



NeuWave Medical Inc. Mohamed Shariff Associate Director, Regulatory Affairs 3529 Anderson Street Madison, Wisconsin 53704

Re: K220472

Trade/Device Name: NEUWAVE Microwave Ablation System and Accessories

Regulation Number: 21 CFR 878.4400

Regulation Name: Electrosurgical Cutting And Coagulation Device And Accessories

Regulatory Class: Class II

Product Code: NEY Dated: July 6, 2022 Received: July 7, 2022

#### Dear Mohamed Shariff:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part

801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems">https://www.fda.gov/medical-device-problems</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance">https://www.fda.gov/training-and-continuing-education/cdrh-learn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</a>) for more information or contact DICE by email (<a href="DICE@fda.hhs.gov">DICE@fda.hhs.gov</a>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Colin Kejing Chen, Ph.D.
Acting Assistant Director
DHT4A: Division of General Surgery Devices
OHT4: Office of Surgical
and Infection Control Devices
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

## Indications for Use

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

10(k) Number (if known)		
7.220472		
Device Name IEUWAVE™ Microwave Ablation System and Accessories		
ndications for Use (Describe) The NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories are indicated for the ablation (coagulation) of soft tissue in percutaneous, open surgical and in conjunction with laparoscopic surgical settings, including the partial or complete blation of non-resectable liver tumors.		
The NEUWAVE <sup>TM</sup> Microwave Ablation System is not indicated for use in cardiac procedures		
·		
Type of Use (Select one or both, as applicable)		
Prescription Use (Part 21 CFR 801 Subpart D) Over-The-Counter Use (21 CFR 801 Subpart C)		
CONTINUE ON A SEPARATE PAGE IF NEEDED.		

This section applies only to requirements of the Paperwork Reduction Act of 1995.

## \*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\*

The burden time for this collection of information is estimated to average 79 hours per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services Food and Drug Administration Office of Chief Information Officer Paperwork Reduction Act (PRA) Staff PRAStaff@fda.hhs.gov

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."



## 510(k) Summary

This summary of 510(k) safety and effectiveness information is being submitted in accordance with the requirements of SMDA 1990 and 21 CFR 807.92.

Date: August 8, 2022

Submitter Name/Address: NeuWave Medical, Inc.

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FDA Establishment Registration # 3008769756

**Device Identification** 

Trade/Proprietary Name: NEUWAVE TM Microwave Ablation

System

Classification Name: Electrosurgical Cutting and

Coagulation Device and Accessories

Product Code: NEY

CFR Section: 21 CFR 878.4400

Device Class II Class II

Classification Panel: General and Plastic Surgery Devices

## **Predicate Device**

The NEUWAVE TM Microwave Ablation System and Accessories is substantially equivalent to the following currently marketed device:

NEUWAVE TM Microwave Ablation System and Accessories cleared under K200081 on November 13, 2020.

## **Indications for Use**

The NEUWAVE TM Microwave Ablation System and Accessories are indicated for the ablation (coagulation) of soft tissue in percutaneous, open surgical and in conjunction with laparoscopic surgical settings, including the partial or complete ablation of non-resectable liver tumors.

The NEUWAVE TM Microwave Ablation System is not indicated for use in cardiac procedures.

### **Device Description**

The NEUWAVE<sup>TM</sup> Microwave Ablation System is a self-contained stand-alone system of hardware and software designed for the ablation of soft tissue which applies microwave energy to produce tissue heating effects generating tissue necrosis.

The system consists of three major components (1) a cart which contains the components necessary to deliver microwave power to the microwave ablation probes, monitor and control system functions, a graphical user interface for the user to interact with the system and a CO<sub>2</sub> based cooling system, (2) a Power Distribution Module (PDM), and (3) a range of microwave ablation probe accessories for energy delivery.

The system has a single 2.45 GHz signal microwave source with three 140W microwave power amplifiers, a touch-screen graphical user interface, and a CO<sub>2</sub> based cooling system for limiting the temperature of the ablation probe, handle, and cable.

The  $CO_2$  cooling system enables the Tissu-Loc function, which involves formation of an ice ball to adhere the probe in place prior to starting ablation therapy. This helps eliminate probe migration during imaging (CT scans, ultrasounds, etc.) and additional probe placement. The cooling system is responsible for controlling the pressure of the incoming  $CO_2$  gas from two E-sized  $CO_2$  cylinders located on the back of the system.

The graphical user interface allows the user to set, adjust and activate the power setting per probe, time setting for each probe, ability to synchronize ablation initiation on probes, ablation activation, cauterization activation, audible volume, probe temperature, and Tissu-Loc function.

Up to three (3) NEUWAVE Ablation Probes can connect to the PDM at once and the PDM allows power to be transferred from the system generator to the ablation probes.

The microwave ablation probes are accessories which transfer microwave energy from the system cart to the target tissue to create regions of thermal necrosis. Each probe contains thermocouples that monitor the temperature of the probe. The probes can be used for surgical mode or ablation mode with various limits of maximum selectable power and time based on the probe type.

NEUWAVE<sup>TM</sup> LK, and NEUWAVE<sup>TM</sup> PR ablation probes are available in either 17- gauge or 15- gauge cannulas and are available in 15 cm and 20 cm lengths. These probes have a cable length of 1.4m.

NEUWAVE<sup>TM</sup> LN ablation probes are available only in 17-gauge cannulas and are available in 15 cm and 20 cm lengths. These probes have a cable length of 1.4m.

The model NEUWAVE<sup>TM</sup> SR ablation probes have a 13-gauge cannula and are available in a 25 cm length only. NEUWAVE<sup>TM</sup> SR probes have a cable length of 1.4m.

NEUWAVE<sup>TM</sup> Surgical PR Ablation Probes have a 15-gauge cannula and a 15 cm length. These probes have a 2.9m cable length and the probe tip has a non-stick coating that facilitates repeat ablations/Planar Coagulation and helps prevent ablated tissue from adhering to the tip of the probe.

The antenna of the NEUWAVE<sup>TM</sup> PR probe is designed to limit the length of the ablation for instances when a shorter ablation zone is desired. NEUWAVE<sup>TM</sup> PR Probes were developed to provide physicians with an additional ablation probe option with a different ablation burn pattern compared with NEUWAVE<sup>TM</sup> LK/LN/SR probes. The NEUWAVE<sup>TM</sup> PR probes are designed to produce ablations that quickly encompass the tip of the probe while limiting the overall length of the ablation compared with other NEUWAVE ablation probes.

The wide selection of ablation probes provides physicians with the opportunity to select the length, stiffness, burn pattern and number of probes to use to create a wide variety of ablation zone sizes and shapes.

A surgical clip is a single use sterile surgical accessory designed to hold two microwave ablation probes together in a parallel configuration at a fixed distance apart to facilitate planar coagulation of soft tissue during a surgical resection.

An optional footswitch accessory can be connected to the system to control power delivery in Surgical Mode.

Ablation Confirmation software (previously cleared under K192427) is available as an optional feature for the NEUWAVE<sup>TM</sup> Microwave Ablation System. When this option is supplied, a second monitor is provided with the cart which hosts the Ablation Confirmation graphical user interface.

## Comparison of Intended Use and Indications for Use

The subject device and predicate device have the same Intended Use and Indications for Use as shown in the table below.

	Predicate Device	Subject Device	
Device Characteristics	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories (K200081)	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories with updated Hardware and Software	
Intended Use	To thermally ablate soft tissue using microwave energy.	Same	
Indications for Use	The NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories are indicated for the ablation (coagulation) of soft tissue in percutaneous, open surgical and in conjunction with laparoscopic surgical settings, including the partial or complete ablation of non-resectable liver tumors.  The NEUWAVE <sup>TM</sup> Microwave Ablation System is not indicated for use in cardiac procedures.	Same	

## Comparison of Technological Characteristics with Predicate Device

The subject and the predicate devices are similar in terms of technological characteristics as microwave devices used to ablate soft tissue.

The subject device NEUWAVE<sup>TM</sup> Microwave Ablation System includes some updated but functionally equivalent hardware components which were implemented due to component obsolescence, and a corresponding software update to support the updated hardware. No changes were made that impact the delivery of microwave energy and no changes were made to NEUWAVE<sup>TM</sup> Ablation probes or accessories.

	Predicate Device	Subject Device	
Feature/Specification	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories (K200081)	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories with updated Hardware and Software	
Software Version	V3.1.0 software	V3.1.1	
Probe Applications	Percutaneous, open surgical and in conjunction with laparoscopic surgical settings.	Same	
User Interface Modes	Surgical and Ablation Mode	Same	
Power Delivery Initiation Method	User Interface, Footswitch (Footswitch available in Surgical Mode only) or Finger-Switch	Same	

	<b>Predicate Device</b>		Subject Device	
Feature/Specification	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories (K200081)		NEUWAVE TM Microwave Ablation System and Accessories with updated Hardware and Software	
Probe dimensions				
NEUWAVE <sup>TM</sup> LK Probe	Diameter: 15 gaug	e, Length: 15 cm and 20 cm	Same	
NEOWAVE ERTIOCE	Diameter: 17 gauge, Length: 15 cm and 20 cm		Same	
NEUWAVE <sup>TM</sup> LN Probe	Diameter: 17 gauge, Length: 15 cm and 20 cm		Same	
NEUWAVE <sup>TM</sup> SR Probe	Diameter: 13 gauge, Length: 25 cm		Same	
NEUWAVE <sup>TM</sup> PR Probe	Diameter: 15 gaug	ge, Length: 15 cm and 20 cm		
NEUWAVE PRIODE	Diameter: 17 gaug	e, Length: 15 cm and 20 cm	Same	
NEUWAVE <sup>TM</sup> Surgical PR Probe	Diameter: 15 gauge, Length: 15 cm		Same	
Generator Maximum Ou	itput Power		L	
NEUWAVE <sup>TM</sup> LK Probe  NEUWAVE <sup>TM</sup> LN Probe	Ablation Mode and Surgical Mode:	Single Probe is 140W, Two Probes is 95W, Three Probes is 65W	Same	
NEUWAVE <sup>TM</sup> SR Probe				
NEUWAVE <sup>TM</sup> PR Probe	Ablation Mode:	Single Probe is 65W, Two Probes is 65W, Three Probes is 65W	Same	
	Surgical Mode:	Single Probe is 95W, Two Probes is 95W, Three Probes is 65W	Same	
NEUWAVE <sup>TM</sup> Surgical PR Probe	Ablation Mode:	Single Probe is 80W, Two Probes is 80W, Three Probes is 80W	Same	
	Surgical Mode:	Single Probe is 120W, Two Probes is 120W, Three Probes is 80W	Same	
Antenna Design	Triaxial Antenna for NEUWAVE <sup>TM</sup> LK Probe, NEUWAVE <sup>TM</sup> LN Probe and NEUWAVE <sup>TM</sup> SR Probe		Same	
		Antenna for NEUWAVE <sup>TM</sup> UWAVE <sup>TM</sup> Surgical PR	Same	

	Predicate Device	Subject Device
Feature/Specification	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories (K200081)	NEUWAVE <sup>TM</sup> Microwave Ablation System and Accessories with updated Hardware and Software
Settable Parameters		
Power	NEUWAVE <sup>TM</sup> LK Probe, NEUWAVE <sup>TM</sup> LN Probe and NEUWAVE <sup>TM</sup> SR	Same
	Probe: 20W-140W in 5W	
	NEUWAVE <sup>TM</sup> PR Probe:	Same
	20W-95W in 5 W increments	
	(Ablation Mode maximum is 65W)	
	NEUWAVE <sup>TM</sup> Surgical PR Probes:	Same
	20W-120W in 5 W increments	
	(Ablation Mode maximum is 80W)	
Target Ablation Time	Up to 10 minutes as limited by software.	Same
	User may ablate for additional time after 10 minutes of ablation completion.	
Planar Coagulation Time	5 seconds – 1 Minute	Same
	Dual Probe Clip	Same
Accessories	CT Table Mounting Adapter for PDM	
	Surgical Table Mounting Adapter for PDM	
	Foot switch (Locking USB or Standard USB)	

## **Performance Data**

## **Nonclinical Testing**

Design Verification testing has been completed to assure that the modified NEUWAVE<sup>TM</sup> Microwave Ablation System and accessories meets its design and performance specifications; this testing is summarized in the following table. Electrical safety testing, electromagnetic compatibility testing and ex-vivo ablation tissue testing was conducted in accordance FDA guidance "Premarket Notification (510(k)) Submissions for Electrosurgical Devices. The subject device passed the system level test performance criteria with providing evidence of substantial equivalence between the subject and predicate device.

Test Performed	Description	Pass/Fail
Electrical Safety Testing	Electrical Safety testing was undertaken per IEC 60601-1 3 <sup>rd</sup> Edition to demonstrate that the NEUWAVE <sup>TM</sup> Microwave Ablation System with NEUWAVE <sup>TM</sup> Probes is electrically safe	Pass
Electromagnetic compatibility (EMC) testing	Electromagnetic compatibility (EMC) testing was undertaken per IEC 60601-1-2 4 <sup>th</sup> Edition to demonstrate that the NEUWAVE <sup>TM</sup> Microwave Ablation System with NEUWAVE <sup>TM</sup> Probes complies with the requirements for immunity, radiated and conducted emissions	Pass
Ex-vivo tissue ablation testing	Ex-vivo tissue testing was conducted to demonstrate ablation zone dimensions of the subject and predicate devices are substantially equivalent for identical power settings.	Pass

**Software verification testing** was conducted, and results passed as recommended by *Guidance* for the Content of Premarket Submissions for Software Contained in Medical Devices, dated May 11, 2005.

#### **Clinical Data**

No clinical data was generated or is required to support of this Premarket 510(k) Notification.

#### Cybersecurity

Updates to the underlying operating system were performed to strengthen the cybersecurity posture of the system. Also, an assessment was performed to assure conformance to the FDA's "Content of Premarket Submissions for Management of Cybersecurity in Medical Devices" guidance document.

### Sterilization

No sterilization data was generated or required to support of this Premarket 510(k) Notification.

## **Biocompatibility**

No biocompatibility data was generated or required to support of this Premarket 510(k) Notification.

#### **Standards**

- 60601-1:2005+C1;A2, Medical Electrical Equipment, Part 1: General Requirements For Basic Safety And Essential Performance
- 60601-1:2014 Ed.3, Medical Electrical Equipment, Part 1: General Requirements For Basic Safety And Essential Performance
- 60601-1-6:2010 Ed.3+A1, Medical Electrical Equipment Part 1-6: General Requirements For Basic Safety And Essential Performance Collateral Standard: Usability
- 60601-2-6:2012 Ed.2, Medical Electrical Equipment Part 2-6: Particular Requirements For The Basic Safety & Essential Performance Of Microwave Therapy Equipment
- 60601-2-18:2009 Ed.3, Medical Electrical Equipment Part 2-18: Particular Requirements For The Basic Safety And Essential Performance Of Endoscopic Equipment

#### Conclusion

There are no differences in fundamental technological characteristics of the subject and predicate devices, and the minor changes implemented do not impact the essential performance of the subject device system and accessories. Based on the intended use, technological characteristics, and validation/verification data, the modified NEUWAVE<sup>TM</sup> Microwave Ablation System and Accessories is substantially equivalent to the predicate.