



July 13, 2022

bioMerieux, Inc.  
Esther Hernandez  
Regulatory Affairs Specialist  
595 Anglum Road  
Hazelwood, Missouri 63042

Re: K213899

Trade/Device Name: VITEK 2 AST-Yeast Caspofungin ( $\leq 0.125 - \geq 8$   $\mu\text{g/mL}$ ), VITEK 2 AST-YS Caspofungin ( $\leq 0.125 - \geq 8$   $\mu\text{g/mL}$ ), VITEK 2 AST-YS Caspofungin

Regulation Number: 21 CFR 866.1645

Regulation Name: Fully automated short-term incubation cycle antimicrobial susceptibility system

Regulatory Class: Class II

Product Code: LON, LTT, LTW

Dear Esther Hernandez:

The Food and Drug Administration (FDA) is sending this letter to notify you of an administrative change related to your previous substantial equivalence (SE) determination letter dated July 12, 2022. Specifically, FDA is updating this SE Letter to provide the correct Indications for Use form (FORM FDA 3881) as an administrative correction.

Please note that the 510(k) submission was not re-reviewed. For questions regarding this letter please contact Ribhi Shavar, Ph.D., OHT7: Office of In Vitro Diagnostics, 301-796-6698, Ribhi.Shavar@fda.hhs.gov.

Sincerely,

**Natasha Griffin -S**

Ribhi Shavar, Ph.D.

Branch Chief

Division of Microbiology Devices

OHT7: Office of In Vitro Diagnostics

Office of Product Evaluation and Quality

Center for Devices and Radiological Health



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Regulation Number: 21 CFR 866.1645

Regulation Name: Fully Automated Short-Term Incubation Cycle Antimicrobial Susceptibility System

Regulatory Class: Class II

Product Code: LON, LTT, LTW

Dated: December 13, 2021

Received: December 14, 2021

Dear Esther Hernandez:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801 and Part 809); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance>) and CDRH Learn (<https://www.fda.gov/training-and-continuing-education/cdrh-learn>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice>) for more information or contact DICE by email ([DICE@fda.hhs.gov](mailto:DICE@fda.hhs.gov)) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

  
**Natasha Griffin -S**

Ribhi Shawar, Ph.D. (ABMM)  
Branch Chief  
General Bacteriology and Antimicrobial  
Susceptibility Branch  
Division of Microbiology Devices  
OHT7: Office of In Vitro Diagnostics  
Office of Product Evaluation and Quality  
Center for Devices and Radiological Health

Enclosure

## Indications for Use

510(k) Number (if known)

K213899

Device Name

VITEK<sup>®</sup> 2 AST-Yeast Caspofungin ( $\leq 0.125$  -  $\geq 8$   $\mu\text{g/mL}$ )

Indications for Use (Describe)

VITEK<sup>®</sup> 2 AST-Yeast Caspofungin is designed for antifungal susceptibility testing of *Candida* species and is intended for use with the VITEK<sup>®</sup> 2 and VITEK<sup>®</sup> 2 Compact Systems as a laboratory aid in the determination of in vitro susceptibility to antifungal agents. VITEK<sup>®</sup> 2 AST-Yeast Caspofungin is a quantitative test. Caspofungin has been shown to be active against most strains of the microorganisms listed below, according to the FDA label for this antifungal.

Active in vitro and in clinical infections:

*Candida albicans*

*Candida guilliermondii*

*Candida krusei*

*Candida parapsilosis*

*Candida tropicalis*

The VITEK<sup>®</sup> 2 Fungal Susceptibility Card is intended for use with the VITEK<sup>®</sup> 2 Systems in clinical laboratories as an in vitro test to determine the susceptibility of clinically significant yeasts to antifungal agents when used as instructed.

Type of Use (Select one or both, as applicable)

Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

### CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

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## 510(k) SUMMARY

### VITEK<sup>®</sup> 2 AST-YS Caspofungin

#### A. 510(k) Submission Information:

Submitter's Name:	bioMérieux, Inc.
Address:	595 Anglum Road Hazelwood, MO 63042
Contact Person:	Esther Hernandez Regulatory Affairs Specialist
Phone Number:	314 -731-8841
Fax Number:	314-731-8689
Date of Preparation:	December 8, 2021

#### B. Device Name:

Formal/Trade Name:	VITEK <sup>®</sup> 2 AST-Yeast Caspofungin ( $\leq 0.125 - \geq 8$ $\mu\text{g/mL}$ )
Classification Name:	21 CFR 866.1645 Fully Automated Short-Term Incubation Cycle Antimicrobial Susceptibility System Product Code LON, LTT, LTW
Common Name:	VITEK <sup>®</sup> 2 AST-YS Caspofungin

**C. Predicate Device:** VITEK<sup>®</sup> 2 AST-Yeast Caspofungin (K151817)

#### D. Device Description:

The principle of the VITEK<sup>®</sup> 2 AST cards is based on the microdilution minimum inhibitory concentration (MIC) technique reported by MacLowry and Marsh<sup>(1)</sup> and Gerlach<sup>(2)</sup>. The VITEK<sup>®</sup> 2 AST card is essentially a miniaturized, abbreviated and automated version of the doubling dilution technique<sup>(3)</sup>.

Each VITEK<sup>®</sup> 2 AST card contains 64 wells. A control well which only contains microbiological culture media is resident on all cards. The remaining wells contain premeasured portions of a specific antibiotic combined with culture media. The bacterial or yeast isolate to be tested is diluted to a standardized concentration with 0.45 – 0.5% saline before being used to rehydrate the

antimicrobial medium within the card. The VITEK® 2 System automatically fills, seals and places the card into the incubator/reader. The VITEK® 2 Compact has a manual filling, sealing and loading operation. The VITEK® 2 Systems monitor the growth of each well in the card over a defined period of time. At the completion of the incubation cycle, a report is generated that contains the MIC value along with the interpretive category result for each antibiotic contained on the card.

VITEK® 2 AST-YS Caspofungin has the following concentrations in the card: 0.125, 0.5, 2, and 8 (equivalent standard method concentration by efficacy in µg/mL).

### E. Substantial Equivalence Information

The similarities and differences of the VITEK 2 AST-YS Caspofungin when compared to the predicate device, VITEK 2 AST-YS Caspofungin (K151817), are described in the following table. The only difference between both devices are the Indications for Use and the breakpoints used to analyze the data performance. The below table provides the similarities and differences:

Item	Device: VITEK® 2 AST-YS Caspofungin	Predicate: VITEK® 2 AST-YS Caspofungin (K151817)
<b>Similarities</b>		
<b>Intended Use</b>	<p>VITEK® 2 AST-Yeast Caspofungin is designed for antifungal susceptibility testing of Candida species and is intended for use with the VITEK® 2 and VITEK® 2 Compact Systems as a laboratory aid in the determination of <i>in vitro</i> susceptibility to antifungal agents. VITEK® 2 AST-Yeast Caspofungin is a quantitative test. Caspofungin has been shown to be active against most strains of the microorganisms listed below, according to the FDA label for this antifungal.</p> <p><u>Active <i>in vitro</i> and in clinical infections:</u>  <i>Candida albicans</i>  <i>Candida guilliermondii</i>  <i>Candida krusei</i>  <i>Candida parapsilosis</i>  <i>Candida tropicalis</i></p> <p>The VITEK® 2 Fungal Susceptibility Card is intended for use with the</p>	<p>VITEK® 2 AST-Yeast Caspofungin is designed for antifungal susceptibility testing of Candida species and is intended for use with the VITEK® 2 and VITEK® 2 Compact Systems as a laboratory aid in the determination of <i>in vitro</i> susceptibility to antifungal agents. VITEK® 2 AST-Yeast Caspofungin is a quantitative test. Caspofungin has been shown to be active against most strains of the microorganisms listed below, according to the FDA label for this antifungal.</p> <p><u>Active <i>in vitro</i> and in clinical infections:</u>  <i>Candida albicans</i>  <i>Candida glabrata</i>  <i>Candida guilliermondii</i>  <i>Candida krusei</i>  <i>Candida parapsilosis</i>  <i>Candida tropicalis</i></p> <p>The VITEK® 2 Antimicrobial</p>

	VITEK <sup>®</sup> 2 Systems in clinical laboratories as an <i>in vitro</i> test to determine the susceptibility of clinically significant yeasts to antifungal agents when used as instructed.	Susceptibility Test (AST) is intended to for use with the VITEK 2 Systems for the automated quantitative or qualitative susceptibility testing of isolated colonies for most clinically significant aerobic Gram-negative bacilli, Staphylococcus spp., Enterococcus spp., Streptococcus spp. and clinical significant yeast.
<b>Test Methodology</b>	Automated quantitative antimicrobial susceptibility test for use with the VITEK <sup>®</sup> 2 and VITEK <sup>®</sup> 2 Compact Systems to determine the <i>in vitro</i> susceptibility of yeast.	Same
<b>Antimicrobial Agent</b>	Caspofungin	Same
<b>Inoculum</b>	Saline suspension of organism	Same
<b>Test Card</b>	VITEK <sup>®</sup> 2 Yeast (AST) Susceptibility Test Card	Same
<b>Analysis Algorithms</b>	Discriminant Analysis	Same
<b>Instrument</b>	VITEK <sup>®</sup> 2 and VITEK <sup>®</sup> 2 Compact Systems	Same
<b>Concentrations</b>	0.125, 0.5, 2, 8	Same
<b>Differences</b>		
<b>Indications for Use</b>	<i>Candida albicans</i> <i>Candida guilliermondii</i> <i>Candida krusei</i> <i>Candida parapsilosis</i> <i>Candida tropicalis</i>	<i>Candida albicans</i> <i>Candida glabrata</i> <i>Candida guilliermondii</i> <i>Candida krusei</i> <i>Candida parapsilosis</i> <i>Candida tropicalis</i>
<b>Breakpoints for <i>Candida</i> spp.</b>	<i>Candida albicans</i> : ≤0.25 (S), 0.5 (I), ≥1 (R) <i>Candida guilliermondii</i> : ≤2 (S), 4 (I), ≥8 (R) <i>Candida krusei</i> : ≤0.25 (S), 0.5 (I), ≥1 (R) <i>Candida parapsilosis</i> : ≤2 (S), 4 (I), ≥8 (R) <i>Candida tropicalis</i> : ≤0.25 (S), 0.5 (I), ≥1 (R)	<i>Candida</i> spp.: ≤2 (S), - (I), - (R)

## F. Intended Use:

VITEK<sup>®</sup> 2 AST-Yeast Caspofungin is designed for antifungal susceptibility testing of *Candida* species and is intended for use with the VITEK<sup>®</sup> 2 and VITEK<sup>®</sup> 2 Compact Systems as a laboratory aid in the determination of *in vitro* susceptibility to antifungal agents. VITEK<sup>®</sup> 2 AST-Yeast Caspofungin is a quantitative test. Caspofungin has been shown to be active against most strains of the microorganisms listed below, according to the FDA label for this antifungal.

Active in vitro and in clinical infections:

- Candida albicans*
- Candida guilliermondii*
- Candida krusei*
- Candida parapsilosis*
- Candida tropicalis*

The VITEK® 2 Fungal Susceptibility Card is intended for use with the VITEK® 2 Systems in clinical laboratories as an in vitro test to determine the susceptibility of clinically significant yeasts to antifungal agents when used as instructed.

**G. Performance Overview:**

VITEK® 2 AST-YS Caspofungin demonstrated substantially equivalent performance when compared with the CLSI broth microdilution reference method, as defined in the FDA Class II Special Controls Guidance Document: Antimicrobial Susceptibility Test (AST) Systems; Guidance for Industry and FDA (Issued August 28, 2009).

The Premarket Notification (Traditional 510[k]) presents data in support of VITEK® 2 AST-YS Caspofungin. An external evaluation was conducted with fresh and stock clinical isolates, as well as a set of challenge strains. The external evaluations were designed to confirm the acceptability of VITEK® 2 AST-YS Caspofungin by comparing its performance with the CLSI broth microdilution reference method incubated at 24 hours (or up to 48 hours for isolates that show insufficient growth at 24 hours). The data is representative of performance on both the VITEK® 2 and VITEK® 2 Compact instrument platforms.

VITEK® 2 AST-YS Caspofungin demonstrated acceptable performance of 99.9% overall Essential Agreement and 97.5% overall Category Agreement with the reference method.

Antimicrobial	Anti-microbial Code	Antibiotic Version	Bp <sup>1</sup>	Comment	Essential Agreement				Category Agreement				%Reproducibility
					% Error				% Error				
					% EA	VME	ME	mE	% CA	VME	ME	mE	
Caspofungin	CAS	cas02n	CLSI (FDA)	#, E,	(679/680) 99.9	N/A	N/A	N/A	(663/680) 97.5	(2/2) 100.0	(0/663) 0.0	(15/680) 2.2	96.7
VITEK 2 Caspofungin MIC values tended to be in exact agreement or at least one doubling dilution lower when testing <i>Candida albicans</i> , <i>Candida guilliermondii</i> , <i>Candida krusei</i> , <i>Candida parapsilosis</i> , and <i>Candida tropicalis</i> compared to the broth microdilution reference method. One very major error was observed for <i>C. albicans</i> and one very major error was observed for <i>C. tropicalis</i> using the VITEK 2 (both the automatic and manual dilution methods). Each very major error was the only resistant isolate tested and was within essential agreement with the reference method. One very major error was observed for <i>C. tropicalis</i> using the VITEK 2 Compact. This very major error was the only resistant isolate tested and was not within essential agreement with the reference method.													

<sup>1</sup>Abbreviations – Bp=breakpoint committee; EA=essential agreement; CA=category agreement; VME=Very Major Error (susceptible result with resistant reference result); ME=Major Error (resistant result with susceptible reference result); mE = minor Error (susceptible or resistant result with an intermediate reference result, or an intermediate result with a susceptible or resistant reference result).

# = US Food and Drug Administration 510(k) cleared

CLSI = Clinical and Laboratory Standards Institute



E = External performance data

N/A = Not applicable

Reproducibility and Quality Control demonstrated acceptable results.

#### **H. Conclusion:**

The performance data presented in this submission support a substantial equivalence decision. VITEK<sup>®</sup> 2 AST-Yeast Caspofungin ( $\leq 0.125 - \geq 8 \mu\text{g/mL}$ ) is substantially equivalent to VITEK<sup>®</sup> 2 AST-Yeast Caspofungin (K151817).

#### **References:**

1. MacLowry, J.D. and Marsh, H.H., Semi-automatic Microtechnique for Serial Dilution Antibiotic Sensitivity Testing in the Clinical laboratory, *Journal of Laboratory Clinical Medicine*, 72:685-687, 1968.
2. Gerlach, E.H., Microdilution 1: A Comparative Study, p. 63-76. *Current Techniques for Antibiotic Susceptibility Testing*. A. Balows (ed.), Charles C. Thomas, Springfield, IL, 1974.
3. Barry, A.L., *The Antimicrobial Susceptibility Test, Principles and Practices*, Lea and Febiger, Philadelphia, PA, 1976.