

# FEDERAL UPDATES ON THE EMERGENCY USE OF MCMs: EUAs, EUIs, AND BEYOND

**2015 Public Health Preparedness Summit**

**Atlanta, GA**

**April 14, 2015**

**1:30-3:00 pm**



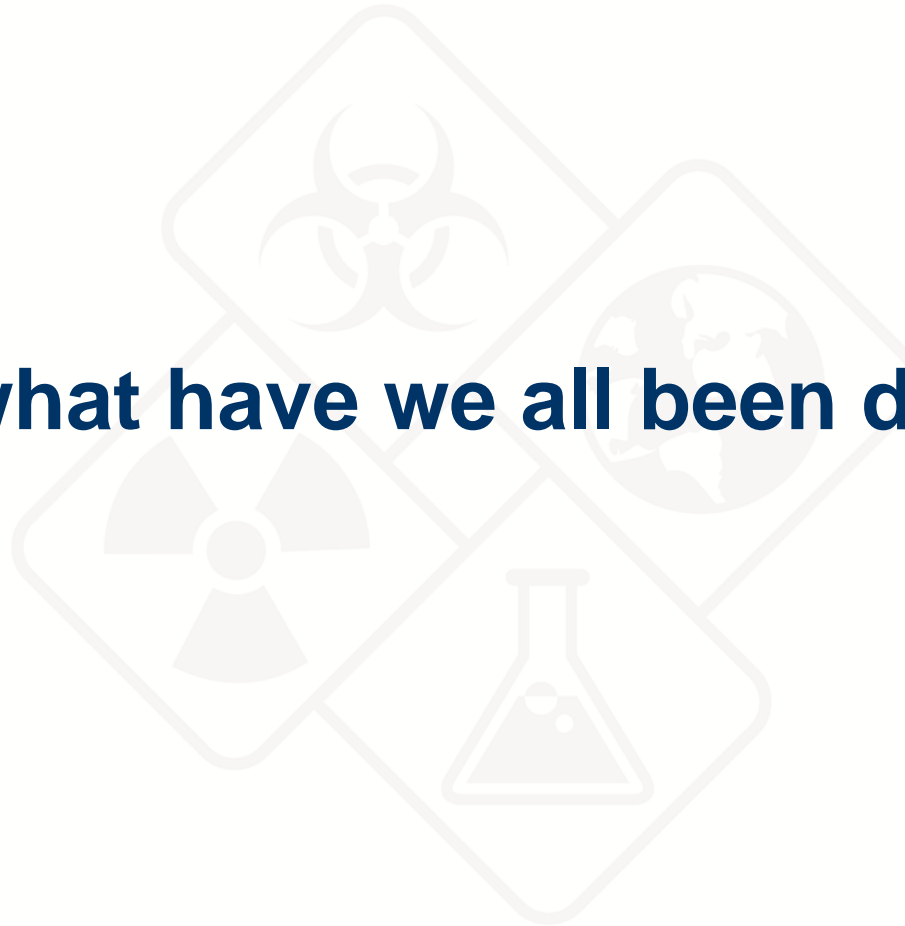
**U.S. Food and Drug Administration**  
Medical Countermeasures Initiative

## OVERVIEW

- Recap since last year's Summit (Brooke Courtney)
- FDA: Emergency use authorities and updates (Liz Sadove)
- CDC: PAHPRA – EUI and PREP Act (Joe Foster)  
*This copy only includes FDA slides*
- CDC: EUI updates (Yon Yu)  
*This copy only includes FDA slides*
- Q&A



**So, what have we all been doing?!**



## Implementing PAHPRA emergency use authorities and enabling access to MCMs that are not yet approved for use

- CDC and FDA have continued to interpret and implement PAHPRA emergency use authorities and flexibilities
- Multiple EUAs have been issued for preparedness and response purposes
  - 2 H7N9 IVD EUAs for preparedness
  - Reissuance of MERS-CoV IVD EUA for preparedness, and...
  - Ebola...

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

Food and Drug Administration  
[Docket No. FDA-2014-N-0670]

**Authorization of Emergency Use of an In Vitro Diagnostic Device for Detection of Novel Influenza A (H7N9) Virus; Availability**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing the issuance of an Emergency Use Authorization (EUA) (the Authorization) for an in vitro diagnostic device for detection of the novel influenza A (H7N9) virus (detected in China in 2013). FDA is issuing this Authorization

## Responding to Emerging Threats: Ebola

- Extensive collaboration/coordination with multiple partners
- EUA declaration issued for Ebola IVDs → 9 EUAs issued for Ebola IVDs (+ many reissued per sponsors' requests)
- PREP Act declaration issued for certain EVD vaccines
- Facilitating export of products
- Facilitating access to investigational products and the development of vaccines and therapeutics (clinical trials, etc.)
- Enforcement of fraudulent product claims
- On-the-ground response
- Etc.



## Reviewing and Approving MCM Applications



- Neupogen
  - New indication to increase survival in patients acutely exposed to myelosuppressive doses of radiation (hematopoietic syndrome of ARS)
- Anthraxil, Anthrax Immune Globulin Intravenous
  - To treat patients with inhalational anthrax in combination with appropriate antibacterial drugs
- Influenza A (H5N1) Virus Monovalent Vaccine, Adjuvanted (Q-Pan H5N1)
  - For use in people  $\geq 18$  years of age who are at increased risk of exposure to the H5N1 influenza virus
  - 1<sup>st</sup> adjuvanted vaccine approved for prevention of H5N1 influenza
- Diagnostics
  - e.g.) CDC assay for qualitative detection of plasmid and chromosomal DNA sequences from *B. anthracis* (anthrax)
  - e.g.) 2 IVDs for qualitative detection and differentiation of influenza viruses and modifications of previously approved assays to improve performance

## Helping to ensure an adequate supply of MCMs

- Expiry dating extensions
  - Federal Shelf-Life Extension Program (SLEP)
  - Auto-injectors for chemical nerve agents
    - Multiple extensions (most recently March 27, 2015)
    - “DuoDote, AtroPen, CANA, Morphine Sulfate, and Pralidoxime Chloride auto-injectors manufactured by Meridian Medical Technologies nearing or beyond their labeled or extended expiration dates should be retained until further guidance is provided by FDA”
  
- Exploring possible shelf life/stockpiling solutions for state and local stakeholders
  - e.g) feasibility of using 3<sup>rd</sup> party testers/laboratories for stability testing (2007 KI guidance)??



## Additional Resources

- **PAHPRA (Public Law 113-5)**
  - <http://www.gpo.gov/fdsys/pkg/PLAW-113publ5/pdf/PLAW-113publ5.pdf>
- **FDA EUA Website** (*official updates, current & terminated EUAs, questions & answers, guidance, etc.*)
  - <http://www.fda.gov/EmergencyPreparedness/Counterterrorism/ucm182568.htm>
- **FDA PAHPRA Website** (*PAHPRA summary, official updates, etc.*)
  - <http://www.fda.gov/EmergencyPreparedness/MedicalCountermeasures/ucm359581.htm>
- **FDA PAHPRA Questions & Answers** (January 2014)
  - <http://www.fda.gov/downloads/EmergencyPreparedness/Counterterrorism/MedicalCountermeasures/UCM380269.pdf>
- **FDA Medical Countermeasures Initiative (MCMi)**
  - <http://www.fda.gov/medicalcountermeasures>
- **DuoDote/Auto-Injector Expiry Dating Extensions**
  - <http://www.fda.gov/Drugs/DrugSafety/ucm376367.htm>



The background features a large, faint, light-blue graphic. It consists of a diamond shape containing a biohazard symbol on the left and a globe on the right. Below the diamond is a stylized human figure with a gear-like shape on its back, suggesting a medical or scientific theme. The text 'THANK YOU!' is centered over this graphic.

## THANK YOU!

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# **SESSION: FEDERAL UPDATES ON THE EMERGENCY USE OF MCMs: EUAs, EUIs, AND BEYOND**

## ***FDA Emergency Use Authorities and Updates***

**2015 Public Health Preparedness Summit**

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Elizabeth Sadove, JD  
Director, MCM Regulatory Policy  
Office of Counterterrorism and Emerging Threats  
Office of the Commissioner  
U.S. Food and Drug Administration



**U.S. Food and Drug Administration**  
Medical Countermeasures Initiative

## OVERVIEW

- FDA's MCM Roles
- Ebola Response
- Need for Special Legal/Regulatory Mechanisms for the Emergency Use of MCMs
- Emergency Use Authorization (EUA) & Other Emergency Use Authorities
- Additional Resources

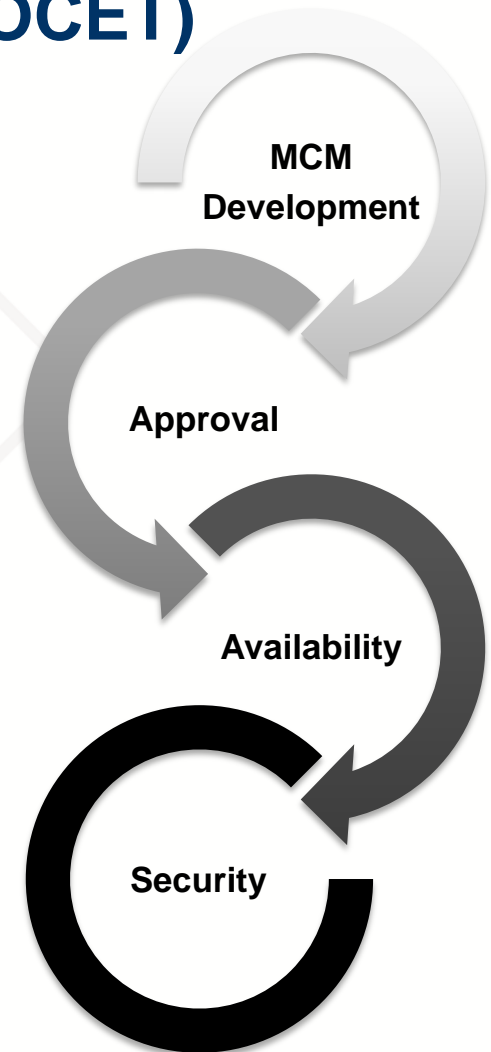
## FDA and Counterterrorism

- Protecting the U.S. from threats
  - Chemical, biological, radiological, nuclear (CBRN)
  - Emerging infectious diseases
  
- Ensuring that medical countermeasures (MCMs) to counter these threats are safe, effective, and secure
  - Drugs, vaccines, diagnostic tests, personal protective equipment (PPE)



## Office of Counterterrorism and Emerging Threats (OCET)

- Facilitate the **development** and **availability** of safe, effective MCMs
  - Engage with product sponsors throughout product development
  - Use legal/regulatory mechanisms to facilitate emergency use
  - Monitor for adverse events and assess benefit
  - Ensure laws and policies support this goal
- Point of entry on policy, planning for global health security, counterterrorism, emerging threats
- Identify and resolve complex scientific and regulatory challenges for MCMs



## Ebola Response



- Frequent communication and collaboration
  - Internal (FDA agency-wide)
  - HHS partners (BARDA, CDC, NIH/NIAID) and DoD
  - International (e.g., World Health Organization)
- No vaccines or treatments proven to be safe and effective; investigational products in early stages of development
- Facilitate development
  - Active communication with 20+ sponsors
  - Clarify regulatory requirements and expedite review of data
  - Employ drug development programs to expedite development, review and approval (e.g., orphan designation, fast track review, accelerated approval pathway, priority review vouchers)
  - Provide input on preclinical and clinical trial designs (most efficient way to learn if candidate product helps or harms patients)

## Ebola Response ... continued

- Facilitate access to investigational drugs and devices:
  - IND clinical trials- ethical and fair means to access given limited supplies and need to assess products
  - Expanded access INDs/IDEs
    - Multiple e-INDs issued for patients, not only in U.S. facilities
    - IND protocols for larger populations- if after trial completion and data are promising, FDA could provide wider access
  - Emergency Use Authorization (EUA)
    - Issued multiple EUAs for diagnostics (9 issued, + 6 amended & reissued)
- Export of unapproved products- regulatory considerations
- Consumer protection against fraudulent products/claims
  - 6 Warning Letters as of March 18, 2015
- Liability concerns

## Why are legal/regulatory mechanisms for emergency use of MCMs needed?

Without these mechanisms, certain preparedness and response activities could otherwise violate provisions of the FD&C Act:

- Some MCMs needed for a response might not be approved, licensed, or cleared by FDA (e.g., Ebola)
- Some MCMs needed for a response might be approved by FDA, but not for the emergency use (e.g., for a new indication)
- Some might be approved for the emergency use, but:
  - Need to be dispensed (e.g., at PODs) without individual prescriptions and/or by someone who is not a licensed health care professional, and with emergency use instructions (e.g., fact sheets)
  - MCMs might be used beyond their manufacturer-labeled expiration date
- Also, to ensure the Public Readiness and Emergency Preparedness (PREP) Act protections apply



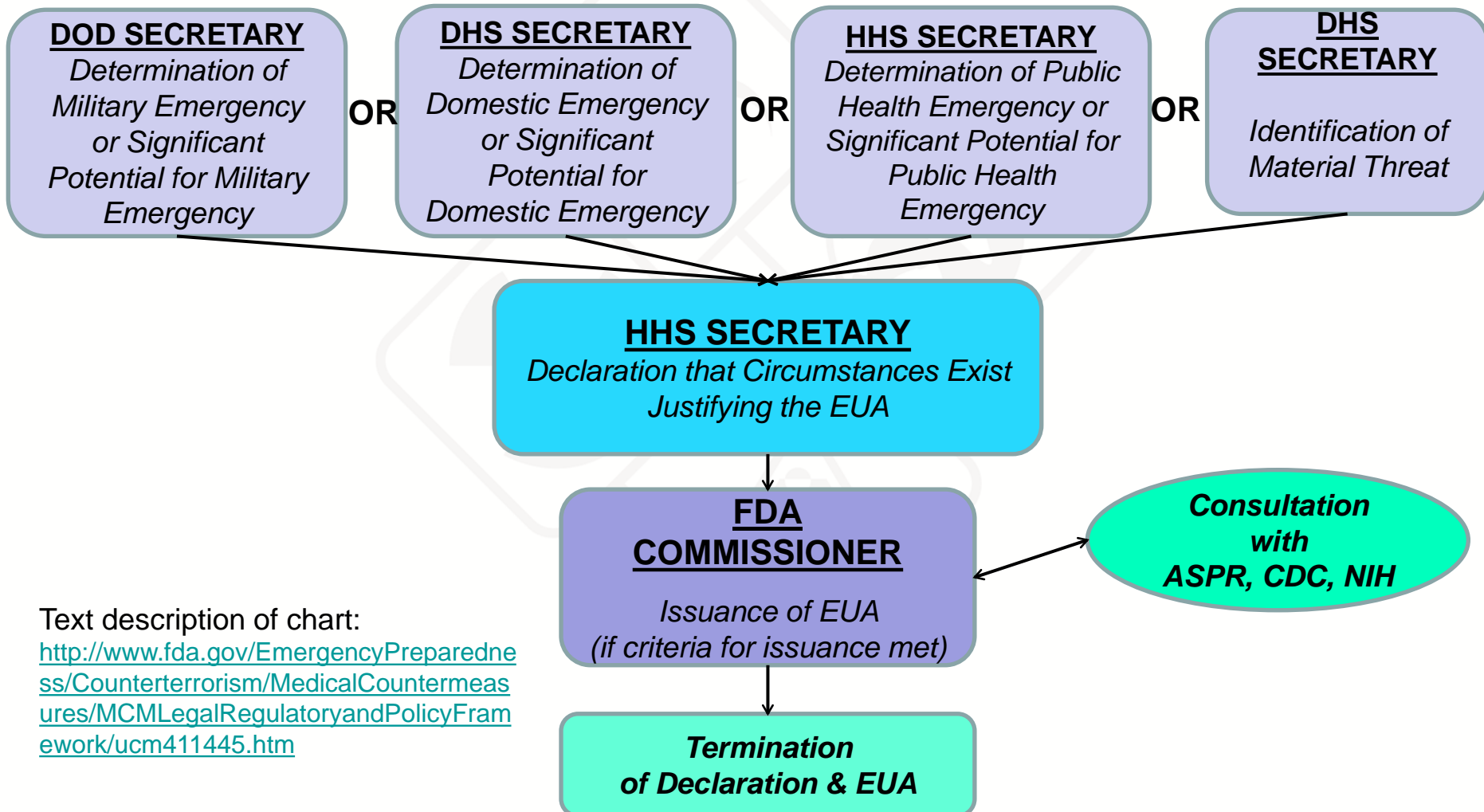
## What are the legal/regulatory mechanisms for emergency use of MCMs?

- **Emergency Use Authorization (EUA)**
  - FD&C Act § 564
  - Established by Project BioShield Act (2004)
  - Amended by the Pandemic and All-Hazards Preparedness Reauthorization Act of 2013 (PAHPRA) (Public Law 113-5)
- **Emergency use authorities**
  - FD&C Act §§ 564A, 505-1, and 564B
  - Established by PAHPRA
- **Expanded access to investigational drugs and devices**
  - Investigational New Drug Application (IND) (21 CFR Parts 312.300-320)
  - Investigational Device Exemption (IDE) (21 CFR Part 812)

## EUA Authority (FD&C Act § 564)

- With an EUA, FDA can authorize for use in CBRN emergencies the:
  - Use of unapproved MCMs or
  - Unapproved use of approved MCMs (e.g., for a new indication)
  
- When scientific evidence is available to support MCM use in a CBRN emergency, issuing an EUA enables response stakeholders to use, or prepare to use, an MCM without violating the FD&C Act; also helps to ensure applicable PREP Act coverage
  
- Overview of requirements for EUA issuance:
  1. DHS, DoD, or HHS Secretary makes a specific type of **determination**
  2. HHS Secretary issues a **declaration** that circumstances exist to justify EUA issuance based on 1 of the 4 types of determinations (*this is not a Public Health Emergency declaration; it is specific and unique to EUAs*)
  3. FDA ensures EUA **criteria for issuance** are met and issues the EUA when appropriate

# Summary of Process for EUA Issuance (FD&C Act § 564, as amended by PAHPRA)



Text description of chart:  
<http://www.fda.gov/EmergencyPreparedness/Counterterrorism/MedicalCountermeasures/MCMLegalRegulatoryandPolicyFramework/ucm411445.htm>

## EUA Authority: PAHPRA Amendments

- Gives FDA clearer authority to issue EUAs before an emergency
  - Allows issuance of an EUA without declaring that an “emergency” exists (e.g., the EUA determination can be based on a “significant potential” for a public health emergency or on the identification of a material threat)
  - To allow for staging (moving product in interstate commerce), stockpiling, creating fact sheets, and rapid initial use
  - Criteria for issuance are the same whether the EUA is issued before or during an emergency
- Eliminates 1-year automatic expiration of the HHS declaration that supports EUA issuance
- Expands the time period for collection and analysis of data about an MCM’s safety and clinical benefit beyond the effective period of the EUA
- Expressly permits FDA, when issuing an EUA for use of a diagnostic test, to categorize the test to allow it to be used at a point-of-care site (i.e., for purposes of CLIA waiver)

## Example of EUA Process/Issuance

- **Issuance of Department of Defense (DoD) Ebola IVD EUA (8/5/14)**
  - September 22, 2006: DHS Secretary **determined** the Ebola virus presents a material threat against the U.S. population sufficient to affect national security
  - August 5, 2014: HHS Secretary **declared** “*that circumstances exist justifying the authorization of emergency use of in vitro diagnostics for detection of Ebola virus*” (79 FR 47141)
  - August 5, 2014: Based upon a request from DOD, FDA **issued** an EUA for an EUA for the Ebola Zaire (Target 1) (EZ1) Real-Time PCR Assay (79 FR 55804)
  - October 10, 2014: Per DoD request to amend the EUA, FDA **amended and reissued** the EUA in its entirety (no FR notice required)
  - Additional Ebola EUA information is available at:  
<http://www.fda.gov/EmergencyPreparedness/Counterterrorism/ucm182568.htm>



## Summary of EUAs Issued (1)

Year	MCM	Requester	Status
<b>Anthrax [<i>Bacillus anthracis</i>]</b>			
2005	Anthrax Vaccine Adsorbed (AVA)	DoD	Terminated
2008	Doxycycline hyclate tablets (in USPS home & workplace kits)	HHS (ASPR/ BARDA)	Amended in 2009, 2010, 2011 (see row below)
2011	Doxycycline hyclate 100 mg oral tablets (in National Postal Model home & workplace kits)	HHS (ASPR/ BARDA)	Current
2011	All oral formulations of doxycycline (mass dispensing)	HHS (CDC)	Current*
<b>2009 H1N1 Influenza Pandemic</b>			
2009-2010	Antivirals (3)	HHS (CDC)	Terminated
2009-2010	IVDs (18)	Various	Terminated
2009-2010	Disposable N95 Respirators	HHS (CDC)	Terminated

\*To be terminated after issuance of doxycycline emergency dispensing order, CGMP waiver, and CDC EUI (sec. 564A of the FD&C Act).



## Summary of EUAs Issued (2)

Year	MCM	Requester	Status
<b>Novel Influenza A (H7N9) Virus</b>			
2013	CDC Human Influenza Virus Real-Time RT-PCR Diagnostic Panel-Influenza A/H7 (Eurasian Lineage) Assay	HHS (CDC)	Current
2014	Lyra™ Influenza A Subtype H7N9 Assay	Quidel Corporation	Current
2014	A/H7N9 Influenza Rapid Test	Arbor Vita Corporation	Current
<b>Middle East Respiratory Syndrome Coronavirus [MERS-CoV]</b>			
2013	CDC Novel Coronavirus 2012 Real-time RT-PCR Assay	HHS (CDC)	Current



## Summary of EUAs Issued (3)

Year	MCM	Requester	Status
<b>Ebola Virus</b>			
2014 (reissued in 2014)	DoD EZ1 Real-time RT-PCR Assay	DoD	Current
2014 (reissued in 2015)	CDC Ebola VP40 rRT-PCR Assay	CDC	Current
2014 (reissued in 2015)	CDC Ebola NP rRT-PCR Assay	CDC	Current
2014 (reissued in 2015)	BioFire Defense FilmArray NGDS BT-E Assay	BioFire Defense	Current
2014	BioFire Defense FilmArray Biothreat-E test	BioFire Defense	Current
2014 (reissued in 2014)	RealStar® Ebolavirus RT-PCR Kit 1.0	altona Diagnostics GmbH	Current
2014	LightMix® Ebola Zaire rRT-PCR Test	Roche Molecular Systems, Inc.	Current
2015 (reissued in 2015)	ReEBOV™ Antigen Rapid Test	Corgenix	Current
2015	Xpert® Ebola Assay	Cepheid	Current



## Other Emergency Use Authorities

- In addition to amending the EUA authority, PAHPRA establishes emergency use authorities for **approved MCMs** to facilitate stakeholder preparedness and response without EUA issuance:
  - Emergency dispensing orders (FDA)
  - Emergency use instructions (EUI) (CDC)
  - Expiration dating extensions (FDA)
  - Current Good Manufacturing Practices (CGMP) waivers (FDA)
  - Risk Evaluation and Mitigation Strategy (REMS) waivers (FDA)
- **Pre-positioning (approved/unapproved MCMs) (FD&C Act §564B):**
  - To facilitate rapid deployment during an actual emergency and without EUA issuance, PAHPRA allows pre-positioning of MCMs by or on behalf of government entities (federal, state, local) in anticipation of FDA approval, clearance, or licensure or EUA issuance
- These authorities preserve otherwise applicable liability protections (e.g., PREP Act) for responders and others involved in MCM planning, preparedness, and response

## Emergency Use Authorities

For **FDA-approved MCMs** intended for use during CBRN emergencies, certain activities are allowed **without FDA issuing an EUA** and without rendering a product unapproved, adulterated, or misbranded, as follows:

- **Emergency dispensing orders** [§564A(d)]
  - Allows emergency dispensing (e.g., mass dispensing at PODs) of approved MCMs without an individual prescription or without all required labeling information or by non-health care professionals if permitted:
    - (a) under State law or
    - (b) in accordance with an emergency dispensing order issued by FDA
- **Emergency use instructions (EUI)** [§564A(e)]
  - Permits a designated HHS official/unit (delegated to CDC) to create and issue, and others to disseminate, EUI about the use of an approved MCM before or during a CBRN emergency
- **CGMP waivers** [§564A(c)]
  - Deviations from otherwise applicable cGMP requirements (e.g., temporary storage temperature deviations during a response)

## Emergency Use Authorities... continued

- **Expiration dating extensions** [§564A(b)]
  - Expressly authorizes FDA to extend expiration beyond labeled expiration date
  - Extensions must be supported by appropriate scientific data
  - Extensions include requirements and conditions (e.g., identification of specific lots and duration of extension; storage and labeling requirements; etc.)
  - Does not expand federal Shelf-Life Extension Program (SLEP) to non-federal MCM stockpiles or create a new program for state/local stakeholders
  
- **REMS waivers** [§505-1]
  - Expands FDA's authority to waive REMS to cover any element based on scenarios giving rise to an EUA

# Summary of PAHPRA's MCM Emergency Preparedness and Response Provisions

MCM Emergency Preparedness and Response Provisions	MCM Category	FD&C Act Section
<b>Amendments to the EUA Authority</b>	<i>*Unapproved MCMs</i> <i>*Unapproved uses of approved MCMs</i>	<b>§ 564</b>
Determinations for EUA issuance		§§ 564(b)(1)(A)-(D)
Duration of HHS EUA declaration		§ 564(b)(2)
EUAs issued for preparedness purposes		§ 564(b)(1)
Data collection time period		§ 564(e)(1)(B)(iii)
Categorization of in vitro diagnostics (IVDs)		§ 564(m)
<b>Emergency Use Authorities (No EUA Needed)</b>	<i>*Approved MCMs only</i>	<b>§§ 564A &amp; 505-1</b>
Emergency use instructions (EUI)	<i>*EUI authority delegated to CDC</i>	§ 564A(e)
Emergency dispensing orders		§ 564A(d)
Expiration dating extensions		§ 564A(b)
CGMP waivers		§ 564A(c)
REMS waivers		§ 505-1
<b>Pre-Positioning Authority</b>	<i>*Approved MCMs</i> <i>*Unapproved MCMs</i>	<b>§ 564B</b>

## Examples of Implementation

- Doxycycline and ciprofloxacin packages (in progress)
  - Emergency dispensing orders, including specific CGMP waivers, in coordination with CDC's issuance of EUIs, will replace the need for EUAs for mass dispensing of doxycycline and ciprofloxacin
  - Emergency use package = emergency dispensing order + CGMP waiver + EUI
  - After issuance, the 2011 doxycycline mass dispensing EUA will be terminated
  
- Expiration dating extensions
  - Addressing critical stakeholder needs, enabling multiple extensions (e.g., DuoDote auto-injectors)
  - Exploring potential approaches for using authority for possible state/local MCM stockpile extensions (e.g., feasibility of 3<sup>rd</sup> party laboratory testing of doxycycline and ciprofloxacin) (in progress, very complex!)
  
- Revision of the 2007 EUA guidance to reflect PAHPRA updates (in progress)

## Additional Resources

- **PAHPRA (Public Law 113-5)**
  - <http://www.gpo.gov/fdsys/pkg/PLAW-113publ5/pdf/PLAW-113publ5.pdf>
- **FDA EUA Website** (*official updates, current & terminated EUAs, questions & answers, guidance, etc.*)
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- **FDA Medical Countermeasures Initiative (MCMi)**
  - <http://www.fda.gov/medicalcountermeasures>
- **PREP Act (HHS)**
  - <http://www.phe.gov/Preparedness/legal/prepact/Pages/default.aspx>



Please contact FDA with questions about  
MCM emergency use authorities, expiry  
dating challenges, etc.

**THANK YOU!**

[www.fda.gov/medicalcountermeasures](http://www.fda.gov/medicalcountermeasures)

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