

December 22, 2021

Unicoglobal, Inc. Priscilla Chung Regulatory Affairs Consultant LK Consulting Group USA, Inc. 1150 Roosevelt, STE 200 Irvine, California 92620

Re: K210147

Trade/Device Name: Unico High Performance Surgical Mask, Unico High Performance Surgical Mask with Face Shield
Regulation Number: 21 CFR 878.4040
Regulation Name: Surgical Apparel
Regulatory Class: Class II
Product Code: FXX
Dated: November 16, 2021
Received: November 18, 2021

Dear Priscilla Chung:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <u>https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems</u>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<u>https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance</u>) and CDRH Learn (<u>https://www.fda.gov/training-and-continuing-education/cdrh-learn</u>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<u>https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</u>) for more information or contact DICE by email (<u>DICE@fda.hhs.gov</u>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

For Clarence W. Murray III, PhD Assistant Director DHT4B: Division of Infection Control and Plastic Surgery Devices OHT4: Office of Surgical and Infection Control Devices Office of Product Evaluation and Quality Center for Devices and Radiological Health

Enclosure

## Indications for Use

510(k) Number *(if known)* K210147

#### Device Name

Unico High Performance Surgical Mask & Unico High Performance Surgical Mask with Face Shield

Indications for Use (Describe)

The Unico High Performance Surgical Mask and the Unico High Performance Surgical Mask with Face Shield are intended to be worn to protect both the patient and healthcare personnel from transfer of microorganisms, body fluids, and particulate material. These face masks are intended for use in infection control practices to reduce potential exposure to blood and body fluids. The face masks are single use, disposable device, provided non-sterile.

Type of Use (Select one or both, as applicable)	

Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

#### CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

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# 510(k) Summary (K210147)

This summary of 510(k) is being submitted in accordance with requirements of 21 CFR Part 807.92.

Date: Dec 12, 2021

#### 1. Submitter:

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Tel. +82-70-7865-0026 Contact Person: Mr. Lim Jong II

#### 2. Submission Contact Person

LK Consulting Group USA, Inc. 1150 Roosevelt, STE 200, Irvine CA 92620 Priscilla Juhee Chung Phone: 714.202.5789 Fax: 714-409-3357 Email: juhee.c@lkconsultinggroup.com

#### 3. Device

- Proprietary Name: Unico High Performance Surgical Mask Unico High Performance Surgical Mask with Face Shield
- Common Name: Surgical Mask
- Classification Name: Surgical Mask (21 CFR 878.4040)
- Regulatory Class: II
- Product Code: FXX

#### 4. Predicate Device

- Name of Device: 3M<sup>™</sup> High Fluid-Resistant Surgical Mask and 3M<sup>™</sup> High Fluid-Resistant Procedure Mask (K191355) by 3M Health Care
- Common Name: Surgical Mask

- Classification Name: Surgical Mask (21 CFR 878.4040)
- Regulatory Class: II
- Product Code: FXX

## 5. Device Description:

The Unico High Performance Surgical Mask and the Unico High Performance Surgical Mask with Face Shield are composed of four layers and are flat-pleated and offers both ear loops and tie strings types. The mask materials consist of outer layer (Polypropylene Spunbond), insertion layer (Polypropylene Spunbond) and inner layer (Polypropylene Spunbond), and middle layer filter (polypropylene melt-blown).

Each mask contains ear loops or tie strings to secure the mask over the user's mouth and face with nose piece to firmly fit over the nose.

The mask may also contain a face shield made from a polyethylene terephthalate film, with an anti-glare strip. The face shield is adhered to the top edge of the mask to cover the upper part of the face to prevent potential exposure to blood and body fluids. The mask(s) are single use, disposable device(s), provided non-sterile.

## 8. Indications for Use

The Unico High Performance Surgical Mask and the Unico High Performance Surgical Mask with Face Shield are intended to be worn to protect both the patient and healthcare personnel from transfer of microorganisms, body fluids, and particulate material. These face masks are intended for use in infection control practices to reduce potential exposure to blood and body fluids. The face masks are single use, disposable device, provided non-sterile.

# 9. Comparison of Technological Characteristics with the predicate comparison of Technological Characteristics with the predicate

The subject device is safe and effective as the following predicate device. 3M<sup>™</sup> High Fluid-Resistant Surgical Mask and 3M<sup>™</sup> High Fluid-Resistant Procedure Mask (K191355) by 3M Health Care.

Item(S)	Subject Device		Predica	Comparison	
510k#	K210147		K19		
Device Name	Unico High Performance Surgical Mask with Face Shield	Unico High Performance Surgical Mask	3M™ High Fluid- Resistant Surgical Mask ASTM Level 3	3M™ High Fluid- Resistant Procedure Mask	
Manufacturer	Unico Global, Inc.		3M Hea	alth Care	

## 9.1. Comparison Chart

ASTM Level	STM Level ASTM Level 3		ASTM Level 3	ASTM Level 3	
Indications for use	ASTM Level 3           The Unico High Performance Surgical Mask and the Unico High Performance Surgical Mask with Face Shield are intended to be worn to protect both the patient and healthcare personnel from transfer of microorganisms, body fluids, and particulate material. These face masks are intended for use in infection control practices to reduce potential exposure to blood and body fluids. The face masks are single use, disposable device, provided non-sterile.		3M <sup>™</sup> High Fluid- Resistant Surgical Mask is intended to be worn to protect both the patient and healthcare personnel from transfer of microorganisms, body fluids, and particulate material. These face masks are intended for use in infection control practices to reduce potential exposure to blood and body fluids. This is a single use, disposable device, provided non-sterile. <b>Materials</b>	3M <sup>™</sup> High Fluid- Resistant Procedure Mask is intended to be worn to protect both the patient and healthcare personnel from transfer of microorganisms, body fluids, and particulate material. These face masks are intended for use in infection control practices to reduce potential exposure to blood and body fluids. This is a single use, disposable device, provided non-sterile.	Same
Outer Cover	Polypropylene	Polypropylene	Polypropylene	Polypropylene	Same
Outer Cover	Spunbond, blue	Spunbond, blue	Spunbond, green	Spunbond, green	Same
Insertion	Insertion Polypropylene Polypropylene Spunbond, Spunbond, White White		Polypropylene Spunbond, White	Polypropylene Spunbond, White	Same
Filter	Filter Polypropylene Polypropylene Meltblown, Meltblown, White White		Polypropylene Meltblown, White	Polypropylene Meltblown, White	Same
Inner Cover	51 15 51 15		Polypropylene Thermal, Bonded, White	Polypropylene Thermal, Bonded, White	Different
Nose Wire	PVC Coated Zinc Wire	PVC Coated Zinc Wire	Polyethylene Coated Steel Wire	Polyethylene Coated Steel Wire	Different
Ear Loops	ps Cotton 50% Cotton 50% Spandex 50% Spandex 50% cord cord		Not Applicable	Spandex elestic cord (polyurethane core with polyethylene terephtalate /nylon cover )	Different
Tie Strings	Polypropylene Spunbond, White	Polypropylene Spunbond, White	Polypropylene Spunbond, White or polyethylene Terephthalate, white	N/A	Same

Anti-Glare layer	Polypropylene Spunbond, Black	N/A	N/A	N/A	Different
Face Shield	Protective film: Polyethylene 100% Film: Polyethylene terephthalate 100%	N/A	N/A	N/A	Different
			Design Features		
Color	Blue (0	Duter)	Green (Outer)	Green (Outer)	Different
Style	Flat - P	leated	Flat - Pleated	Flat - Pleated	Same
Multiple Layers	Ye	S	Yes	Yes	Same
Single Use	Yes		Yes	Yes	Same
	L		Sterility		
Sterile Non-Sterile		Non-Sterile	Non-Sterile	Same	
			Dimensions		
Length	6.9" ± 0.2"		6.9" ± 0.2"	$6.9" \pm 0.2"$	Same
Width	3.74" ± 0.2"		3.5" ± 0.3"	3.5" ± 0.3"	Different
Tech	nological Characte	eristics Product	<b>Barrier Specifications Per</b>	r ASTM F2100 – Meets L	evel 3
Particulate Filtration Efficiency (PFE)	micron		Passed at ≥98% @ 0.1 micron ASTM F2299	Passed at ≥98% @ 0.1 micron ASTM F2299	Same
Fluid Resistance	Passed at 160mm Hg ASTM F1862		Passed at 160mm Hg ASTM F1862	Passed at 160mm Hg ASTM F1862	Same
Bacterial Filtration Efficiency (BFE)	Passed at ≥98% ASTM F2101		Passed at ≥98% ASTM F2101	Passed at ≥98% ASTM F2101	Same
Differential Pressure	Passed at <6 mmH2O/cm <sup>2</sup> MIL-M36954C		Passed at <5 mmH2O/cm <sup>2</sup> MIL-M36954C	Passed at <5 mmH2O/cm <sup>2</sup> MIL-M36954C	Same
Flammability	Passed ≥3 Se time - C CFR 16	Class 1	Passed ≥3 Seconds burn time - Class 1 CFR 16 1610	Passed ≥3 Seconds burn time - Class 1 CFR 16 1610	Same
			Biocompatibility		
Result	Non-cytoto sensitizing, N		Non-cytotoxic, Non- sensitizing, Non- irritating	Non-cytotoxic, Non- sensitizing, Non- irritating	Same

## 9.2. Discussion

The subject device and the predicate device have the same indications for Use and has similar design and technological characteristics.

The raw materials of some components are different, but the test results of the non-clinical performance tests and the biocompatibility tests support that the differences do not raise a concern in safety and effectiveness. The subject device also has additional features such as anti-glare layer and face shield, but there are to improve performance. The size of the subject device is little larger especially in width, but the difference is very minor.

We have performed the biocompatibility and performance tests on the subject device and based on the test results we determine that the subject device is safe and effective as the predicate device despite these differences.

#### **10.** Performance Tests (Non-clinical)

The following tests were performed on the subject device and the test results support that the subject device is safe and effective as the predicate device.

No	Test	Standard	Test method	Purpose	Acceptance criteria	Test results
1	Particle	ASTM	Monodispersed	Performed to	-	98.3%
	Filtration	F2299	polystyrene latex	evaluate the		
	Efficiency		spheres (PSL)	non-viable		
	Latex Particle		were nebulized	particle		
	Challenge		(atomized), dried,	filtration		
			and passed	efficiency		
			through the test	(PFE) of the		
			article	test article.		
2	Synthetic	ASTM	A test volume of	Procedure	160mmHg	Not Seen
	Blood	F1862 and	2 mL of synthetic	was		
	Penetration	ISO 22609	blood was	performed to		
	for Face		employed using	evaluate		
	Masks		the targeting plate	surgical		
	(sets of 32),		method.	facemasks		
	per set			and other		
				types of		
				protective.		
3	Differential	EN	On either side of	The Delta P	<60 mm	53
	Pressure	14683:2019,	the test article	test is	$H_2O/cm^2$	
	(Delta P)	Annex C and	using a	performed to		
		ASTM	manometer, at a	determine the		
		F2100-19.	constant flow	breathability		
			rate.	of test articles		
				by measuring		
				the		
				differential air		
				pressure.		
4	Flammability	16 CFR Part	Article exhibits	This	Class1: Burn	Test Article
	Test, 16 CFR	1610	flame spread and	procedure was	time $\geq 3.5$	did not
	part 1610		it is less than 3.5	performed to	seconds	ignite
			seconds or the test	evaluate the	~	
			articles exhibit an	flammability	Class2: Not	

5	Bacterial Filtration Efficiency (BFE) only	ASTM F2101-19 and EN 14683:2019, Annex B.	average flame spread less than 3.5 seconds. Employ a ratio of The bacterial challenge counts to sample effluent counts, to determine percent bacterial filtration efficiency (% BFE)	of plain surface clothing textiles by measuring the ease of ignition and the speed of flame spread. BFE is a measurement of a respirator material's resistance to penetration of bacteria.	applicable to plain surface textile fabrics Class 3: Burn time <3.5 seconds ≥98	99%
6	Cytotoxicity	ISO 10993-5	The amount of test material extracted was based on ANSI/AAMI/ISO and USP surface area or weight recommendations. Test articles and controls were extracted in 1X Minimal Essential Media with 5% bovine serum for 24-25 hours at 37 $\pm$ 1°C with agitation.	An extract of the test article was added to cell monolayers and incubated. The cell monolayers were examined and scored based on the degree of cellular destruction.	Score: 0 ~ 4	0 Pass
7	Sensitization	ISO 10993- 10	The USP 0.9% Sodium Chloride for Injection (NaCl) and Cottonseed Oil (CSO) extracts of the test article, Surgical Mask, elicited no reaction at the challenge (0% sensitization), following an induction phase.	Determine the potential allergenic or sensitizing capacity of the test article. The study was used as a procedure for screening of contact allergens in guinea pigs	No allergic or hypersensitivity reaction	The test article sites did not show a significantly greater biological reaction than the sites injected with the control article.
8	Irritation	ISO 10993- 10	The USP 0.9% Sodium Chloride for Injection (NaCl) and Cottonseed Oil (CSO) extracts of the test article,	Determine the potential irritation effects of the test article extract as a result of an	No potential irritant effect	The test article sites did not show a significantly greater biological

K210147 - Page **6** of **7** 

Surgical Mask, were evaluated for their potential to produce irritation after intracutaneous injection in New Zealand White	intracutaneous injection in New Zealand White rabbits.	reaction than the sites injected with the control article.
Zealand White rabbits.		

## 11. Conclusions:

Based on the information provided in this premarket notification, Unicoglobal, Inc. concludes that the Unico High Performance Surgical Mask and the Unico High Performance Surgical Mask with Face Shield are as safe, effective, and perform to the predicate device as described herein in.