibody profiles.
- 352

353 Circulating isotypes and subclasses

- 354 Circulating IgM levels decreased over time in those with asymptomatic (P=0.021, day <20 vs day 180). 355 mild (P=0.0004, day <20 vs day 180) and severe (P=0.007, day <20 vs day 180) infection, while IgA levels in participants remained constant in all disease cohorts (asymptomatic: P=0.65; mild: P=0.59; 356 357 severe: P=0.065), throughout the observed 6-month time course (Fig. 2A and 2B) as previously 358 reported¹². The quantified amounts of IgG1 were consistent over time in asymptomatic (P=0.86, day 359 <20 vs day 180) and severe (P=0.92, day <20 vs day 180) infection. Despite initial low titres of IgG1 in 360 participants with mild infection, IgG1 circulating antibody titres were maintained from day 28 to 6 months 361 post symptom onset (P=0.89, Fig. 2C). While circulating IgG3 antibodies in participants with mild 362 infection were maintained at consistent levels throughout the 6-month period (P=0.062), levels 363 decreased over this time in asymptomatic (P=0.0022, day <20 vs day 180) and severe (P=0.021, day 364 <20 vs day 180) individuals (Fig. 2D). Notable SARS-CoV-2 spike-specific IgG2 responses were only 365 detected at one or more time-points in a small number of individuals tested (asymptomatic: 3/12; mild: 366 3/30; severe: 1/8) (Supplementary Fig. 2B), while there was no spike-specific IgG4 detected above 367 the LLOQ of the ELISA (data not shown). For all IgG subclasses detected, asymptomatic or mild 368 disease severity were not significant predictors of responses over time (IgG1: P=0.36; IgG2: P=0.92; 369 IgG3: P=0.0519, GAMM, Figs. 2C-D). All paired analysis was by Wilcoxon rank sum test.
- 370

371 Diversity of antibody responses

We measured the ability of the anti-spike antibodies in those with severe or asymptomatic infection as
well as a selection of individuals with mild infection, to induce innate effector functions: ADNP, ADMP,
ADNKA and ADCD.

375

376 Asymptomatic and mild disease severity was not a significant predictor of Fc-mediated effector 377 functional responses (ADNKA P=0.798; ADMP P=0.117; ADNP P=0.206) except for ADCD 378 (P=0.00314) (Fig. 2E-H). Furthermore, normalised ADMP and ADNP scores, as well as the 379 percentage of CD107a-expressing NK cells were stable over time, between 28 days and 180 days post 380 symptom onset or PCR confirmation for those with asymptomatic (ADMP: P=0.96; ADNP: P=0.48; 381 ADNKA: P=0.2) and mild (ADMP: P=0.64; ADNP: P=0.75; ADNKA: P=0.8) infection (Fig. 2E-H). 382 Similarly, no decline was observed for these Fc-mediated functions from the acute sampling to 6 months 383 post symptom onset in the severe cohort (ADMP: P=0.89; ADNP: increase P=0.021; ADNKA: P=0.075) 384 with the ADNP increasing over time (P=0.021) (Fig. 2E-H). ADCD waned dramatically in those with 385 severe disease over the 6-month period (P=0.00031) but similarly to the other Fc-mediated functions,

ADCD remained consistent from day 28 to day 180 in asymptomatic (*P*=0.34) and mild (*P*=0.1) infection (**Fig. 2E–H**). Despite waning over time, ADCD responses differed amongst the disease severity groups out until day 180 (*P*=0.0032, Kruskal-Wallis test, **Supplementary Fig. 1L**). All paired analysis were by Wilcoxon rank sum test.

390

391 We visualised the relative contribution of each of the anti-SARS-CoV-2 spike antibody feature in Fig. 392 21. The polar plots demonstrate the diversity of asymptomatic and mild infection-induced antibody 393 characteristics and functions on day 28 and day 180. Each wedge represents an antibody feature, and 394 the size of each wedge is indicative of the magnitude of the response. The consistently high spike-395 specific IgG and spike-specific IgG+ memory B cells is clearly reflected in these plots for both mild and 396 asymptomatic individuals. For both day 28 and day 180, a more multifunctional response was observed 397 in individuals with mild infection, particularly for the antibody-dependent phagocytosis effector functions, 398 which contribute markedly less to the antibody profile of asymptomatic individuals. Over time, few 399 marked changes were observed in the relative contribution of the SARS-COV-2-specific antibody 400 features in asymptomatic individuals, apart from an increased contribution of IgG1 and ADNKA, and 401 decreased IgG3. Similarly, for individuals with mild infection, substantial relative decreases in IgM, 402 pseudo-neutralising antibodies, IgA and IgG3 were noted, as well as relative increases in ADNKA and 403 ADNP to the antibody profile.

404

SARS-CoV-2 infection elicits transient cross-reactive antibodies and memory B cells specific for other circulating coronaviruses.

407 Next, we evaluated the IgG responses to seasonal coronaviruses (229E, HKU-1, NL63-S and OC43-408 S) severe acute respiratory syndrome (SARS-CoV-1) spike protein and Middle East Respiratory 409 Syndrome (MERS) virus spike protein using the MSD assay (Fig. 3A). IgG responses to these viral 410 antigens were detected at the earliest time points. The kinetics of these IgG responses followed those 411 seen to SARS-CoV-2 spike, suggesting that seasonal coronavirus cross-reactive responses were 412 enhanced by SARS-CoV-2 infection. Responses to OC43-S, 229-E and HKU-1 were particularly high 413 and correlated significantly with disease severity at day 180 and at the earliest time point assessed (day 414 <20) (Supplementary Fig. 2C). The MSD assay also measured IgG responses against SARS-COV-2 415 Spike, NP and the RBD antigens, supporting our observations using the ELISA assay (Supplementary 416 Fig. 2D).

417

IgG+ Memory B cells specific for the spike glycoprotein from seasonal coronaviruses (229E, HKU1, NL63 and OC43) were determined at the earliest timepoint available (acute <day 20 or day 28) and the 6-month final sampling (**Fig. 3B**). The lowest responses were observed in 229E and NL63 spike IgG+ ASCs following polyclonal stimulation, which also were consistent over time with the exception of the decreased number of NL63 spike-specific IgG+ memory B cells in individuals with mild infection (*P*=0.0046). Higher responses were detected when testing the specificity of cultured PBMCs to the beta-coronaviruses (HKU1 and OC43) spike glycoprotein. However, the boosted memory response

- 425 was transient, particularly in individuals with mild infection (HKU1: $P=1\times10^{-7}$; OC43: $P=1.5\times10^{-7}$) in
- 426 which the decrease was more marked, which may be due to a higher sample number.
- 427

428 Effector poly-specific SARS-CoV-2 T cells are higher in those with mild symptoms and decline 429 6 months after infection

We examined the magnitude of the T cell response to SARS-CoV-2 using an ex vivo IFN-γ ELISpot
assay at 28 days, 90-120 days and 180 days after SARS-CoV-2 infection (N=64-78 HCW/timepoint, 57
participants at all timepoints (including 12 with asymptomatic infection), and 6 volunteers with severe
COVID-19 at day 180 (Fig. 4A and 4B and Supplementary Table 3). We have previously shown that
this assay is specific for SARS-CoV-2, with negligible responses detected in SARS-CoV-2 prepandemic unexposed participants ⁵.

436

437 IFN-y responses to at least one antigenic pool were seen in 67/70 (96%) volunteers tested 28 days 438 after SARS-CoV-2, with a median total response across the pools of 373 (IQR 201-842) SFC/106 439 PBMC; here a response to spike (S1 and S2) was seen in 61/70 tested (87%) median 180 (IQR 71-440 364) SFC/10⁶ PBMC, for M in 47/70 (67%) median 63 (IQR 25-160) SFC/10⁶ PBMC and for NP in 62/70 441 (89%) median 121 (IQR 73-250) SFC/10⁶ PBMC. However, total summed responses declined by a 442 median of 60% after 90 days, and by 75% at 180 days (Supplementary Table 3). The majority (61/77 443 (79%)) of participants had detectable responses to at least one antigenic pool at 180 days, with 444 responses to NP antigen most commonly observed 47/77 (61%) median 40 (IQR 23-73) SFC/106 445 PBMC. Responses to ORF3, ORF8 and NSP3B were less frequent than responses to S1, S2, M and 446 NP at day 28 and lower at day 180.

447

IFN-γ ELISpot responses to SARS-CoV-2 antigens were higher in the mild symptomatic cohort (n=66),
compared to the asymptomatic group (n=12) at 28 days, with median responses to all summed pools
455 (IQR 252-976) SFC/10⁶ PBMC for mild disease compared to 196 (IQR 74-243) SFC/10⁶ PBMC in
the asymptomatic group (**Supplementary Fig. 3A**). There was no significant change in the magnitude
of the T cell response in the asymptomatic group in the 6 months after infection (**Fig. 4A**).

453

454 We next used ICS to examine the duration of multiple T cell functions and the polyfunctionality of the T 455 cell response over time at 28 and 180 days pso in individuals with ex vivo T cell ELISpot levels >100 456 SFC/10⁶ PBMC for sensitivity reasons (n=18 with n=15 available at both timepoints for paired analysis 457 (Gating strategy in Supplementary Fig. 3D, results in Supplementary Fig. 4 and Supplementary 458 Fig.5). Similar to the ELISpot data, the majority of T cell responses decreased over time. In terms of 459 functionality, we found that CD4+ T cells were polyfunctional, with the majority of cells expressing >1 460 and up to all 5 functional markers at both timepoints. Similarly, NSP3B-specific CD8+ T cells were also 461 polyfunctional at both timepoints examined, with most cells expressing >1 functional marker 462 (Supplementary Fig. 4J). There were no functional changes between the two timepoints.

- 463
- 464 T cell memory proliferative responses decline 6 months post SARS-CoV-2

We and others have found the assessment of T cell proliferation to be a sensitive method of detecting antigen-specific recall responses. We used this assay to evaluate the frequency of circulating SARS-CoV-2-specific CD4+ and CD8+ T cell in our longitudinal cohort (n = 54 - 57; gating strategy presented in **Supplementary Fig. 3B**).

469

470 We did not observe any differences in the magnitude of circulating FEC-specific (control) CD4+ or CD8+ 471 T cells within the 6 months period (Supplementary Fig. 3C). In the asymptomatic group, at 28 days 472 pso 7/8 (87.5%) made a CD4+ T cell response to at least one SARS-CoV-2 protein (excluding S1 and 473 S2 where have previously reported finding responses in the majority of unexposed volunteers ⁵) while 474 5/8 (62.5%) of them had CD8 T cell response to at least one of M, NP, ORF3 or ORF8 proteins (Fig. 475 5A-C Supplementary Table 4). Most of this response was targeted to M and NP (Fig. 5A-C and 476 Supplementary Table 4). At 180 days pso, 6/8 (75%) of recovered subjects had a CD4+ or CD8+ T 477 cell response which was mostly focused on M, NP and ORF3. We observed no difference in the 478 proliferative capacity of SARS-CoV-2-specific CD4 and CD8 T cells at 28- and 180-days post disease 479 onset in the group with asymptomatic disease (n = 8) (Fig. 5A-C and Supplementary table 4 and 5). 480

481 In the cohort with mild disease, at 28 days, T cell responses to at least one SARS-CoV-2 protein outside 482 of spike region were observed in 42/49 (86%) for CD4+ T cells and 45/49 (91%) for CD8+ T cells. 483 Similar to the asymptomatic cohort, these responses were focused on M, NP and ORF3 regions of 484 SARS-CoV-2 (Fig. 5A-C, Supplementary Table 4). At 180 days after symptom onset, this frequency 485 of people responding to at least one protein as above reduced to 37/49 (75%) within CD4+ T cells and 486 35/49 (71%) for CD8+ T cells with a focus on M, NP and ORF3 similar to CD4+ T cells (Fig. 5A-C and 487 supplementary Table 4 and 5). In the volunteers with mild disease, we found a significant reduction 488 in the circulating frequencies of SARS-CoV-2-specific CD4+ and CD8+ T cells to all proteins except NP 489 and ORF8 for CD4+ and ORF3 and ORF8 for CD8+ T cells by day 180 (Fig. 5A-C).

490

491 When we assessed the difference in the magnitude of the proliferative CD4+ and CD8+ T cell responses 492 at 28- and 180 days pso in both asymptomatic and mild cases (analysed together as one group), we 493 found significantly higher frequencies of SARS-CoV-2 specific CD4+ T cells compared to CD8+ 494 responses at both timepoints in all proteins except NP and ORF8 for 28- and 180-days post symptom 495 onset and ORF3 responses at 28 days post symptom onset only. Our data shows that the bias in 496 antigen-specific responses to SARS-CoV-2 towards CD4+ T cells is maintained in the T cell memory 497 compartment long after recovery from acute infection. Taken together, the results show that at 6 months 498 post infection with SARS-CoV-2, convalescent subjects show diminished but detectable anti-SARS-499 CoV-2-specific memory T cells in both the CD4 and CD8 T cell compartments, with only 8/56 (14%) 500 showing no proliferative response to any non-spike protein, suggesting durable immune response at 501 least up to 6 months post initial infection.

502

503 Integrative analysis to Identify immune and clinical parameters associated with disease severity

504 To further investigate the trajectory of cellular and humoral adaptive immune responses during SARS-505 CoV-2 infection and relationship with disease severity, we performed integrative analysis on aggregated 506 immunological and clinical data from 433 samples obtained from 86 donors (12 asymptomatic, 66 mild, 507 8 severe) on 6 different timepoints (Fig. 6A). We investigated the trajectory of immune responses after 508 SARS-CoV-2 infection and determined whether samples obtained from individuals with asymptomatic 509 infection are more similar to samples obtained at later timepoints after infection in the individuals with 510 mild, symptomatic disease. A t-distributed stochastic neighbour embedding (t-SNE) representation of 511 integrated data revealed heterogeneity of immune responses in infected individuals, irrespective of days 512 post symptom onset when these samples were collected (Fig. 6B, left panel). Majority of samples were 513 separated between asymptomatic and mild individuals, while there was an overlap in similarity between 514 individuals with mild and more severe disease (Fig. 6B, right panel). To further delineate differences in 515 clinical and immunological parameters of SARS-CoV-2 infected individuals, we performed clustering 516 analysis on the resulting t-SNE representations (Fig. 6C) and compared expression of 16 clinical and 517 49 immunological parameters to identify each of three clusters (Fig. 6D). This approach identified 518 heterogeneity within the SARS-CoV-2 positive individuals with mild disease clustered in two groups 519 (Fig. 6C and 6D, *clusters 1 and 2*). In cluster 1, the majority of samples displayed increased antibody 520 and T cell responses in comparison to other clusters, and some individuals with mild infection that 521 showed clinical and immunological similarity to severe COVID-19 patients (Fig. 6C and 6D, cluster 1). 522 In contrast, cluster 2 contained individuals with lower overall antibody and T cell responses and all were 523 from individuals with mild disease (Fig. 6C and 6D, cluster 2). Clinical parameters were driving a major 524 separation between asymptomatic SARS-CoV-2 positive individuals from those with mild or sever 525 disease (Fig. 6D, cluster 3).

526

527 To gain an insight into immunological differences between individuals with asymptomatic and mild 528 infection, we performed principal component analysis (PCA) on dataset containing only immunological 529 parameters. The immunological parameters alone could explain 38.6% of variance between SARS-530 CoV-2 positive individuals, while separation was not driven by the disease severity (Fig. 6E). 531 Comparable to t-SNE analysis, samples from individuals with mild disease were separated into three 532 major groups having distinct immunophenotype (immunophenotypic group 1) (Fig. 6E, lower right 533 quadrant) or sharing immunological similarity with samples from individuals with severe 534 (immunophenotypic group 2) (Fig. 6E, upper right quadrant) or asymptomatic disease 535 (immunophenotypic group 3) (Fig. 6E, center). To reveal which parameters are driving the separation, 536 we visualized relationship between variables using correlation plot (Fig. 6F). T cell parameters were 537 driving the separation of immunophenotypic group 1, while antibody responses separated 538 immunophenotypic group 2 (Fig. 6F). The most important variables in explaining the variability between 539 SARS-CoV-2 positive individuals in immunophenotypic group 1 were total IFN-y ELISpot T cells, S1 540 and S2-stimulated IFN-y ELISpot T cells, and anti-S IgG, anti-RBD IgG, ADCD, S-IgG from OC43 and 541 HcoV-HKU1 in immunophenotypic group 2 that were correlated with principal components 1 and 2 542 (PC1-PC2) (Fig. 6G and 6H). The correlation plot revealed positive correlation between antibody 543 responses, and negative correlation between T cell responses with the time when samples were

544 obtained (Fig. 6F). To further examine these associations between immunological parameters, we 545 performed correlation analysis, which confirmed strong positive correlation between antibody and T 546 cells responses (Fig. 6I). The antibodies directed against N, S and RBD from SARS-CoV-2, were 547 positively correlated with antibody functionality, such as pseudoneutralising capacity and ADCD, ADNP 548 and ADMP, and positively correlated with IFN-y ELISpot T cell responses against S1, S2 and N (Fig. 549 61). The antibody responses to S protein from other circulating coronaviruses, such as SARS-CoV-1, 550 MERS, HcoV-HKU1, 229e and OC43 were also contained in this cluster being positively correlated with 551 antibody and T cell responses (Fig. 6I). This cluster was negatively correlated with time, confirming the 552 observations from primary analysis (Fig. 6I). Notably, there was a negative correlation between NL63 553 S antibodies and S and RBD SARS-CoV-2 specific antibodies (Fig. 6I). There were other apparent 554 relationships in two other clusters identified, that were not associated with time, including positive 555 correlation between proliferating T cells stimulated with different SARS-CoV-2-specific peptides, and 556 positive correlation between ADNKA and S-IgA and S-IgG1, while negative correlation with S-IgM (Fig. 557 **6I**).

558 The integrative analysis revealed three distinct immunophenotypic groups of SARS-CoV-2 infected 559 individuals strongly connected to cellular and humoral immune profiling beyond the disease severity 560 and clinical parameters.

561

Identifying an early immunological signature associated with a durable immune response toSARS-CoV-2

564 To elucidate an early immunological signature that could predict whether an individual will mount a 565 durable and protective immunity against SARS-CoV-2 6 months after infection, we stratified SARS-566 CoV-2 infected individuals into high and low responders, based on the seropositivity status (N IgG titres 567 >=1.4), which has recently been identified as a correlate of protection ³⁵. We then asked whether the 568 components of cellular or humoral immunity within one month of infection (28 days pso) were predictive 569 of the ability of individuals to develop protective immunity against SARS-CoV-2 (6 months pso). First, 570 using an unsupervised machine learning approach, i.e., hierarchical clustering of integrated 571 immunological data on day 28 pso, we identified two groups of SARS-CoV-2 infected individuals based 572 on the response status 6 months pso (Fig. 7A). While the majority of SARS-CoV-2 infected individuals 573 with mild disease would mount protective immunity 6 months pso and become high responders, there 574 was a proportion of individuals with mild disease that failed to mount durable and protective immunity 575 (low responders) (Fig. 7A). The majority of individuals with asymptomatic infection were low 576 responders. High responders mounted stronger antibody responses, in particular N-IgG and pseudo-577 neutralising antibodies, and overall, stronger T cell responses, including IFN-y-positive and proliferating 578 T cells, than low responders 28 days pso (Fig. 7A). Antibody responses to spike protein from 229e and 579 NL63, B cell ELISpot and ADNKA were increased in low responders early after SARS-CoV-2 infection 580 in comparison to high responders (Fig. 7A).

581

582 To further define the immunological features that can distinguish individuals with durable and protective 583 immunity and predict if the individual is on the trajectory to become a high or low responder, we used 584 the SIMON supervised machine learning approach ^{28, 29}. We generated 30 resamples and tested 3,565 585 models using 172 machine learning algorithms (Materials and methods). The best performing model 586 built using Sparse Partial Least Squares (sPLS) algorithm (train AUROC: 0.95 (CI 0.5-1) and test 587 AUROC: 1) used only 8 out of 49 measured parameters on day 28 pso to predict if the individual will 588 become high or low responder 6 months pso (Fig. 7B). The features that were contributing the most to 589 this model included antibody responses to N and S, ADCD and pseudo-neutralising antibodies to 590 SARS-CoV-2, and T cell IFN-y ELISpot (S1/S2, M and total positive T cells) which were significantly 591 increased in high responders 28 days pso compared to low responders (Fig. 7C and 7D). Together, 592 these data indicate that early generation of antibodies with high binding, neutralising and effector 593 function, and functional T cell responses following infection can predict the responsiveness potential, 594 i.e., protection and duration of SARS-CoV-2 immunity of the individual. Additionally, these findings 595 suggest that a coordinated action of both T and B cells early after infection is required for establishment 596 of durable and protective immunity.

597

598 The generation of durable and functional humoral and cellular immunity in a proportion of SARS-CoV-599 2 infected individuals (high responders) may provide protection against re-infection, including also 600 against variants of concern (VOCs). Thus, we assessed the neutralising antibody responses in high 601 and low responders against the infecting (Victoria) strain and against variants B.1.1.7 and B.1.351 (Fig. 602 7E). Individuals with durable and protective SARS-CoV2 immunity shown high neutralisation antibody 603 titres against wild-type circulating SARS-CoV-2 (Victoria) strain, and against two novel variants, 604 including B.1.1.7 (alpha) and B.1.351 (beta) (Fig. 7E). High responders had significantly higher 605 neutralising antibody titres against B.1.1.7 alpha variant one-month pso, and these higher neutralising 606 antibodies were preserved 6 months pso (Fig. 7E).

607

Altogether, these data suggest that generation of immunity to SARS-COV-2 shows distinct trajectories
 following early priming, and early antibody responses are important to mediate protective and durable
 immunity that can also provide protection against novel variants.

611

612 Discussion

613 Key questions on the trajectory of the SARS-CoV-2 specific immune response to natural infection, and 614 the maintenance of immune memory remain highly relevant even as highly effective vaccines are being 615 rolled out worldwide. Firstly, even with high availability of vaccines there will always be a pool of 616 unvaccinated people due to vaccine hesitancy or access difficulties, and this will include people who have had natural infection. Secondly, as of June 2021 only 12% of the world's population is estimated 617 618 to have received at least one dose of vaccine ³⁶, so for much of the immunity globally is from natural 619 infection, which remains a cornerstone of population-level immunity. Thirdly, measuring immune 620 responses to antigens not included in spike-containing vaccines are used as biomarkers of previous 621 SARS-CoV-2 infection and as such are widely used to stratify immune responses to vaccination, since 622 prior SARS-CoV-2 is known to enhance vaccine responsiveness ^{37, 38}. Finally, understanding how the 623 early immune response translates into lasting immunity towards emerging variants of concern is crucial

to accelerate predictions of population risk and to drive policy. In this manuscript, we characterise the magnitude, function and maintenance of humoral and cellular T and B cell immunity, and the relationship between clinical and multi parametric immune data. We then evaluate the ability of antibodies to neutralise live SARS-CoV-2 virus 6 months after primary infection to variants of concern and provide insight into the early predictors of durable neutralising antibody after natural infection.

629

630 Compatible with other studies ^{12, 39, 40, 41}, our data shows a peak of anti-NP and anti-S binding antibody 631 (IgG) magnitude 28 days after onset of symptoms, with anti-NP responses declining over the next five 632 months, although these responses remain above the threshold of detection in the majority. In contrast, 633 anti-S IgG responses were well maintained, in keeping with the reported longer half-life for decay of 634 anti-S IgG responses compared with anti-NP IgG responses ¹², along with maintenance of B cell 635 memory. Neutralisation measured by a pseudo-neutralisation assay showed a decline over time but 636 was generally maintained six months following infection. High levels of neutralisation were seen earlier 637 post symptom onset (from 7 days) compared with the IgG binding assays, which may represent 638 contributions from IgM ⁴² and IgA ⁴³. Some of the observed decline in neutralising antibodies over time 639 may represent a threshold effect – NAb are a subset of total IgG such that gradual declines over time 640 are first measurable in NAb, but biologically important neutralisation may still occur below the detection 641 threshold. Fc-mediated functionality including antibody dependent NK activation, phagocytosis and 642 complement deposition was maintained over the 6 months duration which may make an important 643 contribution to protective immunity and was significantly associated with increasing disease severity.

644

Taken together, B cell polyfunctionality was lower in those with asymptomatic infection, compared with those with mild disease early after infection (day 28), though by 6 months the profiles between the cohorts looked similar. The most notable changes were a reduction in IgM spike responses but a relative maintenance of IgG3 spike responses in the mild cohort that was not seen in the asymptomatic cohort.

650 Previous studies have shown that early distinct antigenic targets and qualitative features of SARS-CoV-651 2-specific antibodies are associated with disease trajectory ^{44, 45}, whilst multifunctional antibody 652 responses, and particularly ADCD and ADNP, following adoptive transfer of IgG from convalescent 653 rhesus macaques have been shown to contribute to protection from SARS-CoV-2 challenge 46. 654 Furthermore, vaccine-induced Fc-mediated polyfunctionality has been observed following 655 administration of efficacious vaccines in both macaque and human studies ^{31, 47}. While the capacity of 656 Fc receptor binding appears to be lower in convalescent individuals against VOCs, evidence is 657 emerging of maintenance of vaccine-induced Fc-functional antibody properties against VOCs 658 supporting resilience of humoral immunity against VOCs independent of neutralisation ⁴⁸.

659

In evaluating SARS-CoV-2 specific effector T cell responses over six months in an IFN-γ ELISpot assay,
 we showed that there was significant heterogeneity in the magnitude of responses between individuals
 as previously reported ^{12, 49, 50}. The majority of people showed robust T cell responses in the first 28
 days after infection, though these were significantly lower in the asymptomatic cohort. Within 3 months

664 of infection there was a marked decline in T cell responses and by 6 months, these were reduced by 665 75% and were undetectable in approximately 20%. We used a flow cytometry based 7-day proliferation 666 assay to assess memory T responses of both CD4+ and CD8+ T cell subsets to show a dominant CD4+ 667 T cell subset response. Although memory proliferative responses have been shown to "mature" over 668 time, particularly following vaccination ^{51, 52}, we show that proliferative responses (both CD4 and CD8), 669 targeting Spike, M, and NP decline markedly between day 28 and day 180. ICS analysis showed that 670 CD4+ T cells were the dominant subset targeting S1, S2 and M antigens, whilst NP were targeted by 671 both CD4+ and CD8+ T cells, and NSP3B was targeted by CD8+ T cells. Polyfunctional T cells, 672 producing multiple cytokines, were generated at day 28, and although the magnitude of the response 673 declined, polyfunctionality was generally retained out to 6 months.

674

675 In our study we show that symptomatic infection is associated with more robust cellular and humoral 676 immune responses compared to the asymptomatic group early after PCR+ confirmed infection. An 677 association between asymptomatic infection and lower antibody responses has been previously 678 reported ⁵³, and we and others have shown a correlation between disease severity and higher levels of 679 antibody and T cell responses in early disease ^{4, 54}. Similar results have been reported in other disease 680 settings including robust immune responses associated with disease severity in H1N1/09 influenza A 681 ⁵⁵. In contrast, a previous prospective SARS-CoV-2 screening study has observed that asymptomatic 682 infection is associated with highly functional cellular immune responses ⁵⁶. Either way, humoral and 683 cellular immune responses measured months after primary infection is found at low magnitude following 684 asymptomatic infection. These findings raise the possibility that people with asymptomatic SARS-CoV-685 2 infection may have less protective immunity months after primary infection. A limitation to our study, 686 is that the timing of infection onset in asymptomatic HCW. (even though PCR+) is not precisely defined. 687 As such, it is theoretically possible that the asymptomatic individuals in our study are later in their 688 disease course at detection, which was further explored by integrative analysis.

689

690 To elucidate the trajectory of the immune response of SARS-CoV-2 infected individuals over time and 691 identify signatures associated with the maintenance of protective immunity, we performed an integrative 692 analysis in the cohort of 86 individuals on all 433 samples. The results of the integrative analysis led to 693 several key findings. First is the identification of immunophenotypic groups of SARS-CoV-2 infected 694 individuals beyond disease time course and disease severity. By integrating over 70 immune 695 parameters with clinical data, disease severity and temporal changes, we generated a computational 696 model using t-SNE embedding algorithm that coupled immunological phenotypes of each individual 697 with the disease severity and other clinical parameters. The t-SNE representation of integrated data 698 revealed minimal clustering by time point, suggesting that heterogeneity of the immune response during 699 the SARS-CoV-2 infection is independent of the time course during the infection. While some of the 700 individuals with asymptomatic infection may be later in their disease course at detection, the majority 701 did not cluster with the samples obtained from individuals with mild or severe infection at later timepoints 702 after the infection. The major separation of individuals with asymptomatic disease was driven by clinical 703 parameters, while the mild cohort clustered into 2 immunophenotypic groups (not driven by clinical

704 parameters), one of which shared phenotype with the severe disease cohort. The PCA analysis 705 provided further support for the heterogeneity of the immune responses in the SARS-CoV-2 infected 706 individuals with mild disease and separation into three immunophenotypic groups, confirming that 707 38.6% of variance between individuals was explained by the immunological data. The results suggested 708 that immunophenotypic group 1, exhibiting robust binding (anti-N and anti-S) and functional 709 (pseudoneutralising and ADCD/ADMP) antibody responses and memory B cell involvement, shared 710 similarity with individuals with severe disease, while immunophenotypic group 2 composed of functional 711 IFN-y T cell responses represented an unique proportion of individuals with mild disease, early in the 712 course of the disease (as indicated by negative correlation with time when samples were acquired). 713 The third immunophenotypic group – defined by the lower overall antibody and T cell responses -714 shared similarities with the asymptomatic cohort, suggesting that some individuals may fail to develop 715 robust antibody and T cell responses despite having mild infection. These results support the magnitude 716 of the immune response being determined by factors beyond disease severity, including viral factors 717 and the individual's immunocompetence. Using correlation analyses, we observed a positive 718 association between spike and nucleocapsid T cell and antibody responses (both decreased with time, 719 confirming the primary analysis) and cross-reactivity to other coronaviruses which correlated with spike 720 and nucleocapsid T cell and antibody responses (NL63 is negatively correlated and OC43 is positively 721 correlated), substantiating the findings that immunity may be defined by immunocompetence and 722 previous exposure to circulating coronaviruses.

723

724 To further delineate this observation, we performed integrative analysis using baseline parameters only 725 (measured on day 28 after infection), and this led to the second key finding – identification of an early 726 immunological signature that is associated with durable and protective SARS-CoV-2 immunity. Using 727 hierarchical clustering approach and integrated baseline cellular and humoral immune parameters, we 728 observed distinct clustering of high and low responders at this early time point. High anti-N IgG, along 729 with more robust overall T cell responses (including IFN-y ELISpot and proliferation) at baseline with a 730 low response to seasonal coronaviruses (NL63 and 229e) dominated in the high responder group, 731 whilst low responders had lower anti-N IgG and overall T cell responses and had more pronounced 732 cross-reactive seasonal CoV responses (NL63 and 229e) at baseline. The final major finding was the 733 ability to predict if the individual will generate durable and protective SARS-CoV-2 immunity 6 months 734 post infection based on the early immunological signature one month after infection. With the use of 735 SIMON data mining tool and generation of more than 3,500 predictive machine learning models, we 736 identified upregulation of antibody responses (spike and NP, with pseudoneutralising and ADCD 737 functions) combined with the more robust T cell responses as predictors of individuals who will generate 738 durable and protective immunity 6 months post infection (high responders). The predictive model built 739 by SIMON suggests a link between both arms of the immune response - cellular and humoral immunity 740 - with the durability of the SARS-CoV-2 protective immunity. Thus, this early immunological signature 741 may determine essential differences of the trajectory that each individual will take after SARS-CoV-2 742 infection. Importantly, the sera of the individuals who will go on to generate durable and protective 743 SARS-CoV-2 immunity (high responders) 6 months post infection, were better able to neutralise both the Victoria strain (the likely infection strain), and also the VOCs (B.1.1.7 - alpha and B.1.351 - beta)
one month after infection, and such protective neutralising antibody responses were durable (as
measured 6 months post infection). In contrast, those who were low responders 6 months after infection
showed a reduction in the capacity to neutralise the Victoria strain, with a severe loss of neutralisation
against both VOC - particularly B1.351.

749

750 Overall, our data reveal the highly variable range of immunity after SARS-CoV-2 infection and suggest 751 that immune events primed during early SARS-CoV-2 infection may define the subsequent trajectories 752 leading to the effective maintenance or loss of long-term SARS-CoV-2 protective immunity as measured 753 by neutralising antibodies. Importantly, previous infection may not give ongoing protection against VOC 754 months later, and people with asymptomatic infection had lower responses at all time points across 755 many of the immune parameters we measured. Maintenance of immune memory over time is critically 756 required for the effective neutralisation of VOC that is most likely to confer sterilising immunity, whilst 757 other immune mechanisms including non-neutralising antibodies and T cells may account for the 758 protection against severe disease, including for VOC 57, 58, 59, 60. This study provides a basis for more 759 targeted vaccination programme of previously infected individuals based on early immunological 760 signature 28 days after infection.

761

762 Figure Legends763

Figure 1: Longitudinal humoral immune responses in individuals with PCR confirmed SARS CoV-2 asymptomatic, mild or severe infection.

766 Humoral immune responses were assessed in acute and convalescent by binding antibody ELISA for 767 total IgG specific to the (A) Nucleopcapsid and (B) Spike glycoprotein, quantification of (C) IgG 768 memory B cells specific to the spike glycoprotein, and (D) pseudoneutralisation antibody titres. Boxplots 769 represent the median with interguartile range, a Wilcoxon rank-sum test was used to compare between 770 study time points. A generalised additive mixed model (GAMM) by restricted maximum likelihood -771 right-hand plots — was used to fit the immunological measures (log10 transformed) taken at multiple 772 study time points, using Gaussian process smooth term. Disease severity group was included in the 773 GAMM as a linear predictor and a participant identifier was included as a random effect. See Table S1 774 for number of individuals evaluated per assay.

775

Figure 2: Antibody isotype, subclass and function in individuals with PCR confirmed SARS CoV-2 asymptomatic, mild or severe infection.

SARS-CoV-2 spike-specific antibody isotype and subclasses measured post-infection: (A) IgM, (B) IgA,
(C) IgG1 and (D) IgG3. Antibody function measure post-SARS-CoV-2 infection: (E) antibody-dependent
NK cell activation (ADNKA), (F) antibody-dependent neutrophil phagocytosis (ADNP), (G) antibodydependent monocyte phagocytosis (ADMP) and (H) antibody-dependent complement deposition
(ADCD). (I) Polar plot of various antibody isotype, subclass and function data, minimum-maximum
normalised. Boxplots represent the median with interquartile range, a Wilcoxon rank-sum test was
used to compare between study time points. A generalised additive mixed model (GAMM) by restricted

- maximum likelihood right-hand plots was used to fit the immunological measures (log10
 transformed) taken at multiple study time points, using Gaussian process smooth term. Disease severity
 group was included in the GAMM as a linear predictor and a participant identifier was included as a
 random effect. See Table S1 for number of individuals evaluated per assay.
- 789

Figure 3: Longitudinal specific-IgG and memory B cell responses to spike protein from non SARS-CoV-2 coronaviruses.

(A) Meso Scale Discovery (MSD) multiplexed immunoassay (MIA) platform measurements of antibody
 levels to spike protein from non-SARS-CoV-2 coronaviruses. (B) Memory B cells responses to spike
 protein from non-SARS-CoV-2 coronaviruses. See Table S1 for number of individuals evaluated per
 assay.

796

797 Figure 4 Magnitude of SARS-CoV-2 specific Effector T cell Response.

798 (A) Ex vivo IFN-y ELISpot showing the effector T cell responses to summed SARS-CoV-2 peptide pools 799 spanning spike, accessory and structural proteins (summed total of SARS-CoV-2 proteins tested, S1, 800 S2, NSP3B, M, NP, ORF 3, ORF8 and the CEFT positive control peptides for T cell responses) in 78 801 individuals 28, 90 and 180 days after mild or asymptomatic SARS-CoV-2 infection (onset of symptoms 802 for mild cases, PCR positive test for asymptomatic participants). (B) Heatmap displaying unsupervised 803 hierarchical clustering of the ELISpot data in (A) and disease severity (mild or asymptomatic) for the 804 original SARS-CoV-2 diagnosis. Sfu / million PBMCs = spot forming units per million peripheral blood 805 mononuclear cells, with background subtracted. D28, d90 and d180 = days after SARS-CoV-2 806 diagnosis. Grey regions on heatmap represent missing data due to insufficient cells. Plots show median 807 with error bars indicating +/- IQR. Friedman test with Dunn's multiple comparisons test was performed. 808

809 Figure 5. Proliferative responses to SARS-CoV-2 peptide pools at 1- and 6-months post infection

810 Proliferative responses against (A) SARS-CoV-2 proteins S1, S2, M, NP, ORF3 and ORF8 presented 811 in CD4+ (Left hand panel) and CD8+ (Right hand panel) T cells measured at 28 and 180 days pso for 812 volunteers with mild disease or days post PCR positivity for asymptomatic disease (asymptomatic n = 813 8, mild disease n = 49). Kruskal Wallis T test, all P values are all stated on plots. (B) shows unsupervised 814 hierarchical clustering showing visual representation of SARS-CoV-2 specific responses at day 28 and 815 180 in both CD4+ and CD8+ T cell compartments and (C) comparative analysis of SARS-CoV-2 specific 816 CD4+ and CD8+ T cell responses at day 28 (top panel) and day 180 (bottom panel) in both 817 asymptomatic and mild groups (analysed as one group). Kruskal Wallis T test, all P values are all stated 818 on plots.

819

820 Figure 6. Integrative analysis of clinical and longitudinal immunological data reveals distinct

821 immunophenotypic groups of SARS-CoV-2 infected individuals. (A) Clinical study overview. (B) t-

- 822 SNE map of integrated clinical and immunological data color-coded based on timepoint or disease
- 823 severity. (C) Clustered t-SNE analysis. (D) Heatmap of clinical and immune parameters across three
- 824 identified clusters. (E) PCA plot representing integrated immunological data, grouped based on the

- 825 disease severity. Percentage indicates the variance explained by the principal component (PC). (F) 826 Variable correlation plot. Positively correlated variables are grouped together, while negatively 827 correlated variables are positioned on opposite quadrants. The distance between variables and the 828 origin measures the quality of the variables on the factor map, while the colour indicated the quality of 829 representations as cos2. (G) Quality of variable representations (color-coded, cos2) and contributions 830 of variables to principal components 1 and 2 (size of the circle). (H) Top 10 variables and their 831 contribution to PC 1 and 2. (I) Correlations of immunological parameters with time component across 832 samples. Spearman's correlation coefficient (colour coded) and only significant values shown (after 833 adjusted FDR <0.05). Black boxes indicate clusters (hierarchical clustering).
- 834

835 Figure 7. Early signature of durable SARS-CoV2 protective immunity. (A) Hierarchical clustering 836 heatmap of immune parameters on day 28 pso, grouping by responder status 6 months pso and disease 837 severity. Results obtained using complete linkage agglomeration method, dendrogram ordered tightest 838 cluster first. (B) Integrative immunological dataset containing 3,626 datapoints (49 features and 74 839 donors) was used for SIMON analysis to predict if the individual will generate high or low anti-N antibody 840 responses 6 months pso. In total, 184 ML algorithms were tested and 2,556 model built. ROC plot of 841 the best performing model built with the svmPoly algorithm. Train AUROC (black line) is determined 842 using 10-fold cross-validation and test AUROC evaluated on the independent test set (25% of the initial 843 dataset). (C) Top variables that contribute to the model and are increased in high relative to low 844 responders. (D) Frequency of selected variables on day 28pso (bars show mean with SEM). Mann-845 Whitney test (p<0.05). (E) Neutralisation assay against wild-type SARS-CoV2 (Victoria), and two novel 846 variants (B1.1.7 and B1.351) between high and low responders on two timepoints (one and 6 months 847 pso). Plots show mean with SEM. Kruskal-Wallis, with Dunn's multiple comparison test (p<0.05) was 848 performed. 849

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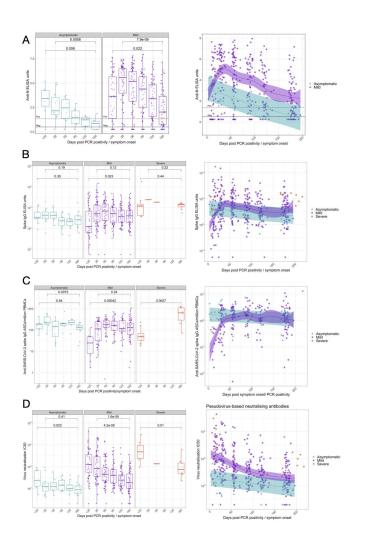


Figure 1

Figure 1

Figure 1: Longitudinal humoral immune responses in individuals with PCR confirmed SARS-CoV-2 asymptomatic, mild or severe infection. Humoral immune responses were assessed in acute and convalescent by binding antibody ELISA for total IgG specific to the (A) Nucleopcapsid and (B) Spike

glycoprotein, quantification of (C) IgG memory B cells specific to the spike glycoprotein, and (D) pseudoneutralisation antibody titres. Boxplots represent the median with interquartile range, a Wilcoxon rank-sum test was used to compare between study time points. A generalised additive mixed model (GAMM) by restricted maximum likelihood — right-hand plots — was used to fit the immunological measures (log10 transformed) taken at multiple study time points, using Gaussian process smooth term. Disease severity group was included in the GAMM as a linear predictor and a participant identifier was included as a random effect.

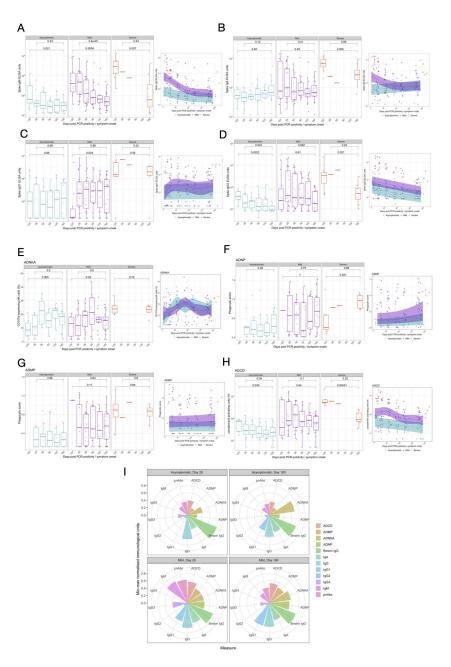


Figure 2: Antibody isotype, subclass and function in individuals with PCR confirmed SARS-CoV-2 asymptomatic, mild or severe infection. SARS-CoV-2 spike-specific antibody isotype and subclasses measured post-infection: (A) IgM, (B) IgA, (C) IgG1 and (D) IgG3. Antibody function measure post-SARS-CoV-2 infection: (E) antibody-dependent NK cell activation (ADNKA), (F) antibody-dependent neutrophil phagocytosis (ADNP), (G) antibody-dependent monocyte phagocytosis (ADMP) and (H) antibody-dependent complement deposition (ADCD). (I) Polar plot of various antibody isotype, subclass and function data, minimum-maximum normalised. Boxplots represent the median with interquartile range, a Wilcoxon rank-sum test was used to compare between study time points. A generalised additive mixed model (GAMM) by restricted maximum likelihood – right-hand plots – was used to fit the immunological measures (log10 transformed) taken at multiple study time points, using Gaussian process smooth term. Disease severity group was included in the GAMM as a linear predictor and a participant identifier was included as a random effect.

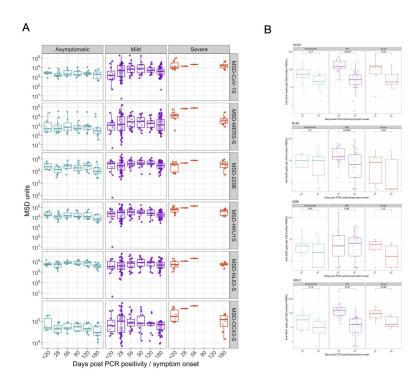


Figure 3

(A) Meso Scale Discovery (MSD) multiplexed immunoassay (MIA) platform measurements of antibody levels to spike protein from non-SARS-CoV-2 coronaviruses. (B) Memory B cells responses to spike protein from non-SARS-CoV-2 coronaviruses.

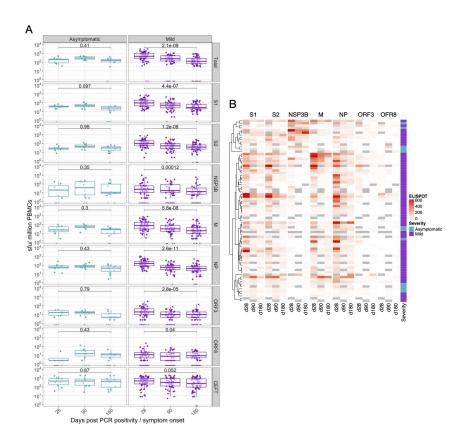


Figure 4

Figure 4 Magnitude of SARS-CoV-2 specific Effector T cell Response. A. Ex vivo IFN-γ ELISpot showing the effector T cell responses to summed SARS-CoV-2 peptide pools spanning spike, accessory and structural proteins (M, NP, NSP3B, ORF 3, ORF8, S1, S2, summed total of SARS-CoV-2 proteins tested and the CEFT positive control peptides for T cell responses) in 78 individuals 28, 90 and 180 days after SARS-CoV-2 (onset of symptoms for mild cases, PCR positive test for asymptomatic participants). Heatmap

displaying unsupervised hierarchical clustering of the ELISpot data in (A) and disease severity (mild or asymptomatic) for the original SARS-CoV-2 diagnosis. Sfu / million PBMCs = spot forming units per million peripheral blood mononuclear cells, with background subtracted. D28, d90 and d180 = days after SARS-CoV-2 diagnosis. Grey regions on heatmap represent missing data due to insufficient cells. Plots show median with error bars indicating +/- IQR. Friedman test with Dunn's multiple comparisons test was performed.

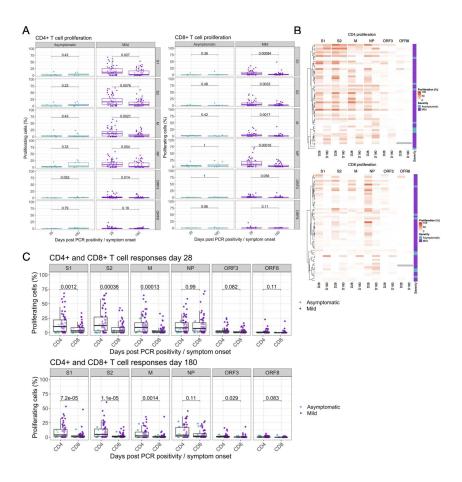


Figure 5

Figure 5. Proliferative responses to SARS-CoV-2 peptide pools at 1- and 6-months post infection Proliferative responses against (A) SARS-CoV-2 proteins S1, S2, M, NP, ORF3 and ORF8 presented in CD4+ (Left hand panel) and CD8+ (Right hand panel) T cells measured at 28 and 180 days pso for volunteers with mild disease or days post PCR positivity for asymptomatic disease (asymptomatic n = 8, mild disease n = 49). Kruskal Wallis T test, all P values are all stated on plots. (B) shows unsupervised hierarchical clustering showing visual representation of SARS-CoV-2 specific responses at day 28 and 180 in both CD4+ and CD8+ T cell compartments and (C) comparative analysis of SARS-CoV-2 specific CD4+ and CD8+ T cell responses at day 28 (top panel) and day 180 (bottom panel) in both asymptomatic and mild groups (analysed as one group). Kruskal Wallis T test, all P values are all stated on plots.

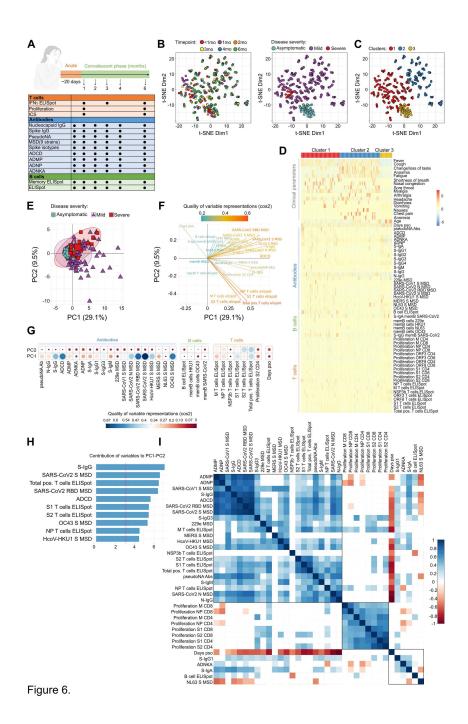


Figure 6. Integrative analysis of clinical and longitudinal immunological data reveals distinct immunophenotypic groups of SARS-CoV-2 infected individuals. (A) Clinical study overview. (B) t-SNE map of integrated clinical and immunological data color-coded based on timepoint or disease severity. (C) Clustered t-SNE analysis. (D) Heatmap of clinical and immune parameters across three identified clusters. (E) PCA plot representing integrated immunological data, grouped based on the disease severity. Percentage indicates the variance explained by the principal component (PC). (F) Variable correlation plot. Positively correlated variables are grouped together, while negatively correlated variables are positioned on opposite quadrants. The distance between variables and the origin measures the quality of the variables on the factor map, while the colour indicated the quality of representations as cos2. (G) Quality of variable representations (color-coded, cos2) and contributions of variables to principal components 1 and 2 (size of the circle). (H) Top 10 variables and their contribution to PC 1 and 2. (I) Correlations of immunological parameters with time component across samples. Spearman's correlation coefficient (colour coded) and only significant values shown (after adjusted FDR <0.05). Black boxes indicate clusters (hierarchical clustering).

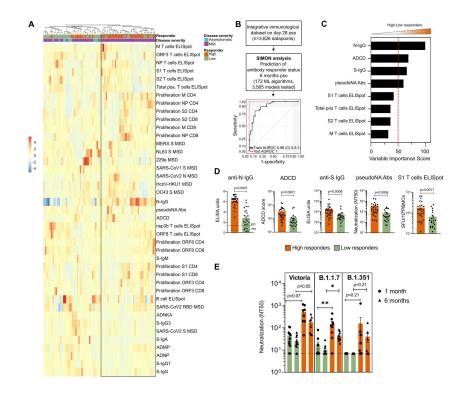


Figure 7.

Figure 7

Figure 7. Early signature of durable SARS-CoV2 protective immunity. (A) Hierarchical clustering heatmap of immune parameters on day 28 pso, grouping by responder status 6 months pso and disease severity. Results obtained using complete linkage agglomeration method, dendrogram ordered tightest cluster first. (B) Integrative immunological dataset containing 3,626 datapoints (49 features and 74 donors) was used for SIMON analysis to predict if the individual will generate high or low anti-N antibody responses 6

months pso. In total, 184 ML algorithms were tested and 2,556 model built. ROC plot of the best performing model built with the svmPoly algorithm. Train AUROC (black line) is determined using 10-fold cross-validation and test AUROC evaluated on the independent test set (25% of the initial dataset). (C) Top variables that contribute to the model and are increased in high relative to low responders. (D) Frequency of selected variables on day 28pso (bars show mean with SEM). Mann-Whitney test (p<0.05). (E) Neutralisation assay against wild-type SARS-CoV2 (Victoria), and two novel variants (B1.1.7 and B1.351) between high and low responders on two timepoints (one and 6 months pso). Plots show mean with SEM. Kruskal-Wallis, with Dunn's multiple comparison test (p<0.05) was performed.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- Suppfig1.tiff
- Suppfig2.tiff
- Suppfig3.tiff
- Suppfig4.tiff
- Suppfig5.tiff
- AppendixSupplementaryFiguresandAdditionalMethods.pdf