1	FOOD AND DRUG ADMINISTRATION CENTER
2	FOR DRUG EVALUATION AND RESEARCH
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6	PHARMACY COMPOUNDING ADVISORY COMMITTEE (PCAC)
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9	Monday, November 20, 2017
10	1:48 p.m. to 5:11 p.m.
11	1.40 p.m. 60 3.11 p.m.
12	Afternoon Session
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17	FDA White Oak Campus
18	White Oak Conference Center
19	10903 New Hampshire Avenue
20	Silver Spring, Maryland
21	
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1	Meeting Roster
2	DESIGNATED FEDERAL OFFICER (Non-Voting)
3	Cindy Chee, PharmD
4	Division of Advisory Committee and
5	Consultant Management
6	Office of Executive Programs, CDER, FDA
7	
8	PHARMACY COMPOUNDING ADVISORY COMMITTEE MEMBERS
9	(Voting)
10	Robin H. Bogner, PhD
11	Professor
12	University of Connecticut
13	School of Pharmacy
14	Department of Pharmaceutical Sciences
15	Storrs, Connecticut
16	
17	Michael A. Carome, MD, FACP
18	(Consumer Representative)
19	Director of Health Research Group
20	Public Citizen
21	Washington, District of Columbia
22	

1	Gigi S. Davidson, BSPh, DICVP
2	(U.S. Pharmacopeial Convention Representative)
3	Director, Clinical Pharmacy Services
4	North Carolina State University
5	College of Veterinary Medicine
6	Raleigh, North Carolina
7	
8	Seemal R. Desai, MD, FAAD
9	(Participation in 7-keto DHEA, astragalus,
10	epigallocatechin gallate, and resveratrol
11	discussion)
12	President and Medical Director
13	Innovative Dermatology
14	Plano, Texas
15	
16	Padma Gulur, MD
17	(Acting Chairperson)
18	Vice Chair, Operations and Performance
19	Duke University School of Medicine
20	Department of Anesthesiology
21	Duke University Medical Center
22	Durham, North Carolina

1	Stephen W. Hoag, PhD
2	Professor
3	Department of Pharmaceutical Science
4	University of Maryland, Baltimore
5	Baltimore, Maryland
6	
7	William A. Humphrey, BSPharm, MBA, MS
8	Director
9	Pharmacy Operations
10	St. Jude Children's Research Hospital
11	Memphis, Tennessee
12	
13	Elizabeth Jungman, JD
14	Director
15	Public Health Programs
16	The Pew Charitable Trusts
17	Washington, District of Columbia
18	
19	
20	
21	
22	

1	Kuldip R. Patel, PharmD
2	Associate Chief Pharmacy Officer
3	Duke University Hospital
4	Durham, North Carolina
5	
6	Jurgen Venitz, MD, PhD
7	(Participation in L-citrulline, pregnenolone,
8	7-keto DHEA, astragalus, and epigallocatechin
9	gallate discussion via phone)
10	Professor and Vice Chairman
11	Virginia Commonwealth University
12	School of Pharmacy, Department of Pharmaceutics
13	Richmond, Virginia
14	
15	Allen J. Vaida, BSc, PharmD, FASHP
16	(Participation in L-citrulline, pregnenolone,
17	astragalus, epigallocatechin gallate, and
18	resveratrol discussion)
19	Executive Vice President
20	Institute for Safe Medication Practices
21	Horsham, Pennsylvania
22	

1	Donna Wall, PharmD
2	(National Association of Boards of Pharmacy
3	Representative-Participation via phone)
4	Clinical Pharmacist
5	Indiana University Hospital
6	Indianapolis, Indiana
7	
8	PHARMACY COMPOUNDING ADVISORY COMMITTEE MEMBERS
9	(Non-Voting)
10	Ned S. Braunstein, MD
11	(Industry Representative)
12	Senior Vice President and Head of Regulatory
13	Affairs
14	Regeneron Pharmaceuticals, Inc.
15	Tarrytown, New York
16	
17	William Mixon, RPh, MS, FIACP
18	(Industry Representative)
19	Former Owner
20	The Compounding Pharmacy
21	Hickory, North Carolina
22	

1	TEMPORARY MEMBERS (Voting)
2	Kenneth D. Burman, MD
3	(Participation in L-citrulline, astragalus,
4	epigallocatechin gallate, and resveratrol
5	discussion)
6	Chief, Endocrine Section
7	Medstar Washington Hospital Center
8	Professor, Department of Medicine
9	Georgetown University
10	Washington, District of Columbia
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1	CONTENTS	
2	AGENDA ITEM	PAGE
3	Section 503A Bulk Drug Substances	
4	FDA Presentation	
5	Astragalus Extract 10:1	
6	Michael Brave, MD	10
7	Clarifying Questions from Committee	25
8	Nominator Presentation - Fagron	
9	Tom Wynn, RPh	27
10	Clarifying Questions from Committee	35
11	Committee Discussion and Vote	42
12	FDA Presentation	
13	Epigallocatechin gallate (EGCg) 1	
14	Susan Johnson, PharmD, PhD	49
15	Clarifying Questions from Committee	62
16	Nominator Presentation - Fagron	
17	Kimberly Kieffer	67
18	Clarifying Questions from Committee	79
19	Committee Discussion and Vote	91
20		
21		
22		

1	CONTENTS (continued)	
2	AGENDA ITEM	PAGE
3	FDA Presentation	
4	Resveratrol	
5	Charles Ganley, MD	100
6	Clarifying Questions from Committee	120
7	Nominator Presentation - NCPA	
8	Jeffery Johnson, RPh, MBA, ND, PharmD	130
9	Clarifying Questions from Committee	144
10	Committee Discussion and Vote	154
11	Adjournment	172
12		
13		
14		
15		
16		
17		
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19		
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PROCEEDINGS 1 2 (1:48 p.m.)3 DR. GULUR: Welcome back, everyone. As had been decided, we will be starting the meeting at 4 5 this point. We will now proceed with the FDA presentation on astragalus by Dr. Brave. 6 7 FDA Presentation - Michael Brave DR. BRAVE: Good afternoon. 8 I'm Michael 9 Brave, a clinical reviewer from CDER's Office of 10 Hematology and Oncology Products, and I reviewed 11 the nomination for astragalus. 12 I'd like to acknowledge and thank my 13 colleagues listed here who participated in this Astragalus extract 10:1 has been nominated 14 review. 15 for inclusion on the list of bulk drug substances 16 for use in compounding under Section 503A of the 17 Federal Food, Drug, and Cosmetic Act. 18 The suffix 10:1 presumably implies a water 19 or aqueous ethanol extract with 10 grams of the 20 astragalus root producing 1 gram of the extract, 21 although this is unclear. 22 The uses for which astragalus extract 10:1

has been nominated are diabetes mellitus, allergic rhinitis, wound healing, asthma, and herpes simplex keratitis. The nominator did not propose more narrow indications within any of these disease categories. The proposed route of administration is oral. The references provided in the nomination contain both clinical and non-clinical information.

In traditional Chinese medicine, astragalus preparations were made from the root of 1 of 2 plant species. The nominator clarified, in response to an FDA information request, that the nominated substance is derived from the root of astragalus membranaceus. However, the process to determine the consistency of the compounds in the nominated substance was not specified. For example, growing conditions like temperature, and rainfall, and the harvesting process all affect the final botanical substance.

Approximately 100 potentially bioactive compounds have been identified from the astragalus root and its extracts. These include polysaccharides, saponins, flavonoids, amino acids,

and trace elements. Known compounds account for a small percentage of the whole astragalus plant.

In vitro data suggest that some astragalus components have biological properties, including immunomodulatory antioxidants, antitumor, antidiabetic, antiviral, hepatoprotective anti-inflammatory, anti-atherosclerotic, and neuroprotective properties. We found no data linking any particular class of compounds to a given clinical effect.

Astragalus is listed in the pharmacopeia of the People's Republic of China as well as the Japanese pharmacopeia and the European pharmacopeia. Astragalus roots and extracts are widely marketed in the U.S. as dietary ingredients of dietary supplement products, including mixtures made from astragalus root and other botanical and non-botanical ingredients.

These products are often complex without characterization and quantification of even the most abundant classes of molecules. Potential impurities or contaminants in a given astragalus

extract include residual organic solvents used in the manufacturing and purification process, heavy metals such as lead, arsenic, or mercury linked to the source of the starting material, and microbes such as yeast or mold and their metabolites, such as aflatoxins.

been published.

To summarize the characterization of astragalus, its root and extracts are used in traditional Chinese medicine and contain a complex mixture of compounds. Insufficient information was provided to fully characterize the nominated substance.

In the next several slides, I will discuss publicly available information regarding the non-clinical toxicology of astragalus.

Astragaloside-IV is a saponin isolated from astragalus membranaceus. It is purported to be one of the biologically active substance in astragalus based on non-clinical studies. Pharmacokinetic studies of Astragalus IV in the rat and dog have

The review team found no toxicity studies

conducted with the nominated substance, astragalus 10:1. We did, however, find repeat-dose toxicity studies of an extract of the astragalus root and of cycloastragenol, a triterpene aglyclone extract of the astragalus root.

Yu and colleagues conducted a study in the rat in which an astragalus root extract was administered via the intraperitoneal route, 2 rats, at doses between 5.7 and 39.9 grams per kilograms daily for 90 days, and to beagle dogs at doses between 2.85 and 19.95 grams per kilogram daily for 90 days. It was reported that toxicity was not observed in either species.

Szabo and colleagues administered cycloastragenol orally to rats at doses between 40 and 150 milligrams per kilogram daily for 91 days, and again, no toxicities were reported.

The review team found both positive and negative published results of Ames' chromosomal aberration assays of various astragalus extracts. No information was found specifically for the nominated astragalus 10:01 preparation, and we

found no published carcinogenicity studies. 1 2 Regarding reproductive toxicity, we 3 identified two published studies in which Astragaloside-IV was administered to the rat and/or 4 5 rabbit at key times before mating, during gestation, and/or during lactation. These studies 6 reported a decrease in body weight gain in dams 7 8 compared to untreated controls, increased 9 incidences of fetal death and developmental 10 in offspring. No teratogenic effects were 11 observed. 12 To summarize published non-clinical 13 information on astragalus, there are no toxicology 14 data that we can specifically associate with the nominated 10:1 extract. No toxicity was observed 15 16 in repeat-dose studies of unspecified astragalus 17 extracts in the rat or dog. 18 The genotoxic potential of astragalus 19 unknown. We identified no carcinogenicity data. 20 And finally, fetal deaths were observed in the rat 21 and rabbit dosed with Astragaloside-IV. 22 Now, I will discuss published clinical

information on the use of astragalus, starting with safety followed by pharmacokinetic, and finally clinical efficacy data.

Regarding safety, most published reports of the clinical effects of astragalus do not analyze or discuss adverse reactions. Whether most clinical studies systemically collected this data is uncertain. The FDA adverse event reporting system, or FAERS, contained no reports specific to astragalus.

Much of the information available about the clinical toxicity of astragalus comes from the Center for Food Safety and Nutrition's adverse event reporting system, which receives adverse event reports related to food, cosmetics, and dietary supplements.

On June 27, 2017, the review team searched the CAERS database for adverse events associated with astragalus. This search retrieved 547 cases. Four deaths were reported. None of these 4 deaths were associated with astragalus as the sole active substance in the ingested product or products.

1 In only seven reports was astragalus the 2 sole active substance ingested. Most cases 3 reported multiple organ systems affected simultaneously. Many of these reports described 5 what sounded like a generalized acute illness characterized by malaise plus symptoms from several 6 organ systems such as diaphoresis, nausea, 7 8 vomiting, diarrhea, headache, palpitations, dyspnea, et cetera. 10 In conclusion, few published reports of the clinical effects of astragalus analyzed or 11 12 discussed adverse reactions. Whether most clinical 13 studies systematically collected such data is 14 uncertain. The Center for Food Safety and Nutrition's 15 16 adverse event reporting system contained 547 cases 17 as of June 27, 2017. Many of these reports described what sounded like an acute systemic 18 illness with multiple simultaneous symptoms. 19 20 The review team found no published 21 pharmacokinetic data for astragalus 10:1. We did,

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however, find a pharmacokinetic study of

Astragaloside-IV, the previously mentioned saponin extracted from astragalus, and thought to mediate some of its pharmacological activity.

Xu and colleagues studied the pharmacokinetics of Astragalus IV in a dose escalation trial of 40 Chinese healthy volunteers.

Each volunteer received a single dose of Astragaloside-IV between 200 and 600 milliliters.

Single-dose oral pharmacokinetics were linear over the 200- to 500-milliliter dose range. Only 4 percent of Astragaloside-IV was excreted unchanged in urine and accumulation was not observed in a subset of 16 volunteers, given 500-milliliter doses daily for 7 days.

The next several slides describe reports of clinical trials with astragalus. In general, these reports provide little detail about trial methodologies such as the astragalus preparation used, the patient population enrolled, or the statistical analysis plan. Their conclusions generally suggest minor treatment effects on subsets of assessed endpoints. As such, we cannot

conclusively interpret these findings as substantive to clinical benefit.

Tian and colleagues performed a metaanalysis of 13 clinical trials enrolling a total of 1,054 subjects, comparing astragalus by oral or intravenous administration to usual care in patients with type 2 diabetes mellitus.

All 13 trials were conducted in China. The analysis concluded that astragalus by either route of administration reduced fasting plasma glucose, postprandial plasma glucose, and insulin sensitivity. Only the aqueous decoction reduced hemoglobin Alc levels.

Li and colleagues performed a meta-analysis of 21 randomized controlled trials and 4 uncontrolled trials of unspecified mixtures of astragalus, which enrolled a total of 1804 patients with diabetic nephropathy. All trials were conducted in China. The analysis concluded that astragalus may improve proteinuria and serum creatinine levels in these patients.

Kim and colleagues reported one case of a

62-year-old man with diabetic nephropathy who obtained short-term improvement in proteinuria and glomerular filtration following an unspecified regimen of astragalus membranaceus extract.

Chao and colleagues randomized 43 patients with newly diagnosed type 2 diabetes mellitus to traditional Chinese mixtures of 3 herbs, including astragalus versus placebo 3 times daily before meals.

At 3 months, patients in the investigational arm were reported to have improved insulin resistance compared to baseline. It's not possible to conclude which components of the ingested mixture was responsible for the observed effects.

Lien and colleagues performed a retrospective analysis comparing 416 Taiwanese patients with type 1 diabetes mellitus, whose treatment included traditional Chinese herbs, some of which contained astragalus, to 1608 matched case control patients with diabetes mellitus who did not use traditional Chinese herbs. The analysis concluded that in patients with type 1 diabetes

mellitus, Chinese herbal therapy may reduce the incidence of diabetic ketoacidosis.

Pang and colleagues performed a metaanalysis of 16 randomized controlled trials which
enrolled 1,173 total patients of a traditional
Chinese mixture of several herbs, including
astragalus root, for the treatment of patients with
diabetic peripheral neuropathy.

All trials were conducted in China. The analysis concluded that patients in the investigational arms had improved neurologic symptoms and nerve conduction velocities.

Matkovic and colleagues randomized 48 adults with seasonal allergic rhinitis to 6 weeks of treatment with an herbal mineral complex containing astragalus membranaceus versus placebo. The authors found patients in the active treatment group to have a trend toward symptomatic improvement, but no significant changes in serum immunoglobulin levels or nasal eosinophils. It is not possible to conclude which compounds or components of the herbal mixture was responsible

for the observed effects.

Ko and colleagues randomized 16 patients with type 1 or type 2 diabetes mellitus and mild diabetic foot ulceration to a traditional Chinese mixture of 2 roots, one of which was astragalus versus placebo twice daily.

At 6 months, patients in the investigational arm showed a trend toward improved wound healing.

Again, the active treatment here composed multiple herbs, so one cannot conclude which was responsible for the observed effects.

Wong and colleagues randomized 85 children with asthma who were using inhaled corticosteroids to receive a daily oral combination of 5 herbs, including non-specified astragalus species versus placebo for 6 months. The trial failed to show a reduction in steroid dose, improved lung function, or effects on biochemical markers of disease.

A meta-analysis by Bang and colleagues of 18 randomized controlled trials of pharmacoacupuncture, which is the injection of herbs via syringe at specific points, included four

studies using the astragalus root. The authors suggested that the treated groups had improved lung function compared to the groups receiving conventional asthma therapy.

We found no published reports of astragalus affecting clinically meaningful endpoints in patients with herpes simplex keratitis.

In summary, published reports have concluded that astragalus, or herbal preparations which include astragalus, may favorably affect certain aspects of diabetes mellitus, allergic rhinitis, wound healing, and asthma. Most of these reports appear in alternative or traditional Chinese medical publications.

Most involved the administration of multiple herbs, none of which appear to have been the nominated 10:1 substance. Few reports had specified statistical analysis plans to analyze clinically meaningful efficacy endpoints, and we found no reports of long-term efficacy or safety of astragalus for any indication.

Astragalus has been used in traditional

Chinese medicine for thousands of years. Insufficient information is available to determine if the nominated substance astragalus 10:1 has been used in compounding. A chief reason for this uncertainty is that the manufacturing process to produce this substance is essentially unknown. Since these processes determine the chemical ingredients, the nominated substance cannot be well characterized.

In summary, because the manufacturing processes used to produce astragalus extract 10:1 are unknown, the substance cannot be adequately characterized. Non-clinical safety data are incomplete, but fetal deaths were observed in the rat and rabbit dose with Astragaloside-IV, a component of astragalus.

Although no efficacy or safety studies have, to our knowledge, been conducted with the nominated formulation, astragalus extract 10:1, a review of the Center for Food Safety and Nutrition's adverse event reporting system contains many reports of an acute systemic illness.

In non-clinical models, certain astragalus extracts and astragalus-containing herbal mixtures seem to show limited treatment effects and suggest potential therapeutic value for patients with diabetes mellitus, wound healing, asthma, herpes simplex keratitis. However, these effects have not translated into conventional measures of clinical benefit in any of these patient populations. While astragalus preparations have been used in traditional Chinese medicine, we do not have information to determine whether the nominated substance has been used in compound. Based on a balancing of the four evaluation criteria, the review team found that astragalus not a suitable substance for compounding under Section 503A of the Food, Drug, and Cosmetic Act. Thank you. Clarifying Questions from the Committee DR. GULUR: Thank you. Clarifying questions from the committee? Dr. Carome? DR. CAROME: Mike Carome. For a product

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1	like this, what would FDA require or like to see in
2	terms of characterizing it normally?
3	DR. BRAVE: If the product were a drug going
4	through the additional NDA process, the
5	requirements would be extensive. I'm not a
6	chemist, so I don't want to specify exactly, but
7	there would be a lot of requirements.
8	DR. GULUR: Dr. Burman?
9	DR. BURMAN: It seems like the main
10	potential utility is for diabetes, and yet all of
11	the standard measures, such as hemoglobin Alc,
12	lipid profile, urine, microalbumin, and
13	retinopathy, nephropathy, et cetera, are all
14	lacking from these studies. And they don't appear
15	to be controlled, either.
16	DR. BRAVE: Yes, that's correct.
17	DR. GULUR: Questions from our members on
18	the phone?
19	(No response.)
20	DR. GULUR: Thank you very much.
21	DR. BRAVE: Thank you.
22	DR. GULUR: We have one nominator

presentation, Mr. Wynn.

Nominator Presentation - Tom Wynn

MR. WYNN: My name is Tom Wynn, and I was here earlier, so I know you all know my background. And I promise not to pick on Charles and his drink choice with this particular talk.

So we did nominate astragalus, so with that, astragalus membranaceus is a small bushy perennial plant, also referred to as Huang Qi, if I'm saying that right. The root is traditionally used for medicinal purposes. And pretty much the FDA went over that same. It's definitely a traditional Chinese medicine.

So for characterization, I do have a little bit to speak about that in that, right now there is currently a USP designation for astragalus. It is not in the regular database. It is in the nutraceutical database for that, but at least that does give some idea of what factors we're looking at for astragalus and where we want it to fall, and here that it contains not less than 99 or 100 percent of labeled amounts of the cell

[indiscernible] and isoflavonoids calculated on a anhydrous basis.

So at least now, we have some idea of what we'd be looking for as a supplier or manufacturer of the powder, we'd be looking for to try to get a better handle on that particular extract.

I also was able to find in characterization 2 that they have put together -- as far as the GAP, which is the good agricultural practices, they started to look at that in China in 2002. And to what we were talking about or what was mentioned about monitoring the management of the growing field and controlling disease and pests and harvesting, packaging, storing, transporting, all that was looked into.

And as of 2010, they actually had adopted 99 different traditional Chinese medicines to adhere to these standard operating procedures for growing agricultural practices.

So they're trying to put together a way that they can keep this characterization under control.

And they also had, as of 2010, 22 different Chinese

provinces that were adhering to these practices.

So I think there is some information out there that astragalus membranaceus is now in that good agricultural practice. So it's one that is being helped to be characterized by controlling these different environmental factors in the growing of the actual plant.

Also, I did find a study where they were looking at, again, methods for analyzing the different Chinese herbal complexes. And in this particular study, they were looking at astragalus again as well.

They tried to put together kind of a flow chart of how you go about pulling out the different components to try to do an HPLC measurement and get an idea of the different components that are in there using everything from pure water to methanol and water and isopropyl methanol, different combinations. This is the process that they put together, they felt was best, and they called it the HPLC unified method.

So there are methods out there where they're

trying to help characterize, again, the different traditional Chinese medicines, and astragalus was instituted in this particular program as well or looked at for this process as well.

As far as safety goes -- and I think a lot of these studies were talked about with the FDA, too. This one that we found was actually done in rats, and they were actually given 5,000 milligrams from the astragalus, but it was also a combination of three standard extracts there, so again, I guess it wasn't necessarily just the one particular extract.

They did the combination, but they did not really see that there were a lot of adverse effects in the mice when putting that together. So I still think it kind of talks to the idea of safety with astragalus.

This next study here, again, it was a treatment of patients for allergic rhinitis, and they didn't find any adverse events with this.

They did treat 48 different patients for 6 weeks.

It was a double-blind placebo-controlled. Again,

the astragalus was just a component of the complex, but again, it does go to show, as far as safety, anyway, not necessarily if we were trying to look at effectiveness in this particular study, that even though it was in the complex, there were not any adverse effects associated with the study. So it's again adhering to at least the safety component of astragalus.

Here's another study where they were looking at allergic asthma again. This particular one, the purpose was to determine whether herbal injections could suppress allergic-induced mucus secretions in mice. And the results indicated that it does have a potential role in treating allergic asthma.

This particular one was an injection, which is not necessarily the more common way we might see this as a compounder to utilize it, but it does show that there was some potential in helping with allergic asthma with the astragalus.

Then this second study also with allergic rhinitis again, this particular one was with 48 adults. And this study revealed a number of

1 positive signals indicating therapeutic 2 effectiveness. But again, this one was compared to 3 placebo. This one was, again, another herbal complex, though. And I realize that this drug has 4 5 been in there. We are showing the effectiveness, but again, we have the other group in there as 6 7 well, which could possibly dilute some of that data.

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For herpes simplex, I know this was one that they mentioned that they felt that there wasn't a very significant amount of data from it. They did actually treat 62 patients in this particular study. Or I should take that back. There were 106, but then 62 were healthy individuals.

In this particular one, they did see improvement in immune function that showed some significant improvement for herpes simplex. So I thought there was a little bit more significance than what maybe might have been mentioned.

There was a fair number of people that they actually treated and compared to some of the other studies, even some of the other studies we saw

today at 100 participants anyway, so I think it was still worth mentioning.

Wound healing is probably the one where I think astragalus might find its most potential use. I know there were a lot of things that were nominated. At the time that we did nominate this particular chemical or Chinese herbal medicine, we kind of put down everything that we thought it potentially could be treated for.

So wound healing was one that I felt was probably more of its niche, if you will.

As far as wound healing goes, there's a few things out there that maybe you can utilize that are commercially available, but a lot of times, wounds don't necessarily respond to all the treatments that we have available, so it's nice to have another option.

In this particular study, they were looking at astragalus again, and they saw they had a high potential in wound healing. This mechanism is associated with inhibiting inflammation, accelerating cell cycle, and promoting secretion of

repair factors. So this is just a nice example of another option that we could have for wound healing.

Again, this second study here, this one here, was actually done in animals. This particular one, again, was IV treatment, but again, I think we're getting the chance to see that the product was helping with both healing and had some anti-scar effects as well in the wound treatment, but this one was an animal study.

In conclusion, astragalus does have a monograph now. It has a USP dietary supplement monograph, so it does get specifications as to what it needs to be, which will help with the characterization of the product.

Astragalus has shown some safety, both animals and human studies that we have presented.

I think that, as far as effectiveness, again, wound healing I think has its best place, but herpes simplex, allergic rhinitis are both areas to where it did show some efficacy in the studies that we presented.

1 Clarifying Questions from the Committee 2 DR. GULUR: Thank you. Clarifying questions 3 from the committee members? Dr. Davidson? MS. DAVIDSON: What is the significance of 4 5 the 10 to 1 ratio? I read through the nomination and FDA's follow-up message for clarification. 6 What is that, and why did you nominate that instead 7 8 of one of the other three forms of astragalus 9 has dietary supplement monograph? I'm just 10 curious. I think the 10 to 1 was just the 11 MR. WYNN: 12 way that it was actually provided to us from the actual manufacturer of the powder. And so we 13 14 included that on because that was its designation They were letting us know it was a 10 to 1 15 to us. extract, that they were taking 10 parts of the root 16 17 to 1 part. 18 I guess, in hindsight, I almost wish that we 19 would have just left that off because it's 20 something that provides from -- they're telling us 21 that's where the powder comes from, how they're 22 doing it. And that's the only reason that we put

1 it on there, because when it comes to us from 2 particular supplier of the powder, they called it a 3 10 to 1 just showing us what they had done. DR. GULUR: Dr. Hoag? 4 5 DR. HOAG: Is what you're Quick question. proposing the same as what's in the USP, the same 6 in the JP, and the same as the Chinese? Are they 7 all the same thing? Are there differences? 9 Do you mean is the 10 to 1 the MR. WYNN: 10 same as the powder? The 10 to 1 from my knowledge is what the compounders are going to be able 11 12 My colleague might have an answer to that 13 question, if I could have Kim come up. involved in helping me put this together. 14 15 DR. GULUR: Could you come to the 16 microphone, please? And please introduce yourself. 17 MS. KIEFFER: Hello, I'm Kim Kieffer from The material that we were 18 Fagron North America. 19 supplying is astragalus membranaceus. The 10 to 1 20 is how they standardize. They take 10 parts of the 21 root to make 1 part of the extracted powdered 22 material.

1 So as long the monographs, and the JP, and 2 USP, et cetera are for the membranaceus, then yes. 3 And actually, I think the USP specifies some other forms of astragalus as well. 4 5 DR. HOAG: Does that imply that you have a variable extraction process? Did you get that 10 6 to 1 ratio? Do you worry about the phytochemical 7 profile in there at all? The USP had something 9 like not less than. 10 MS. KIEFFER: Right. At this point, we don't supply that material anymore, but if we're 11 12 going to continue to supply it, we would source USP 13 material at this point because that USP monograph 14 now exists. 15 DR. GULUR: Could you clarify? The USP 16 monograph now exists? 17 MS. DAVIDSON: There are actually three, but 18 they're all dietary supplement monographs, which 19 are not applicable to this process. 20 DR. GULUR: Dr. Johnson? 21 DR. JOHNSON: The folks from the botanicals 22 review who reviewed astragalus would like to make a

comment.

DR. LI: This is Jing from the botanical review team. Based on my understanding, and the knowledge, and searching from the different pharmacopeia, including USP pharmacopeia for the astragalus, there is only the powder for the root and extract and no specific mention about the extract of 10 to 1.

DR. GULUR: A question for you would be, on this paper that you had brought -- I'm sorry, this is for the speaker -- on Zhao [ph], the wound healing effect of astragalus.

Could you comment on what they had used as their materials? When I read that description, it seems to indicate multiple materials were in there.

MR. WYNN: Right. I mean, it was the astragalus, but I think it was a complex as well of other -- I'd have to go back and look at that.

DR. GULUR: When I read it, there seems to be streptomycin, penicillin, a few other substances in there, and I'm just wondering how they came to the conclusion that the astragalus was the active

ingredient that resulted in wound healing. 1 2 Did you have a chance to look at that in 3 further detail? I'm afraid I did not. 4 MR. WYNN: 5 DR. GULUR: Dr. Jungman? You described the good 6 MS. JUNGMAN: 7 agricultural practices as a way of giving ourselves 8 some assurance with regard to the characterization. 9 I'm just curious about how you assure yourself that 10 those practices are being followed, being 11 implemented and following as a purchaser of them? 12 That's a very good point. MR. WYNN: Sure. 13 You would have to be assured when you go to 14 interview a possible supplier of that particular 15 powder, how do you come about it; what kind of 16 things? And I'm sure that the ones that are under 17 those are going to present that we are part of this 18 good agricultural practicing 19 So it's more or less before you're going to 20 buy, something you're going to inquire about. And 21 Kim wants to --22 I quess a clarification we're DR. GULUR:

1 seeking is how would you compare this to the 2 practices, GAP [ph] practice there, and how are 3 compounders here in the United States informed that they should be looking for these aspects when 4 5 interview Chinese manufacturers? The compounders probably 6 MR. WYNN: 7 themselves are going to directly go to the 8 manufacturer. They're going to go to a supplier, 9 so then a supplier is the one that's going to make 10 sure that they're following -- whether it be GMCP, 11 FDA-approved, or anything of that nature. 12 don't think there are too many compounders who are 13 going to go directly to a supplier. How many suppliers are there 14 DR. GULUR: here in the United States? 15 16 MR. WYNN: Of astragalus? 17 Or who might potentially? DR. GULUR: That I don't know just because we 18 MR. WYNN: 19 don't carry the preparation anymore at this time. 20 We did at the time it was nominated, but now, we 21 actually don't have it in stock. 22 DR. GULUR: Can you elaborate on why you no

longer carry this?

MR. WYNN: I don't think it was anything that was an issue of a problem with it. It was more or less, again, depending upon the usage possibly, how much that we were utilizing out. And then of course with changes that's gone with the monographs, we would want to change how we're going to search out those particular suppliers as well.

DR. GULUR: Thank you.

Any further clarifying questions, Dr. Jungman?

MS. JUNGMAN: I just want to understand the relationship between the nomination and the monograph. So are you suggesting that probably the thing to put on the bulk substance list wouldn't be this 10 to 1, but would be actually something that you'd find in the dietary supplement monograph?

MR. WYNN: What we're saying now is, at the time it was nominated, you didn't really have that to go by. Now, when you're going to want to go and search out a supplier of that particular API or powder, you're going to want to -- since that's

1 what you have, you're going to want to focus on 2 that monograph to make sure that it's compliant 3 with that. But we're voting on the 10 to MS. JUNGMAN: 5 Is that different than what's in the dietary supplement monograph? Yes. Thank you. 6 7 MS. DAVIDSON: Just for clarification, the 8 three dietary supplement monographs are astragalus 9 root, astragalus root powder, and astragalus root 10 extract. 11 Committee Discussion and Vote 12 We do not have any open public DR. GULUR: 13 hearing speakers. The open public hearing portion 14 of this meeting has now concluded, and we will no 15 longer take comments from the audience. We will 16 now begin the panel discussion for astragalus. 17 The first question I'd like to pose to the 18 FDA is it appears that the nominated substance is 19 not something the nominators, for that matter, 20 intend to move forward with. Is that of any 21 pertinency, or are we expected to vote just on

10 to 1 and move forward with that?

22

1 MS. BORMEL: That was what nominated, so we 2 evaluated that, and we would move forward with it 3 because it is in the docket as a nominated bulk substance. 5 DR. GULUR: Thank you. Any other clarifying questions from 6 7 Dr. Davidson? 8 MS. DAVIDSON: If a traditional herbalist or 9 Chinese medicine practitioner wanted to refer a 10 patient for treatment with astragalus, would that 11 be a problem if we don't put it on the list? Would 12 they still be able to obtain the substance as a 13 botanical or dietary supplement and still use it on their patients? 14 15 MS. BORMEL: Yes. 16 MS. DAVIDSON: I thought so, but I just 17 wanted to clarify. 18 DR. GULUR: Dr. Desai? 19 DR. DESAI: Just to follow up on Dr. Gulur's 20 question for the FDA, since we're only looking at 21 10 to 1, if the nominators or a future nominator 22 wanted to revisit this on a different formulation,

1 that would go through the process again. 2 MS. BORMEL: Correct. 3 DR. GULUR: We will now end our discussions and start the vote. Do we have a question? 4 5 apologize. I just wanted to follow up on 6 MS. BORMEL: 7 what Dr. Desai asked. We would look to see if that 8 particular nomination was supported adequately by 9 information that we hadn't yet evaluated. 10 DR. GULUR: Thank you. We will now end our discussions and start the vote. 11 The question 12 before us, FDA is proposing that astragalus extract 10 as to 1 not be included on the 503A bulks 13 14 Should astragalus extract 10 as to 1 be placed on the list? 15 If you vote no, you are recommending FDA not 16 place the bulk drug substance on the 503A bulks 17 If the substance is not on the list when the 18 list. final rule is promulgated, compounders may not use 19 20 the drug for compounding under Section 503A unless it becomes the subject of an applicable USP or 21 22 monograph or a component of an FDA-approved drug.

If there is no further discussion, we will 1 2 now begin the voting process. Please press the 3 button firmly on your microphone that corresponds to your vote. 4 5 You will have approximately 15 seconds to After you have made your selection, the 6 vote. light will continue to flash. If you are unsure of 7 8 your vote, please press the corresponding button 9 again. 10 (Voting.) 11 DR. CHEE: We have zero yeses, 13 nos, and 12 zero abstain. 13 DR. GULUR: Dr. Carome, if we could start 14 with your comments. 15 DR. CAROME: I'm Mike Carome. I voted no. 16 I was most concerned about the complexity of the 17 compounds in this preparation and the lack of 18 adequate characterization, and secondly data to 19 Its long-term safety and effectiveness 20 just doesn't really exist. 21 Steve Hoaq. I voted no. DR. HOAG: The 22 main reason was the characterization. There was

1 all these pharmacopeias, and it wasn't really clear 2 how this materially relates to the pharmacopeia 3 forms and things. I think the pharmacopeia has put a lot of thought and effort into defining what the 4 material is and this lacked that. 5 MS. JUNGMAN: Elizabeth Jungman. 6 I voted no 7 I would just add that the for similar reasons. 8 poor characterization made it unclear how the 9 formulation in the available studies related to the 10 formulation that would be used in practice. Robin Bogner. I voted no 11 DR. BOGNER: 12 because there is now a monograph, and it wasn't clear what the 10 to 1 formulation was. 13 14 DR. PATEL: Kuldip Patel. I voted no due to 15 the lack of comparative efficacy and also physical and chemical characteristics. 16 17 DR. DESAI: Seemal Desai. I also voted no. 18 I actually found the data presented both by the FDA and the nominator, particularly for diabetes and 19 20 wound healing, to be interesting. But what wasn't really clear was how the 10 to 1 formulation would 21 22 really interact with the studies and the different

1 versions that were presented, so I voted no for 2 those reasons. 3 DR. GULUR: Dr. Wall, on the phone, if you could, give us your comments. 4 5 DR. WALL: I voted no because of the complexity of the physical and chemical properties 6 7 and for the other reasons that were mentioned. 8 DR. GULUR: Dr. Humphrey? 9 William Humphrey. I voted no MR. HUMPHREY: 10 for many of the same reasons, the lack of clinical 11 efficacy and the confusion about the formulation. 12 DR. GULUR: Dr. Davidson? 13 MS. DAVIDSON: Giqi Davidson. I voted no. 14 I still am not sure what 10 to 1 means, and I would 15 have been more satisfied with the substance if it 16 had been one of the ones that was monographed in 17 the dietary supplements because those are very well 18 characterized. 19 I was also influenced about the lack of 20 knowledge about which of these forms were used in 21 the clinical studies and was not convinced of 22 efficacy and very compellingly was the fact that

1 the nominator no longer offers this substance for 2 sale. 3 DR. GULUR: Padma Gulur. I would have to 4 agree with everything that's been said so far, 5 poorly characterized 10 as to 1 is unclear. What 6 formulations actually have been used in the studies 7 appears to be unclear. Efficacy, even in those 8 studies, is questionable given the fact that 9 multiple substances were used. So for all those 10 reasons, I voted no. 11 Dr. Venitz on the phone? 12 DR. VENITZ: Jurgen Venitz. I voted no. 13 Criteria number one, the lack of characterization, 14 was kind of overriding anything else. 15 DR. VAIDA: Allen Vaida. I voted no for all 16 the reasons that have already been mentioned, 17 especially that the nominator doesn't even offer 18 the product any more. 19 DR. BURMAN: Ken Burman. I voted no for 20 basically the same reasons, the poor 21 characterization physically and chemically. The 22 extract is not well characterized and has not been

used alone in most studies. There are no long-term 1 2 studies, safety not established, and with regard to 3 diabetes, there's a lack of significant endpoints that we need to know. 4 5 DR. GULUR: Thank you very much. With that, we will not be taking the break that has been 6 scheduled after this. We will take it after the 7 8 next section. We will now proceed with the FDA 9 presentation on EGCg by Dr. Johnson. 10 FDA Presentation - Susan Johnson DR. JOHNSON: Good afternoon. I feel like 11 12 my charge today is to echo what other folks have said with a slightly different effect. 13 going to say similar things, but they're not 14 same, so I'd just encourage us again to think about 15 16 the transitions between these. 17 My name is Sue Johnson. I'm from the Office of Drug Evaluation IV in CDER's Office of New 18 19 The nominated substance we're talking about 20 now is epigallocatechin gallate or EGCg. I'd like to thank members of the review 21 22 team, especially contributors from the Division of

1 Metabolic and Endocrine Products, and Dr. Chambers 2 from the Division of Transplant and Ophthalmology 3 Products, who is an ophthalmologist if we have any specific questions. 4 5 EGCq has been nominated for inclusion on the 503A list. There are seven proposed uses, 6 including weight loss and the treatment of obesity, 7 diabetes, cardiac hypertrophy, corneal 9 neovascularization, non-alcoholic fatty liver 10 disease or NAFLD, Parkinson's disease, and wound healing. The proposed routes of administration 11 12 include oral, ophthalmic, and topical. 13 EGCq is a polyphenol compound, specifically 14 a catechin, which is a type of flavonoid. itself is a well-characterized compound. 15 nomination for EGCq states that the substance is 16 17 intended to be added to the 503A list as a substance that contains at least 94 percent 18 19 The components of the other 6 percent or up 20 to 6 percent of the substance have not been identified to FDA. We considered the nominated 21 22 substance and subject of our review to be EGCg.

This is not considered a botanical product. EGCg is soluble in water, but is unlikely to be stable under ordinary storage conditions, either as a solution or a solid.

to the complexities and expense of the process, it's typically extracted from green tea leaves. As we've noted in the other reviews, compounders should use the information in the certificate of analysis accompanying the bulk drug substance to evaluate any potential safety and quality issues.

In conclusion, EGCg is well characterized, and the nominated substance has up to 6 percent impurities. EGCg is unlikely to be stable in the proposed formulations under normal storage conditions.

EGCg is abundant in green tea leaves, but the content of EGCg in green tea is very low. As such, it's difficult to provide a reliable estimate of the comparative amount of EGCg in a dietary supplement product containing 200, for example, milligrams of EGCg per capsule and the average

intake of EGCg from green tea beverage consumption.

In 2005, the U.S. Department of Agriculture conducted a survey of green teas on the market in the United States and found that the content ranged from 2 to nearly 54 milligrams of EGCg per gram of dry tea leaves. That provides some insight that, depending on how much tea an individual may consume, ingestion of EGCg from green tea as a beverage or from a dietary supplement, and also from the clinical studies we will discuss, could be comparable amounts.

Extraction of green tea can produce nearly 100 percent pure EGCg. So for simplicity in this presentation, we're referring to studies using substances containing approximately 94 to 100 percent EGCg as EGCg studies. We found very few of these.

Studies of substances with lower EGCg content we're calling green tea studies. We do not exclude the possibility that safety or efficacy data derived from green tea formulations are pertinent to EGCg itself, but we do not have

sufficient information to assess the validity of an extrapolation.

We also note that EGCg is a component of an FDA-approved product. Veregen is a topical product indicated for the treatment of genital and perianal warts. Veregen contains 15 percent sinecatechins, which is a proprietary extract of green tea containing 55 percent EGCg.

EGCg is often referred in the literature to be the most bioactive component of green tea and is generally considered to be an antioxidant. It's therefore often theorized to be the component of green tea that would be most likely to have pharmacologic activity, and that's why it is specifically studied separately from astragalus preparations.

Some in vitro and in vivo data that we found might suggest that pharmacologic mechanisms related to the activity of EGCg exists for all of the various proposed uses. For example, various aspects of wound healing, such as reepithelialization were found to be improved by

topical application of EGCg in a mouse model of type 2 diabetes.

The pharmacokinetics of EGCg have been studied in animals and humans. EGCg has very low bioavailability, which is somewhat increased by fasting conditions. But there are multiple presystemic mechanisms active in the small intestine to prevent absorption, such as extensive metabolism and an efflux transporter.

EGCg undergoes first-pass metabolism and is also metabolized by gut flora in the large intestine. Given the limited bioavailability, there are reports in the literature about strategies being investigated to improve the systemic delivery of EGCg via the oral route. We found no information about pharmacokinetics associated with a topical application.

We did find a study that describes a drug interaction with boronic-based proteasome inhibitors, specifically Velcade, in vitro and in vivo in a mouse model. In this study, the investigators had undertaken it to determine

whether or not EGCg consumption would be of benefit to their cancer patients, and they were surprised to find that, in fact, it actually blocked the activity of the specific drug.

I use this as an example of what you don't know when there are impurities. There was a direct covalent bond formed between the boronic-based proteasome inhibitor and EGCg. And in retrospect, the investigator said they should have been able to predict it based on the polyphenol chemical structure, but they were actually investigating for the opposite reason. And that's just an example of one of the things you couldn't know unless you started to investigate at this level.

This slide shows the results of our search for non-clinical safety studies from EGCg studies. At high dose in acute toxicity, EGCg shows hepatotoxicity and death. We found no studies of these various types with the 94 to 100 percent EGCg studies.

We also looked at non-clinical safety studies that use green tea formulations. Here are

the results from a series of studies from the National Toxicology Program using a preparation of less than 50 percent EGCg. There are other studies described in the review of green tea, but this series from the NTP provides an overview of the effects of a consistent formulation.

In repeat-dose toxicities, the NOAEL was 100 milligrams per kilogram. At higher doses in rats, hepatic and stomach mucosal necrosis was observed as well as increased mortality, And mice showed liver inflammation and hematopoietic cell proliferation. The Ames assay was positive only in two bacterial strains in the presence of metabolic induction.

There were only minor findings in threemonth developmental and reproductive toxicity studies from NTP, and carcinogenicity findings based on a two-year study were considered to be of questionable relevance.

Looking at clinical safety, the FAERS database was searched to include EGCg and green tea, but to exclude reports of Veregen and

Hydroxycut. Briefly, Hydroxycut is a dietary supplement product line that contained green tea extract and was recalled in 2009 due to 23 reports having been received by the FDA of adverse liver effects. These include asymptomatic hyperbilirubinemia, jaundice, liver damage, liver transplant, and death.

FDA stated at the time of the recall that we could not determine the exact ingredients that might be associated with the liver injury. The product line was reformulated and no longer contains green tea extract.

From FAERS, one report was of a possible drug interaction was cyclosporine and the other three were of hepatotoxicity. The CAERS database contained 200 reports associated with EGCg or green tea products; 72 of these were about Hydroxycut products, the other 128 contained 11 reports of liver injury or liver failure. There were also two cases of dermatologic reactions to a moisturizer topical product containing green tea.

We found safety data in two EGCg clinical

studies. And just a reminder, these are products that are 94 to 100 percent EGCg rather than green tea. Both studies assess liver function and neither identified abnormalities.

The nominator has identified that a study cited in our review that was considered a green tea study actually included EGCg treatment of 16 healthy adults taking either 400 or 800 milligrams of EGCg daily for 4 weeks. Adverse events were reported to be mild and included various gastrointestinal symptoms, dizziness, headache, and muscle pain.

In addition, we did not find safety data from studies of other proposed uses, but there has been a study of EGCg used as a topical ophthalmic product in which no side effects of treatments were observed. The indication there was dry eye.

We note that our review of green tea studies includes reports of serious hepatotoxicity. Again, that's with green tea products.

In conclusion, we found limited safety data available from EGCg formulations. Hepatotoxicity

was seen in non-clinical and clinical data associated with green tea preparations, and the association of these events to the EGCg content of these preparations cannot be fully assessed.

Our efficacy review focuses, again, on EGCg studies. Additional information about efficacy studies of green tea formulations, including several meta-analyses for obesity and diabetes is contained in the review. We found two placebocontrolled EGCg studies in overweight or obese women in which doses of 300 or 150 milligrams of EGCg daily were dosed for a period of approximately 3 months. No clinically important differences were seen between groups.

In a study of gestational diabetes in which 404 pregnant women received EGCg during their last trimester of pregnancy, EGCg appeared to be associated with a treatment effect. The EGCg group had significantly lower fasting plasma glucose and other positive effects.

In an 8-week study, placebo controlled, of 88 overweight or obese men, no clinically

1 significant difference in various parameters 2 related to glucose or insulin were found. I will 3 just note that the studies of obesity and weight loss also had parameters related to diabetes 5 part of their protocol. One study of green tea polyphenols formed in 6 7 10 newly diagnosed Parkinson's patients was 8 on clinicaltrials.gov. We did not find a 9 publication of the results of this trial, although 10 the website for Michael J. Fox's Foundation for Parkinson's Research has a high-level summary of a 11 12 study that could be the same trial. 13 The summary states that mild symptomatic 14 benefit was observed in untreated patients, but we have no details in which to assess this conclusion. 15 And when I say untreated patients, I mean patients 16 17 that were not receiving a treatment other than the 18 EGCq. 19 No clinical efficacy data were identified 20 for the use of EGCg in cardiac hypertrophy, corneal 21 neovascularization, NAFLD, or wound healing. 22 Based on the consultation with our

dermatology colleagues, we noted that clinical
studies of wound healing would not generally
include treatments of dermatitis or keloids unless,
in particular, dermatitis had progressed to the
level of ulceration.

Although EGCg was isolated from green tea decades ago, it's unknown how long or the extent to which it's been used in compounding. EGCg is available as a dietary ingredient in dietary supplement products.

In conclusion, EGCg is well characterized and makes up at least 94 percent of the nominated substance. EGCg is not likely to be stable under ordinary conditions for oral, ophthalmic, or topical formulations. We found little safety data specific to EGCg.

Non-clinical and clinical safety data for green tea formulations show a consistent evidence of an association with hepatotoxicity. We cannot identify or rule out a causal relationship between green tea component EGCg and hepatotoxicity.

We found a treatment effect suggested in a

1 single study of gestational diabetes, but no 2 evidence of clinical efficacy for EGCg in weight 3 loss, Parkinson's disease, cardiac hypertrophy, corneal neovascularization, non-alcoholic fatty 5 liver disease, or wound healing. It's unknown how long or the extent to which 6 7 EGCg has been used in compounding. Overall, a 8 balancing of the four evaluation criteria, in FDA's 9 opinion, weighs against EGCg being added to the 10 list of bulk drug substances under 503A. 11 take questions. 12 Clarifying Questions from the Committee 13 DR. GULUR: Thank you. We will accept 14 clarifying questions from the committee. Dr. Desai? 15 DR. DESAI: Thank you very much, 16 17 Dr. Johnson. Just a technical question. When you mentioned the Veregen, which has the sinecatechins 18 19 already in it, is the reason that EGCq is 20 exempted under the fact that it's already an 21 approved drug is because that is a component of 22 sinecatechins. Is that correct?

1	I guess it's more of a technical question.
2	My understanding was, if we already have an
3	ingredient that's approved as an FDA drug, then it
4	would be exempt from the list. Is that correct?
5	And since sinecatechins contains 55 percent EGCg
6	DR. LAWSON: The answer is correct, that
7	this is a component of sinecatechins.
8	DR. DESAI: So because it's a component of
9	the actual approved ingredient, that it's not
10	exempt.
11	DR. LAWSON: We consider it not the same.
12	DR. JOHNSON: If I could clarify just a
13	little further, it's a component of a botanical
14	DR. DESAI: Correct.
15	DR. JOHNSON: and that's really the
16	distinction here. If it were just 1 of 6
17	ingredients in a drug substance, in a drug product,
18	and all of them were drugs and not botanicals, then
19	you still would be allowed to be compounded, but
20	this was a botanical. It was the first NDA
21	botanical approved.
22	DR. DESAI: Again, just a technical, but

1 it's because it's a component of a botanical or a 2 component of the ingredient, not the ingredient 3 itself? DR. JOHNSON: Aside from botanicals, if I 4 5 had a cream made up of A, B, and C drugs DR. DESAI: Got it. 6 7 DR. JOHNSON: -- A, B, and C would be 8 allowed to be compounded. 9 DR. DESAI: Then the second question I had 10 is when you talked about wound healing under general pharmacology, one of the studies that was 11 discussed was the type 2 diabetic study that showed 12 13 improvement in wound healing, but you mentioned 14 something about high-dose toxicity. 15 Can you just clarify that? 16 DR. JOHNSON: So that was in an animal 17 study. I don't remember which rodent. EGCq was 18 soaked out to a sponge. The sponge was applied to 19 the wound. And at high doses, there was actually 20 irritation of the wound. 21 DR. DESAI: Irritation of the wound. 22 it.

1	DR. GULUR: Yes?
2	MS. BORMEL: I just wanted to further
3	clarify what Dr. Johnson and Dr. Lawson said. With
4	respect to the Veregen, the sinecatechins is the
5	active ingredient in that, and the EGCg is just a
6	component
7	DR. DESAI: A component of that.
8	MS. BORMEL: of the sinecatechins. It's
9	not the sinecatechin.
10	DR. DESAI: It's not the same thing.
11	MS. BORMEL: Yes, correct.
12	DR. DESAI: I just wanted to make sure that
13	was a technicality that was clarified. Thank you.
14	MS. BORMEL: Correct.
15	DR. DESAI: Thank you.
16	DR. GULUR: Dr. Bogner?
17	DR. BOGNER: I just want to clarify the
18	relationship between EGCg and this Hydroxycut that
19	was removed from the market. Was the EGCg the main
20	ingredient, an ingredient? And what was the
21	relationship between that and its removal from the
22	market?

1 DR. JOHNSON: Looking through the FDA 2 information that's on our website about what 3 happened during that circumstance, the actual recall was for the Hydroxycut product line, and 4 5 there was no explanation offered because we did not have sufficient data to point to an ingredient or 6 7 ingredients. 8 So we did not point to green tea extract, 9 which was a component of Hydroxycut, or EGCg 10 specifically. And I don't believe I saw any place where EGCg was quantified in the Hydroxycut line. 11 12 There is still Hydroxycut marketed. It's no longer 13 marketed with green tea extract. So all of those 14 links lack data. 15 DR. BOGNER: One follow-up question if I 16 may. Was green tea extract the only active 17 ingredient in the Hydroxycut that was removed? 18 DR. JOHNSON: No. Most of them are multi-19 ingredient supplements to my knowledge. Thank you. 20 Thank you. DR. BOGNER: 21 Any further clarifying questions DR. GULUR: 22 for members on the phone?

1 (No response.) 2 DR. GULUR: Thank you, Dr. Johnson. 3 We will now proceed with the nominator presentations. We have one presentation, 4 5 Ms. Kimberly Kieffer from Fagron. Nominator Presentation - Kimberly Kieffer 6 Good afternoon. 7 MS. KIEFFER: I'm Kim 8 Kieffer from Fagron North America. I wanted to 9 speak a little bit to the purity of the EGCg since 10 we're talking very technically in this meeting. Upon review of our C of A for this particular 11 12 material, 94 percent is the minimum purity. typically in a range from 94 to 100 percent. 13 14 can also contain up to 5 percent water as part its specification. So a current C of A, for 15 instance, has an activity of 97 percent with 3 16 17 percent water content. That might speak a little bit to some of the impurities that are unknown. 18 19 In addition, this material is assayed for 20 heavy metal content, mold, yeast, et cetera, with 21 specific specifications for those heavy metals, 22 just pass. And if you would like, I can supply

that example to you at some point later on.

Thank you, FDA, first of all for your extensive review of this. There were a lot of conditions that were nominated or indications nominated for this particular substance.

I wanted to specify again for everyone that, when we created these nominations three years ago, we weren't really sure exactly what FDA was looking for. We tried to pull as much information together to show that there were potential uses for it, there were some safety data, et cetera. As the supplier of the compounding materials and even the compounders themselves, we don't necessarily know what physicians are going to come and want to use particular substances for.

In addition, new publications and literature come out every single day. So in reviewing for this particular meeting, I found a lot more data on EGCg than we were able to find when we did these nominations three years ago.

I've included on this slide some of the conditions and disease states that EGCg's been

studied for, and I actually ran out of room on the slide because it goes on, and on, and on. But today, for this presentation, I wanted to specifically only focus on what we're seeing it being used for and compounded preparations.

We're not seeing it being used topically.

We're not seeing it being used for eyedrops, not
that the potential use couldn't exist. But what we
are seeing it for is being used in the treatment of
wounds and scars. EGCg has shown the potential to
enhance wound healing and prophylaxis for fibrosis
and scarring.

It's typically compounded into creams, gels, and ointments. A typical time that a patient might have these particular topical formulations is only 30 days. That's per USP specifications. Typical dosage range is 0.1 to 1 percent, which is fairly consistent with the data that we do have that supports this use.

It's most often compounded in combination with other ingredients, so corticosteroids, anesthetics, skin lightening agents, things that

you would typically use in the treatment of scars.

And typical length of therapy is usually -- my
research and discussions with clients is typically
1 to 3 months.

So just some highlights in terms of when we're looking at this data, or physicians, or compounders are looking at the data that is available, EGCg has been shown to be potentially effective in regulating the secretion of cytokines in the activation of skin cells; has been shown to have anti-inflammatory and antioxidant properties.

EGCg has been shown in studies to affect the role of TGH beta 1 or F-beta 1; enhances wound healing by accelerating reepithelialization and angiogenesis; improves the cellular reorganization of granulation tissue. EGCg has been shown in in vitro and in vivo studies to reduce fibrosis and the contractions often associated with scarring.

I wanted to talk about safety since that was a big part of the FDA's review. This is the pharmacokinetics and safety of green tea polyphenols after multiple dose administration of

1 either the EGCg itself or as polyphenon E. 2 Polyphenon E as a dietary supplement that contains 3 a specific amount of EGCg. This was done in 40 healthy men and women. 5 One of 5 treatments was given 800 milligrams EGCg once a day, 400 milligrams EGCg twice a day, 6 7 800 milligrams EGCg as polyphenon E once a day, 8 400 milligrams EGCg as polyphenon twice a day, That was a 4-week-length study. Adverse 9 placebo. 10 events were excess gas, upset stomach, nausea, 11 heartburn, stomach ache, abdominal pain, dizziness, 12 headache, muscle pain. 13 This is just a chart of the distribution of 14 the treatment arms. 15 Adverse effects were rated as mild events. 16 Common events included headaches, stomach ache, 17 abdominal pain, and nausea. All adverse events 18 noted were reported in subjects receiving green tea 19 polyphenol treatment as well as placebo. 20 significant changes in blood counts and blood 21 chemistry were observed, and the conclusion was 22 that oral administration of EGCg or polyphenon E

a dose of 800 milligrams a day for 4 weeks was safe and tolerated.

This is another study, another oral study in humans, randomized placebo-controlled trial, evaluated in the safety of one-year administration. So this is 49 men randomized to the treatment arm and 48 to the placebo group. They were administered in a fed state, 200 milligrams of EGCg per day for 12 months. No liver or other toxicities were observed. A single report of grade 3 nausea was reported. No other dose-limiting toxicities were observed.

Conclusion. Daily intake of standardized catechin mixture containing 200 milligrams EGCg taken twice a day with food for one year did accumulate in the plasma and was well tolerated and did not produce treatment-related adverse events.

This was a dermal study or just an animal study in general. There was a dermal arm to it, but there was also oral and subQ parts, but this chart shows the distribution of animal studies and the concentrations of EGCg that were used.

So the highlights. No systemic signs of toxicity were observed in any of the rats following dermal application of 93 percent EGCg. Minor dermal irritation was observed in rats and guinea pigs, but not in rabbits. Moderate dermal sensitizing in the guinea pig maximization test was observed.

Oral doses of 2,000 milligrams EGCg preparation per kilo was lethal in rats, whereas a dose of 200 milligrams EGCg per kilo induced no toxicity. In a 13-week rat study, no toxicity was observed in doses up to 500 milligrams per kilo per day. No adverse effects were noted at 500 milligrams EGCg preparation per kilo per day administered to pre-fed dogs in divided doses. However, morbidity did occur when administered to fasted dogs at a single bolus dose. However, the author asserted in the article that this model may be unrealistic when applied to humans.

From these studies, a no observed adverse effects level of 500 milligrams EGCg preparation per kilo per day was established. From these

results, a dose of 5 milligrams EGCg per kilo per day would seem an acceptable daily intake for humans, so for a 60-kilo adult, this would this would be equivalent to 300 milligrams EGCg per day, which is almost consistent with what we saw in the oral studies earlier.

This is a topical study that was done in breast cancer patients. This was a study of topical EGCg in patients with breast cancer receiving adjunctive radio therapy. Topical EGCg was prepared and a spray applied to grade 1 dermatitis as developed from radiation therapy to see if it would reverse or lessen irritation symptoms.

Twenty-four women with pathologically proven breast cancer with a planned course of radiotherapy were selected for this trial. EGCg concentrations were escalated from 40 to 660 micromoles per liter. The therapy was initiated once grade 1 dermatitis occurred from the radiation therapy, and it was applied three times a day to the entire radiation field.

The median duration of EGCg treatment was four weeks. No dose-limiting toxicity was observed. No other obvious adverse effects were observed to be related to the topical EGCg treatment. The conclusion was that topical administration of EGCg was well tolerated, and no dose-limiting toxicity was observed.

This is the follow-up to that same study.

In this one, 49 women with the same pathology were selected, and EGCg concentrations were started at 660 micromoles per liter, consistent with the last study. Again, it was initiated once grade 1 dermatitis occurred from the radiation therapy and it was applied 3 times a day.

The median duration of the treatment was 4 weeks. And again, EGCg was well tolerated by all patients. Incidentally, it was also effective in treating irritation as a cause of the radiation therapy.

Evidence for use, we don't have a lot of controlled placebo studies for scarring and wound care, but we do have some ex vivo studies and some

compelling animal studies, so I thought I would bring those here today.

This is a keloid organ control model, and the tissue is maintained in either dexamethasone, 50 micrograms per mL, as a positive control, or it was submersed in EGCg 100 units per mL, dissolved in dimethyl sulfoxide and maintained for 4 weeks.

The EGCg treatment stimulated cytotoxicity and significantly reduced metabolic activity from 1 week to 4 weeks, compared with the vehicletreated dimethyl sulfoxide control.

Dexamethasone reduced higher cytotoxicity and lower metabolic activity in comparison.

However, EGCg and dexamethasone both significantly reduced collagen 1 and collagen 3 transcription.

The EGCg group showed significant reductions in secreted collagen 1 and 3 compared to the dexamethasone group that did not show significant changes. The author concluded that, overall, EGCg reduced interkeloid collagen synthesis more efficiently than the dexamethasone.

This is a mouse study, promotion of full

thickness, wound healing using EGCg in a polylactic co-glycolic acid membrane as a temporary wound dressing. This study implies that EGCg regulates the secretion of cytokines in the activation of skin cells during the wound healing process.

In this study, various concentrations of EGCg were added to the electro spun membranes composed of PL/GA, and its healing effects on full-thickness wounds created in [indiscernible] mice were investigated.

Cell infiltration of mice treated with electrospun membranes containing 1 percent EGCg significantly increased after 2 weeks.

Reepithelization at the wound site and formation of blood vessels also increased in the mice treated with 1 percent EGCg and PL/GA membranes in comparison with mice treated only with PL/GA membranes.

These results suggest that 1 percent EGCg can enhance wound healing and full-thickness wounds by accelerating cell infiltration, reepithelization, and angiogenesis.

Finally, one more mouse study. I think FDA already presented this one. This is enhanced wound healing by an EGCg-incorporated collagen sponge in diabetic mice. Various concentrations of the EGCg were incorporated into collagen sponges in order to investigate its healing effect on full-thickness wounds created in type 2 diabetic mice.

At 14 days, the residual wound size of mice treated with just 10 parts per million EGCg-incorporated collagen sponges decreased significantly faster than untreated mice.

Significant increases in reepithelization, thickness, granulation tissue, and the density of the capillaries were observed in the wound sites exposed to 10 parts per million EGCg and collagen sponges in comparison with the others.

These results suggested that EGCgincorporated anti-collagen sponge at low
concentrations can enhance wound healing in
diabetic mice by accelerating reepithelization and
angiogenesis as well as improving the cellular
reorganization of granulation tissue by triggering

the activity of microfiber blasts. 1 So in conclusion, EGCg has been used in 2 3 compounded preparations for the management of wounds and scars because of this type of 4 5 information. And this information suggests that topical EGCq may improve wound healing and reduce 6 scar formation. Topical application studies in 7 humans observed no limiting dose toxicity. 9 Ex vivo studies using keloid organ culture 10 models conclude that EGCg reduces collagen 11 synthesis, and animal studies on wound closer 12 suggest that topical EGCq enhances reepithelization 13 angiogenesis. Thank you. 14 Clarifying Questions from the Committee 15 DR. GULUR: Thank you. 16 We will now accept clarifying questions. 17 Dr. Wall on the phone? 18 DR. WALL: Thank you. Just a quick 19 question. You had mentioned -- you said your 20 current use when it is being made is combined with 21 multiple other ingredients. 22 Is that correct, the topical creams or

1	ointments?
2	MS. KIEFFER: Typically, yes.
3	DR. WALL: With you and your colleagues'
4	experience, what have you seen that contributes
5	when you add it to those other ingredients? What
6	changes or the clinical [inaudible].
7	MS. KIEFFER: Are you asking what results
8	we're seeing?
9	DR. WALL: Yes. What are you seeing
10	[indiscernible] ingredients, kind of clinical?
11	MS. KIEFFER: Unfortunately, I don't have
12	that data. I don't see patients. I only see
13	people seeking active ingredients.
14	DR. WALL: Thank you.
15	DR. GULUR: Thank you. Dr. Desai?
16	DR. DESAI: The study that you mentioned
17	with the results showing that the combination of
18	the EGCg with the PL/GA was superior to the PL/GA
19	alone, was that a mouse model study?
20	MS. KIEFFER: Correct. Yes.
21	DR. GULUR: Dr. Patel?
22	DR. PATEL: Going back to the question of

1	stability in the FDA's report, it was mentioned
2	that it's not a stable compound and that the
3	stability was not documented for beyond 6 days.
4	When you add mixing with steroids and anesthetics,
5	how do you guarantee the potency of the active
6	ingredient?
7	MS. KIEFFER: I think that's the case with
8	any combination of ingredients. We can't.
9	However, USP guidelines give us a very strict
10	adherence for preparing things in that matter and
11	that they cannot be held for more than 30 days.
12	DR. GULUR: Could you clarify that again?
13	It's 30 days and yet the stability here was 6 days?
14	MS. KIEFFER: Without a stability study,
15	USP allows us to compound things for 30 days
16	beyond use dating.
17	DR. GULUR: Please clarify.
18	DR. PATEL: Is that because in reference to
19	795?
20	MS. KIEFFER: It is.
21	MS. DAVIDSON: To clarify, those default
22	dates are when there is no other evidence

1 available, and I think there's plenty of evidence 2 available that it's not stable in an aqueous 3 solution past 6 days. That being said, there are a 4 MS. KIEFFER: 5 number of compounding bases that can be used that are non-aqueous. So they're ointment and gels that 6 7 don't contain any water. And in fact, typically, 8 the ointments and gels are what it's being 9 compounded in, and they are silicone and petrolatum 10 base. 11 DR. GULUR: However, you had mentioned that, 12 when you're doing 94 percent, 6 percent is water. 13 So could you clarify how that would work? MS. KIEFFER: All chemicals have a small 14 15 amount of water in them upon their derivation. 16 DR. GULUR: Thank you. Dr. Bogner? 17 DR. BOGNER: I wanted to follow up on the 18 water. I take it this is a crystalline compound, I'm less familiar with having 19 yes, that one, EGCq. 20 that high a loss on drying for a crystalline 21 compound. I'm more familiar with having that high 22 of a water content in partially amorphous or non-

1 crystalline compounds. Maybe Dr. Hoag can help 2 clarify that. 3 That being said, if I am correct, and that's a very high moisture content, I'm wondering if we 4 5 have here not something that's fully crystalline. 6 Would you be able to say? 7 I would need to find out from MS. KIEFFER: 8 the manufacturer if it's a fully crystalline 9 substance, but we see high concentrations of water 10 in quite a bit of active ingredients. 11 DR. BOGNER: Let me go back to the water and 12 reactivity piece. Looking at the compound and all 13 those phenolic groups around, it seems to me 14 oxidations would be a big problem, which means 15 you'd have that trouble in a silicone-based gel as 16 well as others. 17 Does anybody know about the reactivity of 18 all these phenolic groups? So if you were to 19 combine this active with another active, would they 20 actually react with each other? 21 MS. KIEFFER: I don't know all the specifics 22 on that. That would be on a case-by-case basis.

DR. GULUR: Dr. Jungman?

MS. JUNGMAN: Just noting that the nomination was for a number of different formulations, but your presentations seem to primarily be supporting topical use for wound treatment and skin treatment, do you continue to support the ophthalmic and oral formulations, or are you really looking for the topical formulation?

MS. KIEFFER: As I was explaining earlier, when we first created these nominations, we really were unclear that specific indications were what FDA was looking for. So we tried to supply as much data as there was or at least a lot of data so that you could see, okay, there are usages, there are potential need, and that there is some safety and efficacy data here, there, and whatever.

Again, every single day, we have new literature, so maybe tomorrow, there will be a new use for EGCg that we might support. But I thought, since indication has really surfaced as what we're looking at in these discussions, it would be better to just focus on and give you some idea of what

1 it's actually being used for. 2 DR. GULUR: Dr. Desai? 3 DR. DESAI: Following up on Dr. Jungman's comment, I too was interested because the majority 4 5 of your presentation was around the topical use. And when we heard Dr. Johnson's presentation, she 6 mentioned several studies including a very recent 7 8 one, if I'm not mistaken, on the pregnant patients 9 who had an improvement in their glucose levels. 10 Can you comment a little bit? Have you seen that being used at all? Have you had prescriptions 11 12 come in for that? Have you had usage in other 13 formulations other than topical? 14 Also, in terms of a volume, can you give us a sense of how many topical prescriptions or how 15 much usage you're seeing of this topically? 16 17 MS. KIEFFER: I have not seen any oral usage 18 in the compounding pharmacy, not that there 19 some, but I have not seen any. And then in terms 20 of volume, all of these substances sort of ebb and 21 flow. 22 For a while, there was a lot of interest in

it, and I would imagine that's still consistent. 1 2 But I wouldn't say it's any immense volume, but I think when we're talking about scarring, we don't have a lot of really great clinical or FDA-approved 5 or even standard of care options, and I think people are always looking for something that's 6 going to help attenuate some of the fibrosis, et 7 cetera. 9 So that's where it really seems to have 10 gotten most of its attention. 11 DR. GULUR: Thank you very much. 12 Dr. Davidson? 13 MS. DAVIDSON: Can you talk a little bit 14 more about where the not less than 94 percent came 15 from? 16 MS. KIEFFER: Yes. It came from the 17 manufacturer specifications. On their list of 18 specifications, on their C of A, what they tested 19 against, that that's their specification that it 20 can't be less than 94 percent. 21 MS. DAVIDSON: That is their own individual 22 specifications?

MS. KIEFFER: That is their own individual 1 2 specifications. And I think you were asking about 3 this earlier. How do we determine if we don't have That's a very good question, and I 4 a monograph? 5 think the manufacturers tend to make specifications for themselves if there isn't a monograph for them 6 7 to look at. 8 If there's a monograph in another country, 9 often those will be used, but in this case, 10 the manufacturer's review. 11 MS. DAVIDSON: Because there is a dietary 12 supplement monograph for green tea extract, and it requires not less than 40 percent of the 13 14 epigallocatechin. So I was just curious as to what the relationship is between the 94 percent and 15 16 efficacy and if degradation below that maybe isn't 17 a bad thing. I just was curious as to where the 18 94 percent came from. 19 MS. KIEFFER: It's the pure material, so 20 they're extracting it, and that's what they're --21 DR. GULUR: Yes. Dr. Bogner? 22 DR. BOGNER: I'm going to go back to that

1 5 percent water because, frequently, the water 2 content is specified, but purity is based on the 3 anhydrous. Right? Once the water has taken off, now, what is the purity of the solid that's left? 4 5 You seem to indicate that this is including the 6 water. It's not including the water. 7 MS. KIEFFER: 8 When we're doing an assay, as you're stating, it's 9 based on the water being driven off, and that's 10 what is stated. But if it's only for 94 percent, what else is in there? And the impurities are, for 11 12 this one, water, it looks like. 13 DR. GULUR: Could you clarify that again, because I'm genuinely very confused? 14 So is it the 15 anhydrous? Whatever is anhydrous is 94 percent? 16 MS. KIEFFER: Yes. 17 DR. GULUR: Or are we talking about the 18 whole thing as 94 percent with 6 percent water? 19 MS. KIEFFER: The whole thing is 94 percent 20 active, and then it can contain up to 5 percent 21 And when we assay for the activity, we 22 drive off the water first.

1 DR. GULUR: So if you drove off the water, 2 what is the activity that you were left with? 3 MS. KIEFFER: 94 percent. DR. GULUR: So what else is in there? 4 5 There's probably chemical MS. KIEFFER: intermediates, possibly fragments. Like I said, 6 they do assay for a number of different --. 7 8 DR. GULUR: So would you agree, then, that, 9 as implied in the FDA presentation, when it's 10 94 percent there could be 6 percent impurities? Not necessarily because the 11 MS. KIEFFER: 12 activity is not necessarily set that way. 13 activity can fluctuate regardless of whether there is a number of activities or not -- excuse me, 14 15 impurities or not. 16 DR. GULUR: Thank you. Dr. Desai? 17 Just a quick question. DR. DESAI: seen so many studies on this in the presentations. 18 On the wound healing presentation studies that you 19 20 presented, were any of them human subject studies, 21 any phase 3 data, or phase 2 human studies, or were 22 they all mice? I just can't remember.

1	MS. KIEFFER: The studies at the end were
2	mice. We did talk about one dermatitis study that
3	was in humans.
4	DR. DESAI: And do you remember the cohort
5	size or do you remember if it's large or medium
6	sized by chance?
7	MS. KIEFFER: In the human study, there were
8	two. It was 24 and 49.
9	DR. DESAI: Thank you.
10	DR. GULUR: Dr. Hoag?
11	DR. HOAG: When you say activity, how did
12	you determine that? Is it they use the word
12 13	you determine that? Is it they use the word international units for vitamins and stuff or is
13	international units for vitamins and stuff or is
13 14	international units for vitamins and stuff or is this HPLC?
13 14 15	international units for vitamins and stuff or is this HPLC? MS. KIEFFER: It's HPLC.
13 14 15 16	<pre>international units for vitamins and stuff or is this HPLC? MS. KIEFFER: It's HPLC. DR. GULUR: Dr. Johnson?</pre>
13 14 15 16 17	international units for vitamins and stuff or is this HPLC? MS. KIEFFER: It's HPLC. DR. GULUR: Dr. Johnson? DR. JOHNSON: Two comments on the radiation
13 14 15 16 17 18	<pre>international units for vitamins and stuff or is this HPLC? MS. KIEFFER: It's HPLC. DR. GULUR: Dr. Johnson? DR. JOHNSON: Two comments on the radiation dermatitis studies. First, I tried to preempt this</pre>
13 14 15 16 17 18 19	international units for vitamins and stuff or is this HPLC? MS. KIEFFER: It's HPLC. DR. GULUR: Dr. Johnson? DR. JOHNSON: Two comments on the radiation dermatitis studies. First, I tried to preempt this just to make it clear what our viewpoint is, but

1	provided the Radiation Therapy Oncology Group
2	scoring system. If you were up to level 3 or level
3	4, and level 4 being ulceration, hemorrhage,
4	necrosis, you would be talking about a wound
5	healing model. There were no patients in either
6	one of these studies that reached that level.
7	MS. KIEFFER: Actually, I included those
8	studies, the radiation studies, really to show more
9	dermal safety than wound healing efficacy.
10	DR. GULUR: So would you say there are no
11	human studies on efficacy for wound healing?
12	MS. KIEFFER: Not that I was able to find.
13	Committee Discussion and Vote
14	DR. GULUR: Thank you. Thank you very much.
15	We do not have any open public hearing
16	speakers. The open public hearing portion of this
17	meeting has now concluded and we will no longer
18	take comments from the audience. We will now begin
19	the panel discussion of EGCg. Dr. Braunstein?
20	DR. BRAUNSTEIN: Yes. I just want to
21	confirm that an API can be put on the list with a
22	particular

1	DR. GULUR: Concentration?
2	DR. BRAUNSTEIN: No, no, no. I was going to
3	say formulation, but really it's a route of
4	administration, is what I was going to say.
5	MS. BORMEL: Yes, you can restrict the route
6	of administration. What you can't do is restrict
7	the usage, because once it's on the list, it could
8	be used for any particular use. But the route of
9	administration, you can include as a restriction on
10	the list.
11	DR. GULUR: Dr. Carome?
12	DR. CAROME: Mike Carome. So we have
13	approved some topical drugs for dermatologic
14	conditions and said we'd like to restrict it to
15	that, but in all those prior cases, there was
16	evidence of efficacy, which we don't have here.
17	DR. GULUR: Yes. I think even the
18	nominators have confirmed there are no human
19	studies of efficacy for wound healing.
20	If there are no further discussion points,
21	we will move on to the vote. Yes, Dr. Jungman?
22	MS. JUNGMAN: Do any of the FDA chemists

1	have more information on reactivity, chemical
2	reactivity of this compound?
3	DR. ZHANG: This is Ben Zhang from OPQ.
4	Just I want to make a few more comments on the
5	stability of this substance. EGCg is very
6	sensitive to oxygen, even as a solid formulation.
7	We only have evidence showing for stable for one
8	week, and then 13 percent degrades after one month.
9	Now, the main reason for degradation is an
10	oxidation reaction. If you know a group will
11	oxidize, and form dimer of EGCg and then acetyl
12	ester [indiscernible], and then you can hydrolyze
13	the increased solutions. Hopefully that will give
14	you information about this reactivity.
15	DR. GULUR: Yes, Dr. Davidson?
16	MS. DAVIDSON: So there is a commercially
17	available product called Veregen that is approved,
18	and it must be stable or you've wouldn't have
19	approved it.
20	DR. JOHNSON: Correct.
21	MS. DAVIDSON: And it must be effective and
22	I know it's not a drug.

1 DR. JOHNSON: It is a drug. 2 MS. DAVIDSON: It is a drug, okay. Is it a 3 botanical drug? The gel contains 15 percent of 4 DR. JOHNSON: 5 a mix called sinecatechins. And the sinecatechins is a proprietary mix. It's just a name that they 6 7 It's 55 percent EGCq. have. 8 MS. DAVIDSON: But presumably no water. 9 DR. GULUR: Yes, that went away. 10 Any further questions, questions from our 11 members on the phone? 12 (No response.) 13 DR. GULUR: We will then move on to the 14 The question before us is FDA is proposing 15 that EGCg not be included on the 503A bulks list. 16 Should EGCq be placed on the list? If you vote no, 17 you are recommending FDA not place the bulk drug 18 substance on the 503A bulks list. If the substance 19 is not on the list when the final rule is 20 promulgated, compounders may not use the drug for 21 compounding under Section 503A unless it becomes 22 the subject of an applicable USP or NF monograph or

1 component of an FDA-approved drug. 2 If there is no further discussion, we will 3 now begin the voting process. Please press the 4 button firmly on your microphone that corresponds 5 to your vote. You will have approximately 15 seconds to vote. After you have made your 6 selection, the light will continue to flash. 7 Ιf 8 you are unsure of your vote, please press the 9 corresponding button again. 10 (Voting.) 11 DR. CHEE: For EGCq, we have zero yeses, 12 13 nos, and zero abstain. 13 DR. GULUR: We will start with the comments 14 from Dr. Carome. You'd like me to choose the other 15 side? 16 (Laughter.) 17 DR. GULUR: That's fair. Dr. Burman? 18 DR. BURMAN: Thank you. Thank you, 19 Dr. Carome. This is Ken Burman. I voted no. 20 Obviously, the major part of the discussion by the 21 FDA related to oral preparations, and it was clear 22 to me that there was significant degradation

issues. There was possible toxicity, especially of liver and GI tract.

There is no long-term data. And it was not effective in most of the indications used except possibly for type 2 gestational diabetes, where the endpoints at least weren't clearly identified, so I'm not 100 percent sure about that.

With regard to, however, the presentation about the nominator, who focused mainly on topical preparation, that may be more useful. But as she herself said, there aren't any clinical human studies. I just raised the issue that may be in their future, if there were clinical studies in humans, it might in fact be beneficial. And that's a topic for a different time.

DR. GULUR: Thank you. Dr. Vaida?

DR. VAIDA: Allen Vaida. I voted no, and I thought that Dr. Burman stated the facts eloquently there. I probably just want to reiterate, too, with the safety data, it was first presented for topical 1 to 3 months and all the safety data only went up to 4 weeks in the studies that were

1 presented. 2 DR. GULUR: Thank you. Dr. Venitz on the 3 phone? 4 DR. VENITZ: This is Jurgen Venitz. I voted 5 [indiscernible]. 6 DR. GULUR: Thank you. Padma Gulur. I 7 voted no, stability, safety to some degree, and the lack of clinical efficacy for the indications that 8 9 were presented influenced my vote. 10 Dr. Davidson? 11 MS. DAVIDSON: I voted no for all the 12 reasons stated. I think the stability issues 13 obviously can be overcome because there was an 14 approved product for it, but the hepatotoxicity 15 safety signals concern me significantly, And that's 16 why I voted no. 17 MR. HUMPHREY: William Humphrey. I voted no 18 for, again, many of the same reasons, the lack of 19 clinical efficacy in humans and the stability 20 issue. 21 DR. DESAI: Seemal Desai. I won't repeat. 22 The previous speakers have done a great job of

going over their reasoning. I will make a comment, however, that from a topical perspective, I think the nominator did a very nice job of presenting the mouse model studies, which are encouraging in data.

We just don't have any human data.

Given that Veregen and sinecatechins are a

Given that Veregen and sinecatechins are a product that I use as a dermatologist very frequently, that may be something anecdotally, in listening to some of this data, which could certainly be studied down the road. But what we had here with EGCg just wasn't up to par for the data.

DR. PATEL: Kuldip Patel. Just to add to these comments that are already made, we already know the compound was highly unstable under normal storage conditions. And to add other products to that as an add mixture concerned me about how effective that product would be within days, with the current date being given 30 days out.

Added to that, impurities was a concern, we talked about a potential interaction with another drug, Velcade, and the lack of long-term data on

efficacy in humans.

DR. BOGNER: Robin Bogner. I voted no for many of the reasons that were stated. In addition, while we know that stability can be taken care of at least because there is a commercial product, it's not clear that a compounder would have at his or her disposal those same techniques and right off the top of their heads. So that's why I voted no.

MS. JUNGMAN: Elizabeth Jungman. I also voted no like most of my colleagues, primarily because of instability, concerns about liver toxicity, and lack of data of effectiveness.

DR. HOAG: Steve Hoag. I voted no. I think this, in the future, with better data has potential to be approved or moved forward. But I would just concern, like, the lack of clarification of what is in there. My gut tells me that probably there are some impurities that are making the stability problematic, so that would make me vote no.

DR. CAROME: I'm Mike Carome. I voted no for all the reasons stated by other committee members.

1 DR. GULUR: Thank you, Dr. Carome. 2 With that, we are scheduled to take a break 3 at this point. If everyone could return at 3:45 so we can get restarted. 4 Thank you. 5 (Whereupon, at 3:33 p.m., a recess was taken.) 6 7 Welcome back, everyone. DR. GULUR: We will 8 now proceed with the FDA presentation by 9 Dr. Ganley. 10 FDA Presentation - Charles Ganley 11 DR. GANLEY: I'm going to be talking today 12 about trans-resveratrol. Throughout this talk, it may state resveratrol, but it's going to be 13 referring to trans unless I other identify that. 14 I'm Charlie Ganley. I'm the director at the 15 16 Office of Drug Evaluation IV in the Office of New 17 This is a list of the review team. I just Drugs. want to acknowledge their work on this. 18 I also acknowledge that there's a lot of people behind the 19 20 scenes who work on all these presentations and help 21 us with slides, and I want to acknowledge their 22 participation. I also want to acknowledge the time

the committee takes out to assist us with this process.

Trans-resveratrol is trans-3,4,5
trihydroxystilbene and has been nominated for

inclusion on the list of bulk drug substances for

use in compounding under Section 503A of the

Federal Food, Drug, and Cosmetic Act. The proposed

use is for the treatment of impaired glucose

tolerance in older adults and also for pain.

This was not a nominated use, but we reviewed it, for reasons that will become evident later, because we're aware that it is being used for this use in compounding. The reviewed routes of administration are oral for both of the proposed uses and topical for pain. There were no specific dosage forms or strengths proposed.

With regard to the physical and chemical characterization, resveratrol is a naturally occurring polyphenolic phytoalexin. You're probably most familiar with it as being an ingredient in red wine, but it also is present in many different foods that we eat and in different

1 plants. It is a stilbenoid with two well-2 characterized structural isomers, cis and trans. 3 The trans is more abundant in the bioactive isomer. Trans-resveratrol is slightly soluble in water. 5 is also more stable when kept away from light. Exposure to light causes acceleration of 6 7 isomerization between the cis and trans-isomers, 8 the cis- being the less stable isomer. Light-9 induced degradation of the cis-resveratrol leads to 10 genotoxic impurities. Physical and chemical characterization. 11 12 Some plants produce small quantities of resveratrol 13 in response to pathogens. Large-scale quantities 14 can be chemically synthesized. The synthesized resveratrol is a mixture of the trans- and cis-15 isomers in the 7 to 3 ratio, and the two isomers 16 17 can be isolated with chromatography. 18 As far as general pharmacology, I'll just 19 over this slide briefly because there are literally 20 hundreds, if not thousands, of articles in the literature that talk about the biologic action 21 22 potential of trans-resveratrol. All these bullets

here are referring to its anti-oxidation or antiinflammatory effect, although the last bullet is the sirtuin 1. NAD-dependent acetylase is involved in longevity.

The one thing I do want to point out is the concentrations that are used in some of these studies, whether they're in vitro or ex vivo, we're talking in micromolar concentrations, and that will become evident later when I talk about the clinical pharmacokinetics in humans.

With regard to impaired glucose tolerance, there's mechanistic in vivo animal studies of type 2 diabetic models. It suggests resveratrol in doses of 10 to 100 milligrams orally increases insulin secretion, improves glucose tolerance, improved pancreatic islet structure and function, decreases insulin resistance, and decreases oxidative damage. On the right, there's a schematic that shows these various potential actions and the improvement of insulin secretion.

With regard to pain, pharmacology, use of polyphenol such as resveratrol attenuated

1 neuropathic nociceptive pain in animals; 2 supplementation of resveratrol in several in vivo 3 animal models with diabetes, that is, 10 and 20 milligrams intraperitoneal injections in rats 5 and 5 to 20 milligrams orally in mice; reduced hyperalgesia, decreased serum, tumor necrosis 6 factor alpha levels, and whole-brain nitric oxide 7 release. 9 A gel formulation containing .025 percent 10 resveratrol-reduced inflammation and edema in an in vivo rat model of pain when measured 1 to 11 12 4 hours post-injury. The non-clinical pharmacokinetics 13 resveratrol is detectible in plasma within 14 15 minutes of oral administration and reaches peak 15 concentrations within 30. Elimination half-life is 16 8 to 12 hours. The highest distribution is found 17 in the liver, followed by the pituitary muscle, 18 stomach, intestines, and optic nerve. 19 20 Trans-resveratrol undergoes extensive 21 conjugation during its metabolism, it does not 22 accumulate over time, and it is largely eliminated

in the feces. Similar mode of metabolic profiles were reported for topical and/or oral routes of administration.

pharmacokinetics, there are many studies out there that evaluated the pharmacokinetics of trans-resveratrol, and consistently throughout the literature, it suggests that it's highly absorbed based on carbon-14-labeled drug, but has a low absolute bioavailability after oral administration, primarily due to extensive first-pass metabolism either through the liver or possibly in the gut through bacterial degradation.

I've just listed 2 PK studies here as examples. In the first study, a single-dose study of 500 milligrams, a gram, or 2 and a half grams, or 5 grams, I want to point out here that, in a liter of red wine, generally the amount of resveratrol is less than 10 milligrams. The highest amount that has been detected in red wine is 14 milligrams. Many of them are much less than that, maybe around 2, 3, or 4 milligrams. So even

in a glass of red wine, you may be lucky to get a 1 2 milligram of resveratrol. 3 So you see here that the dose being used is rather high relative to what we would see in foods. 4 5 And if you go back to the non-clinical data -- and you can remember that I mentioned a lot of these 6 studies were using doses that were in the 7 micromolar range. 9 So the Cmax values in this particular study 10 ranged from 73 nanograms per mL for the lowest dose to 539 nanograms per mL with the highest dose. 11 12 Now, 73 nanograms per mL is approximately 13 0.3 micromolar. So just keep that in mind. Tmax range from 0.8 to 1.5. 14 15 In this study, 6 metabolites were 16 identified, either sulfates or glucuronides, 17 they were generally primarily phase 2 metabolites. And this is consistent throughout the literature. 18 19 It may not be 6; it may be less than that. 20 half-life ranged from 3 to 8 hours. In a multi-dose study of 25 milligrams 21 22 150 milligrams every 4 hours, for 13 doses,

1 Cmax ranged from 1.4 nanograms per mL to So 1.4 2 approximately 25 nanograms per mL. 3 nanograms per mL is probably about 0.01 micromolar. After the 13th, the Cmax was approximately 5 6.9 up to 64 nanograms per mL. The volume and distribution is approximately 1.8 liters per 6 kilogram, which suggests that it gets into the 7 tissues. And consistently throughout the 9 literature, it's noted that the circulating human 10 blood levels are lower than resveratrol concentrations found to be active in vitro and 11 12 ex vivo. 13 That's in part one of the reasons I think the larger doses are given. But you have to keep 14 in mind also that the human blood level is 15 16 equilibrium with tissue levels, and it's not always 17 reflective of what the tissue levels will be. you actually may have higher tissue levels than 18 you're seeing in the blood. 19 20 In a separate multi-dose small study of 21 12 subjects, there was no difference in PK between 22 young and older subjects or males and females.

that was at least 6 in each group based on age and on sex.

The application of resveratrol, approximately 50 micrograms per square sonometer for 24 hours to ventral forearms in 6 women without any skins disorders showed high variability and absorption by tape-stripping method. They didn't detect, and we wouldn't necessarily expect them to have detectible levels in the blood. Most of the applied product remained in the stratum corneum layers of the skin.

Orally administered resveratrol, 1 gram per day for 4 weeks inhibited cytochrome 450 enzymes, 3A4, 2D6, and 2C9. So for drugs metabolized by the cytochrome 450 enzymes, concomitant resveratrol may lead to increased blood levels and longer elimination half-life.

The other thing I'll just point out with that, it's not clear at what dose you would not see that potential interaction. Not all cytochromes have been evaluated in this type of study.

With regard to non-clinical safety,

1 resveratrol was non-irritating to skin and eyes, 2 and it was non-sensitizing when topically applied 3 in animal models. Toxicities were dosed and formulation related. Some studies reported no 5 toxic effects. Other studies reported adverse clinical signs, dose-related increase, and 6 therefore toxicity were noted in several species in 7 four 13-week and 6-week toxicity studies. 9 Gastrointestinal, specifically diarrhea and 10 loose stools and urinary bladder epithelial hyperplasia effects were reported in some 11 resveratrol formulations. 12 13 Trans-resveratrol was non-mutagenic in 14 several Ames' assays; positive clastogenic activity in a chromosomal aberration test in human 15 lymphocytes, both in the presence or absence of 16 17 metabolic activation and negative genotoxic 18 activity in the in vivo bone marrow, micronucleus test in rats. 19 20 Other non-clinical safety, developmental, 21 and reproductive toxicity, resveratrol binds 22 estrogen receptor. It's a phytoestrogen.

no in vivo adverse events reported -- no adverse reproductive or fetal effects were seen in embryofetal toxicity studies in rats.

As far as carcinogenicity, resveratrol was not associated with an increase in benign or malignant tumors in a 6-month transgenic mouse model study. Dose-related increase in death likely to accumulation of resveratrol at very high doses in the GI tract.

With regard to adverse events, you've heard the FAERS data and what that is. There were 7 cases found. None described the use of resveratrol as part of a compounded product, listed the adverse events reported there. It was difficult to assess causality because of a lack of information or confounding by disease in the use of multiple concomitant medications or supplements.

I'll just point out that two of the adverse events, one was persistent vomiting and diarrhea, which is not necessarily inconsistent with the side effects that you see in the literature related to higher doses of resveratrol.

The case of gynecomastia involved a 15-yearold male taking risperidone and resveratrol. The
dose of resveratrol was not provided. It's simply
stated it was 4 times per day. Risperidone alone
can cause gynecomastia, but risperidone is
metabolized by cytochrome 2C9, and resveratrol
inhibits cytochrome QC9. But there's really
insufficient information for us, other than to note
that coincidence there of a possible drug-drug
interaction.

With regard to the CAERS data, there were 377 reports identified. In most of these cases, multiple dietary supplements were being ingested. Some cases suggest a role for resveratrol in the adverse events. Many of these cases were serious in nature. In a lot of them, the number of ingredients that the individuals may be taking was 50 or more. So it's really difficult to make any conclusions about the causality related to resveratrol.

Many of the studies are short-term studies lasting several days, several weeks, or months, so

the acute adverse events are primarily mild to moderate gastrointestinal symptoms, including diarrhea, abdominal pain, flatulence, nausea, and heartburn.

There was a phase 2 trial in myeloma patients that reported nausea, diarrhea, vomiting, fatigue, and renal failure in five of the individuals. They were receiving 5 grams per day on cycles of 20 days. So these five cases actually may have been precipitated by dehydration from the gastrointestinal effects of resveratrol.

Actually, these renal failure cases prompted the investigators to stop using it in that population because they are at risk simply from the multiple myeloma to develop renal failure, and this may have thrown him into it.

There have been multiple studies of nonalcoholic fatty liver disease, and there were also
increased stools, and there was mildly increased
alanine and aspartate aminotransferases. In this
particular study, it was simply a doubling of
those. Other studies have not necessarily

identified liver toxicity.

The Susan G. Komen organization recommends that resveratrol supplementation should be avoided in women with hormone-sensitive conditions, specifically breast, uterine, ovarian cancer, endometriosis, and uterine fibroids because of its phytoestrogenic effect. And again, I want to be accurate here. It says resveratrol supplementation. It doesn't say eliminate foods that may have minor amounts of resveratrol in it.

The one thing that's not here is long-term safety. The long-term safety of resveratrol for different diseases has not been adequately studied. Resveratrol can elicit a biphasic dose response in different models such that one dose may appear to be beneficial, but a higher or lower dose is detrimental.

In a review by Calabrese from 2010, he noted that these biphasic responses were reported for numerous human tumor cell lines affecting breast, prostate, colon, lung, uterine, and leukemia. In such cases, low concentration of resveratrol

enhanced tumor proliferation, whereas higher concentrations were inhibitory.

Biphasic dose responses were also reported in animal models for the cardiovascular-induced injury, gastric lesions, ischemic stroke,

Alzheimer's disease, and osteoporosis.

There was often a protective effect at a low dose, but an adverse effect at higher doses, exacerbating the disease process. Many of the effects adduced by resveratrol are dependent on dose, and the opposite effects occur at low and high doses.

I just point this out because specifically for the impaired glucose tolerance, that's a disease that the individuals are at risk obviously for developing diabetes, but they also are at risk for developing cardiovascular disease and things like that. So simply because resveratrol is available in your food, for some of the doses that we're getting into here, where we're talking about gram quantities or dose, it could be detrimental long term. And that information can only be

obtained in long-term studies.

As far as the safety conclusion, animal models show that the kidney, and gastrointestinal, and urinary bladder as target organs of toxicity. Again, in human acute studies, there were symptomatic adverse events that were primarily gastrointestinal, and in some cases at higher dose can be fairly severe, and in some populations, it can be extremely detrimental.

In clinical adverse event reports, concomitant therapies and/or underlying diseases make it difficult to make any conclusion about attribution to resveratrol.

The effectiveness in impaired glucose tolerance is not a recognized disease, at least based in discussions with the endocrine division in the Office of New Drugs. It a risk marker for future diabetes. Delaying the onset of diabetes in patients with IGT has not been shown to offer any micro- or macrovascular benefits to patients in long-term randomized controlled trials.

There was one study that we found where

trans-resveratrol was used in the treatment of 1 2 impaired glucose tolerance. It was done in 3 10 patients who were over 64 years of age. The doses of resveratrol were very divided into 5 1 and a half, or 2 grams per day. 6 The results suggested there was no change in 7 fasting sugar. The decrease in peak and post-meal 8 glucose and 3-hour glucose AUC was noted. 9 was a decrease in post-meal insulin levels, and 10 insulin sensitivity improved in 1 of 2 scales. 11 Insulin secretion and disposition index did not 12 change significantly. 13 It's important to note that the authors in 14 their conclusion stated that subtle changes in diet 15 and exercise could have contributed to the observed 16 effect. These were not controlled for in this 17 study. 18 With regard to pain, there were no clinical 19 trials, either by oral or topical routes of 20 administration, that we identified where 21 resveratrol alone was used for the treatment of 22 pain.

We did find one study where resveratrol was used in conjunction with contraceptive medications. It was a Brazilian study, uncontrolled, open label. Patients were initially treated with drospirenone and ethinylestradiol for 6 months. Resveratrol 30 milligrams was added at 6 months because patients were not completely pain free.

Pain was significantly relieved with the contraceptives, using a categorical pain scale of 0 to 3. After 2 months of resveratrol treatment, there were significant improvements in pain scores.

Again, this is a small open-label uncontrolled study using an unvalidated pain scale that does not support clinical effectiveness. In these types of situations, it is important to have a control arm simply because the women in this study may have continued to improve simply on continuing the contraceptives. So they were taking contraceptives and resveratrol during the improvement.

The conclusion with regard to effectiveness, impaired glucose tolerance is a risk marker for the

The benefit of treatment 1 development of diabetes. of IGT is unclear, for the American Diabetic 2 3 Association notes that for impaired glucose tolerance, the mainstay of treatment is an 5 intensive behavioral lifestyle intervention program to achieve and maintain at least 7 percent weight 6 loss within the first 6 months of intervention and 7 8 increase physical activity to at least 150 minutes 9 per week.

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We found only one study evaluating the effect of resveratrol in the treatment of impaired glucose tolerance, but is insufficient to support effectiveness. Resveratrol has not been adequately studied for the treatment of pain.

With regard to the historical use in compounding, resveratrol was first identified from the roots of white hellebore in 1940. It is available as a dietary supplement. There is really insufficient information available about how long resveratrol has been used in pharmacy and compounding.

The last item here was what prompted us to

1 look at pain because we became aware of this 2 information. This was a letter from Congressman 3 Scott and Cummings in a response to a notice in the Federal Register that was really directed to what 5 involved prescriptions being written and paid for by the Department of Labor for individuals that 6 were on workman's comp. 7 8 This is a quote from the letter, "The Postal 9 Inspector General provided data which show payments 10 for over 5,000 prescriptions for resveratrol totaling more than \$16 million." And I also note 11 12 that resveratrol is a dietary supplement, which has 13 been prescribed for use in compounded drug creams 14 for back pain. So if you can do the math there quickly, 15 16 16 million divided by 5,000 is around \$3200. 17 letter did also note that in some cases over \$32,000 was paid for an individual prescription. 18 19 In summary, trans-resveratrol is well The acute safety concerns are 20 characterized. 21 primarily related to gastrointestinal adverse

effects observed in clinical studies and possible

22

drug interactions related to inhibition of cytochrome P450 enzymes.

The non-clinical data suggests the kidney, gastrointestinal tract, urinary bladder to be target organs of toxicity. Clinical effectiveness has not been established. There is limited data in patients with impaired glucose tolerance in pain. There is poor absolute bioavailability due to extensive gut and liver metabolism.

The history of compounding is limited, and it's been available as a dietary supplement. And just again to note, the long-term safety of use in these products for serious conditions has not been established. The recommendation is a balancing in the four evaluation criteria weighs against resveratrol from being added to the list of bulk drug substances that can be used in compounding under Section 503A of the FD&C Act. Thank you.

Clarifying Questions from the Committee

DR. GULUR: Thank you, Dr. Ganley. We will
now take clarifying comments and questions.

22 Dr. Vaida?

1 DR. VAIDA: I'm just trying to follow a 2 history here. This was nominated for various 3 indications, and then it came back for just glucose intolerance, which I guess was oral. But then you 5 did a study on glucose intolerance and pain, but you found that its real use right now is topical 6 for either pain or aging skin. 7 8 So even with the oral for glucose 9 intolerance, you didn't find anything that 10 supported that, and it doesn't even look like it may be used for that. 11 12 DR. GANLEY: Well again, we don't have a lot 13 of data on how these products are being used. are aware of it being used for pain simply because 14 of what was in the public domain here with regard 15 16 to that letter. We were not aware of that. 17 I actually don't know how it's being used This is what it was nominated for. 18 The 19 nominator can provide information on what it's 20 being potentially used for. 21 You mentioned anti-aging. If you go on the 22 internet, you can find products that contain

1 resveratrol, and they generally have cosmetic-type 2 claims, either anti-aging or improving the 3 appearance of your skin. Some have used the term "anti-wrinkles," although I'm not sure that's 4 allowed under the cosmetics standard. 5 DR. VAIDA: Thank you. 6 7 DR. GULUR: Dr. Jungman? 8 MS. JUNGMAN: That actually gets to my 9 question, which is the briefing materials note that 10 it's sold as a dietary supplement, and it says capsules, tablets, powders, and cream formulations. 11 12 And it was my understanding that you couldn't have 13 a dietary supplement that wasn't adjustable. So the currently available topical 14 formulations that are not compounded, what are 15 Cosmetics? 16 those? 17 I believe they're cosmetics. DR. GANLEY: If you go online and do a search for resveratrol in 18 a topic, you'll pull up products. It doesn't 19 20 specifically state what the concentration is, but 21 it has various cosmetic type uses for it. So it's 22 not a dietary supplement, but it could be marketed

1 under the cosmetic regulations. I'll admit knowing almost 2 MS. JUNGMAN: 3 nothing about the cosmetic regulations. I know there's no pre-approval. Is FDA looking at those 5 at all, or what does it mean that it's a cosmetic? DR. GANLEY: 6 They don't look at the safety 7 of those. 8 DR. GULUR: Dr. Ganley, I do have a question 9 with regard to -- and I apologize if I missed that 10 somewhere here, in the reproductive toxicity. 11 we've basically seemed to indicate that, at least 12 in my studies, there wasn't any real risk to the 13 fetus per se. 14 Did I understand that correctly, or there is 15 risk to the fetus? 16 DR. GANLEY: Yes. I'm going to let 17 Dr. Harrouk answer that. We did try to look at 18 that specifically because this is a similar 19 structure to diethylstilbestrol, so she can address 20 that. But I think the issue with regard to the 21 Susan G. Komen Foundation has to do with a 22 phytoestrogen effect.

DR. GULUR: I'm specifically also 1 2 referencing the paper, a pregnant primate study 3 that was done, that actually showed that the fetus had a 42 percent increase in their pancreas 4 5 I'm just wondering about the impact of that on glucose tolerance. 6 DR. HARROUK: Hi. My name is Wafa Harrouk. 7 8 I did the pharmacology on resveratrol. So as 9 Dr. Ganley mentioned, because of the receptor 10 similarity to another product, which is DES, obviously the researchers were interested in 11 12 knowing whether it has any reproductive toxicity 13 effects. So there were studies that were conducted 14 15 in vitro, and resveratrol does bind to the estrogen receptor. It's an estrogen receptor agonist. 16 17 There were other studies that were done on estrogen receptor responsive cell lines, and it binded 18 19 there, so, in vitro, there were effects. 20 Now, when they went into the actual embryo 21 fetal studies, they didn't have really much of 22 effect. In terms of -- they did

embryofetal [indiscernible], and it was kind of clean.

So the only thing were the in vitro findings that were positive. However, we don't have a lot of information about the studies. Usually, the studies have a lot more information, and these were reported in a review, so we didn't have access to the individual data points.

So there could be some effects. We just don't have the full picture. But on the surface, in a review article, it says there were no effects.

DR. GULUR: Could you clarify for me this particular study, which I didn't see mentioned much? Basically, it's out of Oregon, and it's a 2014, I believe, article, where it's pregnant non-human primates, Japanese monkeys, basically, that they did this study on. And they found some beneficial effects to the mother, but the fetus had a 42 percent increase in the size of the pancreas, which sounds very concerning to me, especially because the beta-to-alpha cell ratio changed, and there were much fewer alpha cells there. And I'm

1 just thinking of what implication that has as we 2 are considering glucose tolerance here. 3 DR. HARROUK: Right. Again, this could be taken into account. We have to figure out the dose 4 5 that was used also, and response to the effect. I'll have to see the doses the mothers were exposed 6 that induced this effect in their fetuses to really 7 8 make a safety risk assessment kind of call on it. 9 But overall, there weren't a lot of studies 10 that we could find that we can say, okay, the 11 liver, or the pancreas, or whatever is a targeted 12 organ in fetuses. 13 DR. GULUR: The primates were given pretty much constant infusions of resveratrol through 14 their diet, really, added on continuously. 15 16 DR. HARROUK: During the pregnancy. 17 I would appreciate it if you DR. GULUR: could look at it and comment as well if you could. 18 19 DR. HARROUK: Okay. 20 DR. GULUR: Any other questions, clarifying 21 questions? Dr. Patel? 22 DR. PATEL: Yes, I had a question regarding

1 the dose-response relationship and whether there 2 any information about prediction on what dose gives 3 what kind of response, especially when you are talking about a biphasic dose-response 5 relationship. Those are generally in vitro or 6 DR. GANLEY: 7 cell models, so you still are getting up into the 8 micromolar ranges. The difficulty is, when you 9 look at these studies and then you're trying to 10 relate them to humans, it's difficult to relate. 11 In this Calabrese study, it was actually the lower micromolar doses that stimulated tumor cells, 12 13 but once you got it into higher doses, 100 micromolar, 200 micromolar, which is fairly 14 15 high, you saw a decrease in the tumor cell 16 stimulation, or there was no stimulation. It was 17 inhibition. 18 So that's where it's important you have to 19 understand the long term -- what is the 20 concentration of the tissue relative to the blood. 21 And again, they've gone to higher doses here, 22 think largely in part because seeing the micromolar

1	doses that were needed to elicit a biological
2	activity and then seeing decreased absolute
3	bioavailability in humans. That's how you end up
4	with doses, a single dose per day, that's
5	equivalent to drinking 50 bottles of red wine or
6	something in a day.
7	But it's evident even though there's been
8	a lot of research done in the last 30 years,
9	there's an enormous amount that's not known. I
10	think the long-term safety is one of the issues,
11	particularly if you're getting into the treatment
12	of potentially serious diseases or populations that
13	are at risk for serious disease.
14	DR. HARROUK: Dr. Gulur, can I follow up on
15	the conversation?
16	DR. GULUR: Yes, please.
17	DR. HARROUK: So the study that you were
18	discussing, can you point me to where you're
19	getting the data from?
20	DR. GULUR: That's, yes, the FASEB Journal.
21	DR. HARROUK: So you pulled it off the
22	internet?

1	DR. GULUR: Yes. You can search it.
2	DR. HARROUK: Yes. I was just wondering
3	whether it was something that was submitted later
4	on or not.
5	DR. GULUR: No.
6	DR. HARROUK: The studies that we reviewed
7	were on two separate formulations, and that's what
8	I wanted to say when I was standing there.
9	Depending on the formulation, there's been two
10	groups of researchers, some that reported no
11	adverse events whatsoever and another group that
12	reported some fetal events. But those were done in
13	the rat in both cases.
14	The ones that did say there were adverse
15	clinical effects, they didn't say what they were
16	because the data were summarized in a review
17	article by Iled [ph].
18	DR. GANLEY: We can pull the article up.
19	DR. GULUR: I'm happy to share that with
20	you.
21	DR. HARROUK: Yes.
22	DR. GULUR: It's out of Oregon National

1	Primate Research Center, and it's basically been
2	done on Japanese monkeys, as I said. I'll be happy
3	to pass that link around.
4	DR. HARROUK: Yes. I'll be happy to look at
5	it. Thank you.
6	DR. GULUR: Any other questions?
7	(No response.)
8	DR. GULUR: Questions from our members on
9	the phone?
10	(No response.)
11	DR. GULUR: We can have you come back to
12	that during the discussion if that would be okay.
13	Thank you, Dr. Ganley.
14	We have one nominator presentation by
15	Dr. Jeffrey Johnson.
16	Nominator Presentation - Jeffery Johnson
17	COL JOHNSON: Thank you, ma'am.
18	Again, I'm Colonel Air Force Retired Jeffrey
19	A. Johnson. As you can see from there, I am a
20	pharmacist, and I am also a naturopath, so that's
21	kind of an interesting thing to sit here and listen
22	to some of this.

To answer a couple of questions, someone was asking about some of the compounds as far as topicals. There is an interesting website called Into the Gloss.

The person that's writing this, I don't know what their documentation is, but they said there was a study called SkinCeuticals Resveratrol B, and it says, "Improve skin elasticity, firmness, and radiance," but it doesn't go into the evidence of it.

It does give some interesting names of the compound, Vine Vera Resveratrol Pinot Noir;

100 percent Pure Red Wine Resveratrol Scrub Mask
Luminous Primer; CorDel Wine [ph] Expert. I think
it's supposed to be vine expert, but I'm just
trying to sound like I'm French; Firming Serum
Radiance Day Cream; SPF 15 Eye and Lip Serum. And
then I thought this one was interesting, Bite
Beauty High Pigment serum; and then Sunday Riley
Bionic Anti-Aging Cream.

Okay. Enough of that. So I'm up here to talk about resveratrol. I am representing two

groups today. And just to give my disclaimer of what I am, I am a paid consultant by MEDISCA.

I'm also speaking on behalf of the National Community Pharmacists Association. If you don't know about the NCPA, they represent 22,000 independent pharmacies across the country. That's an \$80 billion a year healthcare market, and 88 percent of their pharmacies that they represent do some form of compounding. So that's one reason I think that's important to be aware of.

This nomination came up on September 30, 2014. As we've been talking about, it's resveratrol. Dr. Ganley, thank you very much for your excellent presentation. And I'm not going to read all this to you. You can see the description of the strength, quality, stability, and purity. We saw that as he was discussing that.

The PCCA database has a really good MSDS on it as well. It is very chemically stable. Both air and heat sensitivity was mentioned, that there is some light oxidation that we have to be aware of, and the ingredient format is in powder form.

It is recognized in pharmacopeias. I did find that the USP had proposed a monograph back in 2015, but I can't find if that was ever voted upon to make it an official USP pharmacopeia. And it is sold OTC in the United States.

Here was some of our biographies on safety and efficacy. Again, I won't read through those; you can see those on the screen, and we can come back to that.

The anti-oxidant is what we are looking for, also anti-inflammatory. It is a natural compound found in more than 70 plant species, including nuts, grapes, and pine trees. And as Dr. Ganley mentioned, you would have to drink a lot of wine, so I am going to have a glass of merlot tonight when I get home, but just one.

It is thought to play a role in preventing heart disease as a plant source, and it is a natural polyphenol derived from the root of the Japanese knotweed. It was actually discovered in 1940 and has been used in traditional Chinese medicine and oriental medicine since that time.

1 And again, looks like that combination formula 2 designed to help maintain protection against free 3 radical oxidated damage to tissues, and again, anti-inflammatory. 4 5 Here were just some clarifications that the FDA had asked for us, again, going through that. 6 One of the things I want to point out -- and 7 8 Dr. Ganley mentioned the uses that we had submitted 9 back in 2014 -- I just kind of want to go over a 10 little bit more, though, what some of those other additional uses are. 11 12 It does have anti-inflammatory properties. 13 It's antioxidant. You've already heard about the 14 anti-aging thoughts from the dermatology part. does seem to indicate that there are some studies 15 that show lowering the LDL, cardiovascular 16 17 protection. It has been used in some studies with 18 cancer, in Alzheimer's disease, diabetes, 19 weight management. 20 So I apologize for the small print. 21 going to try and give you the summation of what 22 these studies said. The one we've got up there

right now is from Neurology 2015.

I'm sorry. As you can tell, I'm over 60 now and I probably need to be using more resveratrol so my eyes get better.

So this one is the randomized double-blind placebo for resveratrol in Alzheimer's. It was by Turner and Company. It had an N of 119. The most common side effect they had at this point was nausea, diarrhea, and weight loss. Overall, the study shows that it was fairly safe and well tolerated. It did cross the blood-brain barrier very, very well, and it did seem to have some positive effects on altering an Alzheimer disease biomarker trajectories.

So bottom line up front, we felt like
that -- or at least the studies showed that there
was some promise in the Alzheimer's therapy.

Again, as you find with dietary supplements and with nutraceuticals, a lot of the problem we have is there really hasn't been enough good, solid clinical studies done, and that's one of the things that you see, that we do need to encourage that

research.

The next one is from the British Journal of Nutrition back in September of '14, and this one was the effect of resveratrol on cardiovascular risk in non-alcoholic fatty liver disease. This was by the folks you can see there at the top of the screen.

It had an N of 50, and the bottom line up here was there didn't seem to be any significant changes in the blood pressure, nor in the insulin resistance, or tag. It did reduce the level of ALT, and also there was a reduction in hepatic steatosis.

Our next one was from the Archive of

Medicine Research, and this one was back in May of

'15, the anti-inflammatory effects of resveratrol

on ulcerative colitis. The review here was the use

of resveratrol as an anti-inflammatory and

antioxidant. The N was 50, and there was

significant positive reduction in the plasma levels

of T and F and of hsCRP.Also, it seemed to have a

positive effect on the activity of the NFKB.

The bottom line up front for this one was that resveratrol seemed to improve the quality of life and the diagnosis of the ulcerative colitis activity through the reduction of the inflammation.

The next one, this is the Experimental

Gerontol [ph] from 2014, and this was the safety
and metabolic outcomes of resveratrol

supplementation in older adults. Anton was the
primary researcher on this. The N on this one was
only 32, but it was a triple-blind study, and they
broke it into three arms. There was the placebo
arm. There was one at 300 milligrams per day and
1,000 milligrams per day.

One of the things that Dr. Ganley pointed out that I think is very pertinent is the fact that the dosing range on these ranged anywhere from 10 milligrams a day up to 5 grams a day. And some of the places they saw some of the toxicities really start to happen was when they got over the level of 2500 to 5,000 milligrams a day. That's where the toxicity seemed to really hit. If they stayed below that 1500 level per day, it seemed to

not have as much impact along those lines.

On this one, we were seeing that the blood glucose was significantly lower in the resveratrol group and that it was well tolerated. Again, there was improved cardiometabolic health overall in both the arms that were taking resveratrol, and there was positive support and use. And again, the writers encouraged there be larger studies.

Our next one was from Cardiovascular Drug
Therapy in 2013, and this was where they really
took a hard look at the great resveratrol and how
it increased the serum adiponectin, decreasing
regulatory inflammatory genes. This was Tome and
his crew.

The N on this group was 75. This was, again, a triple-blind study, which I found very interesting to read. The results was there were changes in the circulating inflammatory and fibrinolytic genes were analyzed. And it really just showed that the transcription profiling and inflammation genes were decreased and actually good.

The bluff or the bottom line up front is that it did increase the anti-inflammatory effect.

It did decrease the thrombogenic plasma and activity, and the daily use seemed to show positive cardiovascular protection.

Our last study that we're going to look at is from Current Medical Chemicals of 2013, and this was the anti-inflammatory antioxidant effects of resveratrol in healthy smokers. The N on this one was 50, and they blocked it into 25 and 25. There were 25 using resveratrol and 25 not.

The bottom line up front for this research was that the resveratrol seemed to significantly reduce the CRP. It also significantly reduced the triglyceride concentration, and it increased the total antioxidant status of the patient. And the conclusion was that it seemed to reflect positive anti-inflammatory and antioxidant effects within the smoker, helping the smoker overall.

We wanted to also share Dr. Luis Martinez-Rivera, who is a regenerative medicine, cell, and gene therapy physician. He was going to be with us. Unfortunately, he couldn't clear his patient schedule to join us today, but he sent us some quotes that I think are very, very important. He has been using resveratrol in his practice, so I'll read these off for you.

"For resveratrol, I've been using it for over seven years. Although starting doses are usually in my experience 100 to 200 milligrams, I have found that 500 to 1,000 milligrams are usually needed to achieve measurable results.

"I have used resveratrol mostly to aid in reducing inflammation, for example as related to arthritis and to help with cardiometabolic disturbances. As an example of the higher doses, I could observe reductions in CRP, improvements in glycemia, and also in blood pressure.

"Patients on resveratrol usually can cope better with exercise regimes and they feel more energized. My dosing approach to resveratrol is that of a sliding scale, where I would titrate up to 1,000 milligrams daily to achieve results.

"My experience is that resveratrol was

usually well tolerated. The most common side effects I've observed has been headaches and diarrhea, particularly with the higher doses.

"I consider that resveratrol has sufficient placebo-controlled studies for the FDA to consider allowing it to remain as an ingredient for compounders."

One of the things I wanted to kind of hammer home -- and we talked about this throughout the day -- is looking at the dietary supplements that are on the shelf, that we can just go in and buy at GNC or wherever versus the compounded pharmacy versions of these products, and basically, there are four things I think we need to keep in mind.

First off is the purity. As we've talked about, with a certificate of analysis that we get as a compounding pharmacist from our suppliers, whoever it may be, we're guaranteed -- and I know we've heard of one instance today that that didn't happen, but that will occasionally, but it's very, very much not the norm. The norm is when we get the certificate of analysis, we can depend on it,

so the purity is there.

We also know that we are the ones compounding it. I'm not worried about whoever it is that's making it down the street. I know what I'm doing.

We've also heard that there are standard operating procedures, that we make sure that we take a batch every so often to send it out for analysis to ensure that it is exactly what we said. That goes along with a strength assurance because we're the ones doing the compounding. So we know what we put into the product, which gives us superior quality versus what we know we have on some of the shelves where we may not have that quality in some of the products we're buying.

Then there's professional support by the compounding pharmacists and their staff as well, which we provide both to provider and patient.

We've been talking about the triad, or the triad, or the triangle, or the stool of the provider, the pharmacist, and the patient. And I think that's just critical for us to remember, that we're doing

that.

I gave you some additional studies. Again,
I'm not going to read through these. It's just
that I wanted you to see that there were some other
ones. And the key one I wanted to point out is the
one at the very, very bottom, which is the
therapeutic potential of resveratrol, the in vivo
evidence by Baur and Sinclair.

What was interesting with them was, at the end of their study, they also referred to an additional 248 published studies in support of resveratrol in a variety of different ways. So I just think those are interesting to look at.

A couple of other last comments I just wanted to make, I did make about the USP. I mentioned the toxic doses, that when you get to those higher levels, that's part of the problem.

The other thing with a compounding pharmacy we need to keep in mind is that this is the individualized, personalized therapy that we can provide our patients; that when the doc calls us, the provider calls us, we're able to both counsel with the

provider and find out exactly where he or she is wanting to go in treating that patient.

It's not that we're going to market that necessarily to the provider, but if he or she calls and asks me, "Jeff, what can I do? Where can we go with this? This is my patient. What do you think," we can work together to try to achieve that. And I think that's one thing that you don't get when you say to the patient, "Just go buy it off the shelf and see what happens."

With that, I will open that up for discussion.

Clarifying Questions from the Committee

DR. GULUR: Thank you very much. We will
take clarifying questions at this time from the
committee. Dr. Ganley?

DR. GANLEY: Yes. I just wanted to point one thing out, and it had to do with, I think, slide 6 of the presentation. That was the randomized, double-blind, placebo-controlled trial in Alzheimer's disease. It gets to the point that I've raised with regard to long-term concern about

1 safety. 2 In this study, patients with Alzheimer's 3 disease were titrated from 500 milligrams up 4 2 grams a day. 5 COL JOHNSON: Right. DR. GANLEY: It was a 52-week study. 6 In the 7 results section, one of the things they were 8 measuring as an outcome was brain volume. 9 Alzheimer's disease, you have brain volume loss. 10 In their results section, the last --11 COL JOHNSON: Got it. Yes. 12 DR. GANLEY: -- sentence it says, "Brain 13 volume loss was increased by resveratrol treatment 14 compared to placebo." I'm not sure how that's a 15 good signal. I think if it had gone in reverse, 16 where they said that resveratrol delayed it, they 17 would be making a claim that there's some benefit 18 there. 19 So that's the point I'm trying to make in 20 the long-term safety of making an assumption 21 because you have this in your food that dose 22 doesn't matter. Dose does matter.

1 COL JOHNSON: Yes, sir. And I completely 2 agree with you. Dose does matter, and I think 3 that's another reason to go to a compound pharmacist and have the provider very, very much 4 5 involved with that versus telling our patients just to go buy it off the shelf and go from there. 6 I guess my point is, I don't 7 DR. GANLEY: 8 want a clinician necessarily prescribing 2 grams a 9 day to someone with Alzheimer's because they think 10 there's some benefit here --11 DR. BRAVE: Sure, understood. 12 DR. GANLEY: -- when in reality it may 13 hasten their demise. That's my point. 14 DR. BRAVE: Got it, sir. 15 DR. GULUR: Dr. Desai? 16 I actually did have a comment DR. DESAI: 17 that was unrelated to what Dr. Ganley just said, 18 but I do want to comment on what he just said. 19 agree with you that the results say that of that 20 study, but we don't necessarily know if that means 21 that their disease worsened per se because 22 apparently what they've studied here are

1 biomarkers. 2 DR. GANLEY: I don't disagree, but that is a 3 hallmark of patients --4 DR. DESAI: Correct. 5 DR. GANLEY: -- with Alzheimer's, and there's a progression to death, of declining brain. 6 7 So this is a question --8 DR. DESAI: Yes. I think it's not clear. 9 DR. GANLEY: -- that has to be answered. 10 DR. DESAI: Right. 11 DR. GANLEY: And that's my point, not only 12 with Alzheimer's disease, but with all these other 13 diseases. You're giving very high doses of 14 something that was found, a chemical in food, and 15 you think it's safe. But when you get into a 16 bimodal dose-response effect in some of these 17 situations, it could be deleterious over the long 18 And that's my point I wanted to make. 19 DR. DESAI: Yes. I think we're on the same 20 And that leads me to my question, which is, 21 if we were to look at the average amount of 22 systemic dosing on all the studies you presented,

can you say that there would be one safe dose that you've seen used or that you think would be optimal across all indications of what we're looking at?

COL JOHNSON: Sir, I think I would defer this one to Dr. Martinez Rivera, and I would say that what I've seen is along the line of what he's saying, starting out with that sliding scale of 100 to 200 milligrams, and like is said on slide 13, up to 1,000 milligrams daily.

From what I've seen in the studies, that seems to be. Although going back to what Dr. Ganley is saying as well, part of the problem with this is with the bioavailability and getting across into the bloodstream.

So I think the 1,000 milligrams would be where I would hang my hat, but I still think, starting out, the sliding scale. Because as he very well pointed out, the fact is that, at too low of a dose, it may actually be more detrimental than helpful, whereas at too high of a dose, it may, again, start becoming detrimental. So finding that sweet spot, so to say, is what becomes the

1	challenge.
2	For him, as you can see in his practice,
3	that's where he is found, that up to that
4	1,000 milligrams a day seems to be that sweet spot
5	for his patients, and I would kind of hang my hat
6	on that.
7	DR. GULUR: I would like to clarify on that.
8	That's anecdotal. How many patients is that? How
9	long was the safety data
LO	COL JOHNSON: You're absolutely right,
L1	ma'am.
L2	DR. GULUR: followed on those patients?
L3	COL JOHNSON: Right. I could not answer
L4	that, ma'am. That's why we really had hoped he
L5	would be able to be here, but he wasn't able to,
L6	because he would be able to answer that question.
L7	I cannot.
L8	DR. GULUR: I do have another question. As
L9	you've reviewed all of this, have you found any
20	negative studies, where resveratrol has not shown
21	the benefits that are being touted?
22	COL JOHNSON: Actually, ma'am, I kind of ran

1 across the same studies that Dr. Ganley did. 2 would say that, as he was reviewing them, I had 3 reviewed those same studies as well; and so, yes. DR. GULUR: Could you comment on the Semba 5 study that was in JAMA, which showed no benefit? COL JOHNSON: That one I have not seen. 6 I'm 7 I have to apologize. We were looking at a 8 lot of different studies, so that one unfortunately 9 slipped past me. 10 DR. GULUR: I think the studies that I saw, 11 Dr. Ganley, you had commented on were more related 12 to impaired glucose tolerance and pain. This is 13 more cardiovascular benefits and mortality. 14 Did you have a chance to review that? 15 DR. GANLEY: If I had spent time reviewing 16 all the possible studies -- when we did the literature search, if you just go into PubMed and 17 put in resveratrol, you get over 10,000 reports. 18 19 If you do resveratrol in humans, it's over 5,000. 20 If you do resveratrol in clinical trials, it's about 150. 21 22 So you could pick your diseases however you

1 want, but there are certain limits as to our 2 capability to review all of them. 3 DR. GULUR: No, I totally understand, which is why your indications have been very specific, 4 5 the impaired glucose tolerance and pain that you had reviewed. But since cardiovascular was brought 6 up in the nominator presentation, I just wanted to 7 8 bring up that particular article, which is in a 9 well-published journal and received quite a lot of 10 publicity, actually, since it contradicted information that was pretty much taken for granted 11 12 for benefits of red wine, per se. 13 COL JOHNSON: Yes, ma'am. 14 DR. GULUR: I just wanted to bring that up. 15 Thank you very much. Any other comments 16 from members on the phone? Dr. Bogner? 17 So the solubility of DR. BOGNER: resveratrol in water is .003 percent, at least 18 19 PubChem. So it's not surprising to me, actually, 20 that you'd get some high dose, some low dose. It's 21 not very clear what the dose is. 22 How does one control the bioavailability?

Why would one give a gram of resveratrol when the solubility is so low, you wouldn't expect to get half of that in?

COL JOHNSON: I think Dr. Ganley was kind of pointing to that as well, that part of the problem with bioavailability -- and that really is the challenge of trying to get it into the bloodstream and get it going because, as you're pointing out, either, A, it's going to get stuck in the tissue, and therefore not going to get in, or it's going to get in and get out real quick through the first pass.

DR. BOGNER: Actually, I'm saying it's not even going to get past the GI tract, and I'm wondering if we know anything about the formulations in the supplements because that could change actually the dose that the patient gets from exactly the same milligram strength.

COL JOHNSON: I think from the supplement point of view, ma'am, your point is exactly right because we don't know. I can't tell you because most of those are proprietary. I couldn't tell you

1 what they put in them to begin with. I know, when 2 we compound them, I can tell you exactly what I put 3 And I know the powder that is used is usually 99 percent pure. But it's an excellent point. 5 It's a very excellent point. DR. GULUR: Dr. Desai? 6 I just want to make one comment 7 DR. DESAI: 8 before we forget since it's brought up several 9 times throughout the discussions, in Dr. Ganley's 10 and the nominator's presentation. Resveratrol is available in cosmeceutical 11 12 products topically, and it's not obviously been 13 studied in the same way that it would as a drug, 14 but some of the products mentioned, we actually 15 sometimes use as adjuvant treatment in topical aesthetic dermatology, specifically the one he 16 17 mentioned by SkinCeuticals. So it is available in multiple ingredient-18 based cosmeceuticals, specifically the ones 19 20 oftentimes are combined with tretinoin and retinol-21 based products in an OTC cosmeceutical formulation. 22 So I just did want to mention that, that it has

1	been used and it has been studied in small cohorts,
2	usually by the companies that are making them.
3	DR. GULUR: Any other questions?
4	(No response.)
5	DR. GULUR: Thank you very much for your
6	presentation.
7	COL JOHNSON: Yes, ma'am.
8	Committee Discussion and Vote
9	DR. GULUR: We do not have any open public
10	hearing speakers. The open public hearing portion
11	of this meeting has now concluded, and we will no
12	longer take comments from the audience. We will
13	now begin the panel discussion.
14	Any comments from the committee members?
15	Dr. Burman?
16	DR. BURMAN: Just a quick comment that I
17	think everybody realizes is that the studies that
18	were just gone through by the nominator, we didn't
19	have time to look at, to analyze critically, or to
20	really see whether there were control groups,
21	et cetera.
22	DR. GULUR: Dr. Bogner?

1 DR. BOGNER: I have a question to the FDA 2 folks, and this may not be fair. If you had to 3 rank your safety concerns regarding the compounds, I guess the potentially listed materials we've 5 talked about today, where would this rank? DR. GANLEY: I'm not sure how to really 6 7 That's not how I think about respond to that. things. I think in this situation, I think there's 9 an assumption in the public, because it's in food 10 or it's in red wine, that it's okay. But it really does get down to clinical pharmacokinetics 11 12 pharmacodynamics and what is an effective dose and 13 what is a detrimental dose. 14 I think there's clearly literature in the in vitro and ex vivo literature that suggests 15 16 there can be a bimodal effect. So it behooves us 17 to make sure that we actually know what the dose 18 is. 19 When you're getting up to grams per 20 day -- and I think a lot of these diseases that have been mentioned are serious diseases. 21 22 Inflammatory bowel disease is a very serious

disease. I'd love to have a drug that worked great on it, but I think we ought to know what the dose is and what the long-term consequences of use are.

I think it's very concerning when you see a study like this in Alzheimer's, and there's a signal which they would have reported differently had it gone in the other direction, that it's just sort of dismissed as not being relevant as a concern. I think there's this lore out there that these things can be used and prevent cancer or whatever, and I think you have to be cautious about that.

To me, it's not a word to the compounder.

It's a word to the clinicians who are prescribing this. Compounders are just making what they're told to make. I'm more concerned about clinicians who are going to write prescriptions for this stuff, who absolutely had no understanding that there's drug interactions with this when you get to a certain dose.

Doses that were studied in this clinical study was a gram per day for 28 days, where they

used probed drugs to help determine whether there 1 2 were drug interactions, and they did establish that. We don't know if half that dose would have the same effect. Obviously, more would have an 5 effect and maybe even a greater effect. So when you're starting to get up to 6 7 hundreds of milligrams per day to grams a day, you have to think about what benefit are you providing 9 to a patient. These drugs can be prescribed for 10 long periods of time, and you ought to know 11 something about the safety of it. 12 Again, that's not directed at the 13 compounders; it's directed at clinicians. 14 DR. GULUR: Dr. Carome? 15 DR. CAROME: Just to follow up on the point 16 Dr. Burman made, I agree we didn't have time to 17 look at the studies in detail, but from what I 18 could tell, from reading the abstracts that were 19 posted up, most of the endpoints that they were measuring were not clinically meaningful outcomes 20 21 as far as I can tell. 22 DR. GULUR: Dr. Desai?

1	DR. DESAI: Just to clarify, the nomination
2	is for impaired glucose tolerance, so what we will
3	be voting on is specifically for impaired glucose
4	tolerance. And if I remember from Dr. Ganley's
5	presentation, you've mentioned some good data, or
6	some studies I don't think we went into specific
7	references that it did help increase insulin
8	secretion, decrease or improve
9	DR. GANLEY: Those were in animals.
10	DR. DESAI: So that was my question. Are
11	there any that we found in humans related to
12	insulin levels or glucose secretion?
13	DR. GANLEY: There are studies in diabetics
14	where they look at these various markers. I don't
15	know if the endocrine folks here are here to
16	answer.
17	DR. DESAI: Dr. Burman may know this.
18	DR. GANLEY: He can try.
19	DR. DESAI: I was just curious because since
20	we're voting on that and the one mention that we
21	had in your presentation did show
22	DR. GULUR: Dr. Desai, I just wanted to

1 clarify that we will not be voting on the one 2 indication, because once this drug is on the list, 3 it can be used for any indication. DR. DESAI: Correct, correct. I just wanted 5 to make sure we had clear data on the human aspect of that because that's what the nominators had 6 7 initially presented. DR. GULUR: Dr. Burman?` 9 DR. BURMAN: Just a quick comment on 10 impaired glucose tolerance tests, it is not necessarily these days the standard test to 11 12 determine whether someone is going to get 13 complications from diabetes or whether they will progress to diabetes. It's a 70-gram glucose 14 ingestion followed with blood sugars two hours 15 16 later that, in this case, would be 140 to 199 or 17 have a fasting glucose between 100 to 125. 18 The test is not reliably reproducible. 19 amount of glucose is not what we normally eat 20 during regular meals, and we would use, these days, insulin and glucose levels with hemoglobin Alc, 21 22 maybe even a 24-hour glucose monitor to better

assess glucose homeostasis.

DR. CHONG: Hi. William Chong from the Division of Metabolism and Endocrinology Products. To address your question about human studies, there have been some reported studies in patients with diabetes. I believe Dr. Ganley also referenced a small study in patients with impaired glucose tolerance.

We've not viewed the studies in the patients with diabetes to show clear results. There have been some mixed reporting of some effect or no effect. In the study that Dr. Ganley presented, that was a small study. There was a small effect on the glucose level following the standardized meal that they used. But as he also mentioned, it's not really clear what that means in terms of clinically meaningful benefit.

DR. GULUR: So I would just like to put one point out here. So far, we've had two indications, a narrow therapeutic narrow index that appears for the dose, given the know bioavailability of this substance and also the fact that we are

1 recommending doses or we are seeing dose ranges 2 that may or may not, A, have efficacy and can 3 potentially at higher doses have significant side effects. 4 5 Two other concerning markers that I've seen is the Alzheimer's study, where this medication 6 given for whatever duration caused a decrease in 7 8 brain volume size, which is pretty significant, 9 yet not commented on. 10 The other study that I was referencing was 11 actually referred to a primate study in which they 12 gave the female primates medication, and this, 13 resveratrol, as part of their diet at just a higher dose than you would normally consume. And the 14 15 fetus had a 42 percent increase in the pancreas 16 size, even though there were benefits seen in the 17 female primates themselves. 18 So given the questionable safety signals 19 this, without any real supportive literature to 20 contradict it otherwise, it appears concerning. 21 Dr. Mixon, you had a question? 22 MR. MIXON: I just have a comment. We're

1 spending a lot of time debating the clinical 2 efficacy of this drug. The drug is going to be 3 prescribed by or recommended by practitioners, whether we can compound with it or not. 4 5 it's over the counter, it's a supplement. So just keep that in mind. I mean, you're 6 7 not going to change the minds of people that are 8 recommending this substance by placing it or not 9 placing it on the list of bulk drug substances. 10 DR. GULUR: Is it available as a dietary supplement at 1,000 milligrams per day? 11 12 DR. GANLEY: You can buy 500-milligram 13 And I'll just take exceptions capsules or tablets. to your comments, because there is a big difference 14 in whether it's a dietary supplement and standards 15 16 with regard to safety, because the agency does not 17 review the safety unless -- and, again, the hurdles 18 to get over, for us to declare something as are very high for dietary supplements. 19 20 On the drug side, we look at things differently. We look to see whether long-term use 21 22 and short-term use causes potential harm simply

because we don't have a lot of studies. In fact, we do have 1 study in Alzheimer's disease that suggests there's going to be harm.

My point of view is that's problematic to characterize as this should be something on a drug list that a healthcare provider can prescribe. I don't think most healthcare providers would have even 1 percent of the knowledge that was presented to you today with regard to the potential drug interactions with cytochrome P450 enzymes.

I think we don't know the situation of the 15-year-old child who was taking risperidone and also resveratrol 4 times a day. It just seems odd that someone would take that as a dietary supplement 4 times a day and that there's a potential drug interaction there. They shouldn't be prescribing it.

MR. MIXON: And it's even less likely that the prescriber is going to know it when they're just going to the drug store, or to Walmart, or whatever, or Amazon.

DR. GANLEY: I know. But the way our laws

are set up in this country, dietary supplements are
as they are. People can make a conscious decision
of whether they want to take it. It's very
different to throw that into the drug realm,
though.

DR. GULUR: Dr. Jungman?

MS. JUNGMAN: I was just going to comment on that. I think that's right. I get frustrated -- and I said this on our earlier vote, with regard to these votes -- for products that are also available as dietary supplements. But I really don't think we can just throw up our hands when we're considering something that's available in dietary supplement form and just assume that the vote means nothing.

It does seem significant to me. We've been given 4 factors to consider. And if this committee recommends, and FDA ultimately concludes, that the balance of those factors is in favor of the substance, that strikes me as different. We're saying that the characterization, and the balance of safety and effectiveness, and the historical use

of the product support its use as a drug. 1 2 There's already a big business in 3 resveratrol, and I think you can see that if we put this on the list, we will see. The nominator 5 presented a whole host of indications that were therapeutic claims that you could make for a 6 compounded version of resveratrol that you would 7 not be able to make, at least as I understand it, 9 for the dietary supplement. 10 So I would just say that I think we've got four factors that we've been given to consider, 11 12 it's not insignificant if we conclude -- our 13 conclusion either way on this, even if it's available as a dietary supplement. 14 DR. GULUR: If there is no further 15 16 discussion -- yes, we do. Dr. Braunstein? 17 DR. BRAUNSTEIN: Hi. I would just like to 18 maybe turn the question on its head. After this 19 committee perhaps has voted down whether certain 20 APIs that are available as over-the-counter 21 nutraceuticals should be on the list, maybe the 22 could compile that list. And, in fact, you could

go challenge Congress to take a look at why substances that a panel of scientists don't feel should be made available by prescription are available over the counter for sale in an unregulated way to people who are not well informed about the risk-benefit of the products that they're purchasing.

DR. GULUR: Thank you all very much for this discussion. We will move on now to the vote. We end our discussions and start the vote.

The question before us, FDA is proposing that resveratrol not be included on the 503A bulks list. Should resveratrol be placed on the list? If you vote no, you are recommending FDA not place the bulk drug substance on the 503A bulks list. If the substance is not on the list when the final rule is promulgated, compounders may not use the drug for compounding under Section 503A unless it becomes the subject of an applicable USP or NF monograph or component of an FDA-approved drug.

If there is no further discussion, we will now begin the voting process. Please press the

1 button firmly on your microphone that corresponds 2 to your vote. You will have approximately 15 seconds to vote. After you have made your 4 selection, the light will continue to flash. 5 you are unsure of your vote, please press the 6 corresponding button again. 7 (Voting.) 8 DR. CHEE: For resveratrol, we have zero 9 yeses, 12 nos, and zero abstain. 10 DR. GULUR: Dr. Burman before Dr. Carome 11 corrects me again, would you mind? 12 DR. BURMAN: Yes. 13 DR. GULUR: Thank you. 14 DR. BURMAN: Thank you very much. First of 15 all, thank you to the nominator and the FDA for a 16 great discussion. This is Ken Burman, and I voted 17 no basically because of the possible adverse 18 effects, GI and renal, because the studies are 19 relatively short term; lack of history regarding 20 compounding; insufficient clinical studies regarding pain and diabetes; and issues regarding 21 22 the bioavailability.

1 As I already mentioned, the diabetes study 2 or the impaired glucose tolerance study was related 3 to oral glucose tolerance tests, which isn't necessarily reproducible or a measure of long-term 4 5 effect. Thank you. DR. GULUR: Thank you. Dr. Vaida? 6 DR. VAIDA: Allen Vaida. 7 I voted no, and I 8 agree with my colleague here. But also, I think it 9 really came to light with the indications again 10 with the nominator. After looking at pain and glucose intolerance and their bringing up studies 11 with Alzheimer's, ulcerative colitis, and heart 12 13 disease, just really shows that you have limited 14 control over how these drugs could be used. 15 DR. GULUR: Dr. Venitz on the phone? 16 (No response.) 17 DR. GULUR: My apologies. Dr. Venitz did not join us for this discussion. 18 19 Padma Gulur. For reasons stated both in 20 this discussion and before, long-term safety and 21 the therapeutic index of this drug influenced my 22 vote.

1 MS. DAVIDSON: Gigi Davidson. I voted no 2 for many of the reasons stated. I'm particularly 3 concerned about the number of potential drug interactions. Here, there are more than 40 drugs 5 alone that are substrates of CYP that are very significant drugs therapeutically. 6 I've been counseled often and counseled off 7 8 and on to avoid drinking grapefruit juice when 9 certain medications are given, but I've never been 10 counseled, nor counseled, to avoid resveratrol. I think that more discussion in the lay public and 11 12 the prescribing public is warranted. 13 DR. GULUR: Dr. Humphrey? MR. HUMPHREY: 14 William Humphrey. I voted no 15 as well. I have concerns about the safety concerns, the unclear dosage recommendations, 16 17 the lack of clinical efficacy. 18 DR. GULUR: Dr. Desai? Seemal Desai. I also voted no. 19 DR. DESAI: 20 I want to thank the nominator for an interesting 21 presentation. I think botanical ingredients like 22 this certainly are interesting and certainly offer

some interesting therapeutic insights potentially for unmet needs in our patients, but the drug interactions in particular were one of the things that worried me the most with this, as well as the renal toxicity.

DR. GULUR: Dr. Wall on the phone?

DR. WALL: I voted no. I think this drug has a lot of hope and there's a lot of people who want it to work, but there's too many unanswered questions to say that we can safely just put it out there for anybody for anything.

I would have liked to have seen the physician who they had read his comments to, really -- if he's working on it that much, to have compounded it as a study, present the data.

It's really easy to prescribe something and just sort of put it on your checklist, but it would be so helpful for all of us, for people to really document, and know, and to put it together, put NCPA and other people where they can put their data together and really have good data so that we can have even better discussions than we had today.

1	Thank you.
2	DR. GULUR: Thank you, Dr. Wall.
3	Dr. Patel?
4	DR. PATEL: Kuldip Patel. I support all the
5	comments made earlier and would just add to those
6	comments that I continue to have concerns about the
7	dose-effect relationship, which I had questioned
8	earlier. Toxicity, especially if it's approved
9	post-marketing, would be difficult to manage; lack
10	of efficacy data, particularly in clinically
11	meaningful outcomes.
12	Lastly, translating the data that was
13	presented, that was in favor of the product and the
14	animal dosing studies, would be difficult to
15	translate into human use.
16	DR. GULUR: Dr. Bogner?
17	DR. BOGNER: Robin Bogner. I voted no
18	because the data were so contradictory here and
19	there, and I'm concerned about the unknown
20	unknowns.
21	DR. GULUR: Dr. Jungman?
22	MS. JUNGMAN: Elizabeth Jungman. I also

1	voted no. I just didn't think that the balance of
2	safety and effectiveness worked in favor of the
3	substance here.
4	DR. GULUR: Dr. Hoag?
5	DR. HOAG: I voted no for all the reasons
6	listed.
7	DR. GULUR: Dr. Carome?
8	DR. CAROME: Mike Carome. I voted no for
9	many of the reasons stated, and I was particularly
10	concerned about the potential for adverse drug-drug
11	interactions.
12	DR. GULUR: Thank you all very much. Would
13	the FDA officials have any closing remarks?
14	MS. BORMEL: I'd just like to thank
15	everybody for their participation today and for
16	staying longer, and we'll look forward to
17	tomorrow's meeting.
18	Adjournment
19	DR. GULUR: Thank you. This will end the
20	session for today. We will resume in the morning.
21	(Whereupon, at 5:11 p.m., the afternoon
22	session was adjourned.)