

November 30, 2021

Open Implants, LLC % Chris Brown Manager Aclivi, LLC 3250 Brackley Drive Ann Arbor, Michigan 48105

Re: K212664

Trade/Device Name: Sherlock

Regulation Number: 21 CFR 872.3630

Regulation Name: Endosseous Dental Implant Abutment

Regulatory Class: Class II Product Code: NHA Dated: October 29, 2021 Received: November 1, 2021

## Dear Chris Brown:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

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Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems">https://www.fda.gov/medical-device-problems</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance</a>) and CDRH Learn (<a href="https://www.fda.gov/training-and-continuing-education/cdrh-learn">https://www.fda.gov/training-and-continuing-education/cdrh-learn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</a>) for more information or contact DICE by email (<a href="DICE@fda.hhs.gov">DICE@fda.hhs.gov</a>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Andrew I. Steen
Assistant Director
DHT1B: Division of Dental and
ENT Devices
OHT1: Office of Ophthalmic, Anesthesia,
Respiratory, ENT and Dental Devices
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

## Indications for Use

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

510(k) Number (if known)		
Device Name		
Sherlock		
Indications for Use (Describe)		

Sherlock abutments are intended to be used in conjunction with endosseous dental implants in the maxillary or mandibular arch to provide support for single-unit or multi-unit prosthetic restorations.

All digitally designed CAD/CAM customizations for Sherlock abutments are to be sent to an Open Implants-validated milling center for manufacture.

Sherlock abutments are compatible with the implant systems listed in the Compatibility Table:

## **Compatibility Table**

Compatible Implant Systems	Implant Body Diameter (mm)	Implant Platform Diameter (mm)	
	3.25	3.4	
Diamet 3i Contain	4.0	4.1	
Biomet 3i Certain	5.0	5.0	
	6.0	6.0	
Ctraumann Bana Laval	3.3	3.3 (NC)	
Straumann Bone Level	4.1, 4.8	4.1, 4.8 (RC)	
	3.7, 4.1	3.5	
Zimmer TSV	4.7	4.5	
	6.0	5.7	

Type of Use (Select one or both, as applicable)	
Prescription Use (Part 21 CFR 801 Subpart D)	Over-The-Counter Use (21 CFR 801 Subpart C)

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

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# 510(k) Summary Open Implants, LLC Sherlock K212664 November 30, 2021

#### **ADMINISTRATIVE INFORMATION**

Manufacturer Name Open Implants, LLC

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# **DEVICE NAME AND CLASSIFICATION**

Trade/Proprietary Name: Sherlock

Common Name: Abutment, Implant, Dental, Endosseous Regulation Name: Endosseous dental implant abutment

Regulation Number: 21 CFR 872.3630

Device Class: Class II
Product Code: NHA

Review Panel: Dental Products Panel

Reviewing Branch: Ophthalmic, Anesthesia, Respiratory, ENT and Dental Devices (OHT1)

Dental Devices (DHT1B)

## PREDICATE DEVICE INFORMATION

The devices within this submission are substantially equivalent in indications, intended use and design principles to the following predicate and reference devices:

510(k)	Predicate Device Name	Company Name		
K193335	Sherlock	Open Implants, LLC		

510(k)	Reference Device Name	Company Name		
K101608	Encode® Patient Specific Dental Abutments	Biomet 3i, Inc		
K150899	Straumann® CARES® Titanium Alloy (TAN) Abutment	Straumann USA, LLC		
K143505	Zimmer® Patient Specific Abutment, Internal Hex, Titanium	Zimmer Dental, Inc		

#### **INDICATIONS FOR USE**

Sherlock abutments are intended to be used in conjunction with endosseous dental implants in the maxillary or mandibular arch to provide support for single-unit or multi-unit prosthetic restorations.

All digitally designed CAD/CAM customizations for Sherlock abutments are to be sent to an Open Implants-validated milling center for manufacture.

Sherlock abutments are compatible with the implant systems listed in the Compatibility Table:

# **Compatibility Table**

Compatible Implant Systems	Implant Body Diameter (mm)	Implant Platform Diameter (mm)
	3.25	3.4
Diamet 3: Contain	4.0	4.1
Biomet 3i Certain	5.0	5.0
	6.0	6.0
Character Based and	3.3	3.3 (NC)
Straumann Bone Level	4.1, 4.8	4.1, 4.8 (RC)
	3.7, 4.1	3.5
Zimmer TSV	4.7	4.5
	6.0	5.7

### **DEVICE DESCRIPTION**

Sherlock is a dental implant abutment system that includes four (4) abutment designs compatible with three (3) OEM implant systems. The Subject device abutment platform diameters range from 3.0 mm to 6.5 mm, and the corresponding compatible implant body diameters also range from 3.0 mm to 6.5 mm. The subject device includes the following abutment designs: Titanium blank, multi-unit straight, multi-unit angled 17°, and multi-unit angled 30°. The system also includes corresponding abutment screws.

The following table shows the Subject device abutments for each of the Compatible implant platforms.

Sherlock Platform Diameter/	Subject Device Abutment Designs							
Compatible Implant System	Titanium Blank	Multi-unit Straight	Multi-Unit Angled 17°	Multi-Unit Angled 30°	Titanium Screws			
Biomet 3i Certain								
3.4 mm	Х	Х	Х	X	Х			
4.1 mm	Х	Х	Х	Х	Х			
5.0 mm	Х				Х			
6.0 mm	Х				Х			
Straumann Bone Level								
3.3 mm (NC)	Х	Х	Х	Х	Х			
4.1 mm (RC)	Х	Х	Х	Х	Х			
4.8 mm (RC)	Х	Х	Х	Х	Х			
Zimmer TSV								
3.5 mm	Х	Х	Х	X	Х			
4.5 mm	Х	Х	Х	X	Х			
5.7 mm	Х				Х			

All abutments and screws are manufactured from Ti-6Al-4V alloy conforming to ASTM F136 and are provided non-sterile to the end user. All digitally designed customized Titanium Blank abutments are to be sent to an Open Implants-validated milling center for manufacture.

The design parameters for the CAD/CAM Titanium Blank custom abutment are:

Minimum wall thickness – 0.41 to 1.6 mm (varies by implant line);

Minimum post height for single-unit restoration – 4.0 mm;

Maximum Correction Angle  $-30^{\circ}$ ; Minimum gingival height -0.5 mm to 0.8 mm (varies by implant line); Maximum gingival height -5 mm.

#### PERFORMANCE DATA

Non-clinical data submitted to demonstrate substantial equivalence included: biocompatibility according to ANSI/AAMI ST72; biocompatibility testing of the K193335 Predicate device to ISO 10993-5 in support of the Subject device; reverse engineering of OEM implant bodies, OEM abutments, and OEM abutment screws to confirm compatibility; and static compression and compression fatigue testing according to ISO 14801; sterilization validations of the K193335 Predicate device in support of the Subject device. For each compatible OEM implant line, worst-case constructs were subjected to static compression and compression fatigue testing. No animal or clinical data is included in this premarket notification.

### **EQUIVALENCE TO MARKETED DEVICE**

Overall, the Subject device is substantially equivalent in indications and design principles to the Predicate device listed above. Provided at the end of this summary are tables comparing the Indications for Use Statements and the technological characteristics of the Subject, Predicate device, and Reference devices.

The Indications for Use Statement (IFUS) of the Subject device is substantially equivalent to that of the K193335 Predicate device. Differences in the list of compatible implant systems do not affect the intended use as an endosseous dental implant abutment for support of a prosthesis to restore chewing function.

Similarly, the differences between the Subject device IFUS and that of each of the Reference devices are related to the specific device names and design features, validated milling centers, and the compatible implant lines. None of these minor differences impact substantial equivalence because all IFUS express equivalent intended use to facilitate dental prosthetic restorations, and the indications are expressed equivalently using different specific wording.

Subject device abutments are substantially equivalent in intended use and abutment designs to the sponsor's K193335 Predicate device. All are intended for use with endosseous dental implants in the maxilla and mandible to provide functional and esthetic rehabilitation of the edentulous maxilla and mandible. Reference devices introduce additional restorative interfaces and restorative platform and implant diameters with similar intended uses.

The Subject device and K193335 Predicate devices are for single-unit or multi-unit restorations, have internal implant interface connections, and are made of Ti-6Al-4V ELI alloy (abutments and abutment screws). The Subject device abutment designs are substantially equivalent to that of the K193335 Predicate device designs: Titanium Blank; Straight or Angled 17° and Angled 30° Multi-Unit abutments.

The Subject device includes abutment designs for implant restorative platforms ranging from 3.3 mm to 6.0 mm. The K193335 Predicate included implant platform sizes of 3.5 mm to 3.9 mm. Substantial equivalence of the smaller and larger implant restorative platform diameters of the Subject device are supported by the Reference devices K101608, K150899, and K143505 and through performance testing of the Subject device.

The Subject device is to be sterilized by the end-user, using the same methods as previously validated for the sponsor's K19335 Predicate device.

Minor differences in the designs, dimensions, sizes, or compatible OEM implant lines between the Subject device, the Predicate device, and the Reference devices do not affect substantial equivalence. Additional implant/abutment interfaces and dimensions are supported by Reference devices. The Subject, Predicate and Reference devices encompass the same range of physical dimensions. These minor differences are related to the compatible OEM implant designs and are mitigated by mechanical performance testing.

## **CONCLUSION**

Overall, the Indications for Use statements for the Subject and Predicate devices are substantially equivalent differing only in the list of compatible implant system systems.

Overall, the Technological Characteristics, mode of operation and materials of the Subject device are substantially equivalent to that of the Predicate device with additional compatible implant systems supported by Reference devices.

Overall, the data included in this premarket notification demonstrate substantial equivalence of Subject device to the sponsor's Predicate device.

The basis for the belief that the Subject device is substantially equivalent to the Predicate device and is summarized in the following comparison tables.

Subject Devi	ire		Predicate De	vice		Reference Device	Reference Device	Reference Device
Sherlock			Encode® Patient Specific Dental	Straumann® CARES® Titanium Alloy	Zimmer® Patient Specific Abutment,			
			Abutments	(TAN) Abutments	Internal Hex, Titanium			
Open impiai	iits, LLC		K193335	is, LLC		Biomet 3i, Inc	Straumann USA, LLC	Zimmer Dental, Inc
			K193333			K101608	K150899	K143505
Sherlock abu	utments are	intended	Sherlock abu	tments ar	o intended	BIOMET 3i Dental Abutments are	The Straumann CARES® TAN	The Zimmer® Patient Specific
to be used in			to be used in			intended for use as accessories to	abutments are indicated for single	Abutment is used as a terminal or
endosseous	,		endosseous d	,		endosseous dental implant to	tooth replacement and multiple	intermediate abutment for a cemented
the maxillary			the maxillary			support a prosthetic device in a	tooth restorations. The prosthetic	prosthesis. The abutment can be used
to provide su	•		to provide su			partially or completely edentulous	restoration can be cemented or	for a single or multiple-unit
or multi-unit			or multi-unit		9	patient. A dental abutment is	directly veneered/screw-retained.	restoration.
restorations.	•		restorations.	,		intended for use to support single		
	•					and multiple tooth prosthesis, in		
All digitally o	desianed CA	AD/CAM	All digitally d	lesianed C	AD/CAM	the mandible or maxilla. The		
customizatio	_	•	customizatio	5	•	prosthesis can be screw retained or		
abutments a	,		abutments a	,		cement retained to the abutment.		
Open Implar			Open Implan			Restorative Components:		
center for m		_	center for mo		_			
•	,		,	,		Temporary Healing Abutments		
Sherlock abu	utments are	2	Sherlock abu	tments ar	2	are intended for use to shape and		
compatible v	with the im	plant	compatible w	vith the im	plant	maintain the soft tissue opening		
systems liste	ed in the		systems listed	d in the		during healing.		
Compatibilit	ty Table:		Compatibility	/ Table:				
						Castable restorative components		
Comp	patibility To	able	Comp	atibility T	able	are intended for use as accessories		
Compatible	Implant	Implant	Compatible	Implant	Implant	to endosseous dental implants to		
Implant	Body	Platform	Implant	Body	Platform	aid in the fabrication of dental		
Systems	Diameter (mm)	Diameter (mm)	Systems	Diameter (mm)	Diameter (mm)	prosthetics.		
	3.25	3.4	NobelActive®	3.5	3.5 (NP)			
Biomet 3i	4.0	4.1	NobeliActive	4.3, 5.0	3.9 (RP)	<ul> <li>Screw components are intended</li> </ul>		
Certain	5.0	5.0		,	0.0 ( /	for use as accessories to		
	6.0	6.0				endosseous dental implants for		
Straumann	3.3	3.3 (NC)				retention of screw retained		
Bone Level		4.1, 4.8 (RC)				abutments to the dental implant.		
	3.7, 4.1	3.5						
Zimmer TSV	4.7 6.0	4.5 5.7						
	6.0	5.7						
						1		

**Comparison of Indications for Use Statement** 

Companion	Subject Device	Duo diseta Devisa	Reference Device	Reference Davice	Reference Device
Comparison	Subject Device	Predicate Device	Reference Device	Reference Device	Reference Device
	Sherlock	Sherlock	Encode®@ Patient Specific Dental Abutments	Straumann® CARES® Titanium Alloy (TAN) Abutments	Zimmer® Patient Specific Abutment, Internal Hex,
	Open Implants	Open Implants, LLC	Biomet 3i, Inc	Straumann USA, LLC	Titanium
		K193335	K101608	K150899	Zimmer Dental, Inc
					K143505
Intended Use	Functional and esthetic rehabilitation of	Functional and esthetic rehabilitation of the	Functional and esthetic rehabilitation of the	Functional and esthetic rehabilitation of the edentulous	Functional and esthetic rehabilitation of the
	the edentulous maxilla and mandible	edentulous maxilla and mandible	edentulous maxilla and mandible	maxilla and mandible	edentulous maxilla and mandible
Reason for	Not Applicable	Abutment configurations listed, material,	Implant/abutment interface, prosthetic	Implant/abutment interface, prosthetic diameters, CAD/CAM	Implant/abutment interface, prosthetic diameters,
	Not Applicable				
Predicate/Reference		sterilization, biocompatibility, CAD/CAM	diameters, CAD/CAM abutment technology	abutment technology	CAD/CAM abutment technology
		abutment technology			
	Titanium Blank	Titanium Blank	Abutment types, not specified, but consistent	Titanium Blank / Stock	Titanium Blank / Stock
			with Titanium Blank or Ti-Base/Hybrid		
			abutment.		
	Minimum wall thickness – 0.41 – 0.65 mm	Minimum wall thickness – 0.42 mm;	Minimum wall thickness – not specified;	Minimum wall thickness – 0.4 mm;	Minimum wall thickness – not specified;
	(varies by implant line);				
	Minimum post height for single-unit	Minimum post height for single-unit	Minimum post height for single unit	Minimum post height for single unit restoration – not specified	
	restoration – 4.0 mm;	restoration – 4.0 mm;	restoration – not specified		specified
	Maximum Correction Angle – 30°;	Maximum Correction Angle – 30°;	Maximum correction angle - 30°;	Maximum correction angle – NC - 0°, RC - 30°;	Maximum correction angle - 30°;
	Gingival height – 0.5 to 5 mm;	Gingival Height – 0.5 mm to 5 mm;	Gingival Height - 0.25 minimum (3.4 mm	Maximum gingival height – no limit;	Maximum gingival height – no limit;
			internal connection)		
					Gingival Diameter:
	Gingival Diameter – 3.0 – 11.9 mm (varies	Gingival Diameter – 3.4 – 11.9 mm	Gingival Diameter – 3.8 – 16 mm	Gingival Diameter – not specified	3.5mm Platform: 3.5- 9.0 mm
	by implant line)				4.5mm Platform: 4.5- 10.0 mm
					5.7mm Platform: 5.7- 12.0 mm
	Abutment height – 6.4 - 15 mm (varies by	Abutment height – 7.3 - 15 mm	Abutment height – 4.75 - 15 mm	Abutment Height– not specified	
	implant line)				Abutment Height– not specified
	Multi-Unit Straight	Multi-Unit Straight			
	PD = 3.3 – 4.8 mm	PD = 3.5, 3.9 mm			
	GH = 1, 1.5, 2, 2.5, 3, 3.5, 4, 5 mm	GH = 1.5, 2, 3, 5 mm			
	GD = 4.8 mm	GD = 4.8 mm			
	PH = 4.4 mm (includes insert)	PH = 4.4 mm (includes insert)			
	AH = 7.4 - 12.5 mm (includes insert)	AH = 7.5 -11 mm (includes insert)			
	Multi-Unit 17°	Multi-Unit 17°			
	PD = 3.3 – 4.8 mm	PD = 3.5, 3.9 mm			
	GH = 2, 2.5, 3, 3.5, 4 mm	GH = 3.5, 5 mm			
	GD = 4.8 mm	GD = 4.8 mm			
	PH = 4.4 mm (includes insert)	PH = 4.4 mm (includes insert)			
	AH = 7.9 - 12.1 mm (includes insert)	AH = 7.3 – 10.8 mm (includes insert)			
	Multi-Unit 30°	Multi-Unit 30°			
	PD = 3.3 – 4.8 mm	PD = 3.5, 3.9 mm			
	GH = 3, 3.5, 4, 4.5, 5 mm	GH = 3.5, 5 mm			
	GD = 4.8 mm	GD = 4.8 mm			
	PH = 4.4 mm (includes insert)	PH = 4.4 mm (includes insert)			
	AH = 8.3 - 12.5 mm (includes insert)	AH = 7.7 – 11.2 mm (includes insert)			
Abutment/Implant	3.3 - 6.0	3.5, 3.9	3.4, 4.1, 5.0, 6.0	3.3, 4.1, 4.8	3.5, 4.5, 5.7 mm
Platform Diameter (mm)			,,,	,,	
Material	Ti-6AL-4V Alloy	Ti-6AL-4V Alloy	Titanium Alloy or Biocompatible Zirconia TZP	Titanium Alloy (Ti-6AL-7NB)	Titanium 6AI-4V Alloy
(Abutment and Screw)	o.ie Alloy	in one it raily	Transaction of biocompatible Entonia 12r	Thomas Thomas Thomas	Trainer of the Princy
Abutment/Implant	Internal Connection	Internal Connection	Internal Connection and External Connection	Internal Connection	Internal Connection
Interface	mternar connection	internal confidention	internal connection and external connection	internal Connection	internal confection
Prosthesis Attachment	Coment retained	Coment retained	Coment retained	Coment retained	Coment retained
Frostnesis Attachment	Cement-retained	Cement-retained	Cement-retained	Cement-retained	Cement-retained
	Screw-retained	Screw-retained	Screw-retained	Screw-retained	
Restoration	Single-unit	Single-unit	Single-unit	Single-unit	Single-unit
	Multi-unit	Multi-unit	Multi-unit		Multi-unit

**Comparison of Technological Characteristics** 

PD = Abutment/Implant Platform (Restorative) Diameter GH = Gingival Height GD = Gingival Diameter PH = Post Height AH = Abutment Height