



Shanghai United Imaging Healthcare Co., Ltd.
% Xin Gao
RA Manager
No. 2258 Chengbei Rd., Jiading Industrial District
Shanghai, 201807
CHINA

February 25, 2020

Re: K200016
Trade/Device Name: uCT 530, uCT 550
Regulation Number: 21 CFR 892.1750
Regulation Name: Computed tomography x-ray system
Regulatory Class: Class II
Product Code: JAK
Dated: January 2, 2020
Received: January 3, 2020

Dear Xin Gao:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for

devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance>) and CDRH Learn (<https://www.fda.gov/training-and-continuing-education/cdrh-learn>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice>) for more information or contact DICE by email (DICE@fda.hhs.gov) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

For

Thalia T. Mills, Ph.D.
Director
Division of Radiological Health
OHT7: Office of In Vitro Diagnostics
and Radiological Health
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

Indications for Use

510(k) Number (if known)

K200016

Device Name

uCT 530, uCT 550

Indications for Use (Describe)

The uCT Computed Tomography X-ray System uCT530/550 is a computed tomography X-ray system intended to produce cross-sectional images of the body by computer reconstruction of X-ray transmission data taken at different angles and planes and indicated for the whole body, including head, neck, cardiac (calcium scoring) and vascular.

Type of Use (Select one or both, as applicable)

Prescription Use (Part 21 CFR 801 Subpart D)

Over-The-Counter Use (21 CFR 801 Subpart C)

CONTINUE ON A SEPARATE PAGE IF NEEDED.

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510 (k) SUMMARY

1. Date of Preparation

February 15, 2020

2. Sponsor Identification

Shanghai United Imaging Healthcare Co.,Ltd.

No.2258 Chengbei Rd. Jiading District, 201807, Shanghai, China

Contact Person: Xin Gao

Position: RA Manager

Tel: +86-021-67076888-5386

Fax: +86-021-67076889

Email: xin.gao@united-imaging.com

3. Identification of Proposed Device

Trade Name: uCT 530, uCT 550

Common Name: Computed Tomography X-ray System

Model(s): uCT 530, uCT 550

Regulatory Information

Regulation Number: 21 CFR 892.1750

Regulation Name: Computed Tomography X-ray System

Regulatory Class: II

Product Code: JAK

Review Panel: Radiology

4. Identification of Predicate Device(s)

Primary Predicate Device:

510(k) Number: K181414

Device Name: uCT Computed Tomography X-Ray System

Model(s): uCT 530, uCT 550

Regulatory Information

Regulation Number: 21 CFR 892.1750

Regulation Name: Computed Tomography X-ray System

Regulatory Class: II

Product Code: JAK

Review Panel: Radiology

Secondary Predicate Device:

510(k) Number: K172135

Device Name: uCT Computed Tomography X-Ray System

Model(s): uCT 760, uCT 780

Regulatory Information

Regulation Number: 21 CFR 892.1750

Regulation Name: Computed Tomography X-ray System

Regulatory Class: II

Product Code: JAK

Review Panel: Radiology

5. Device Description:

The uCT 530/uCT 550 is a multi-slice X-ray computed tomography scanner which features a continuously rotating tube-detector system and functions according to the fan beam principle. The system provides the filter back-projection (FBP) algorithm to reconstruct images in DICOM format, which can be used by post-processing applications.

The system consists of the Gantry, X-ray System, Data Management System, Patient Table, Console, Power Supply Cabinet, Image Processing Computer, and Software. The system software is a program used for patient management, data management, X-ray scan control, image reconstruction, and image archive.

A motorized patient table moves the patient through a circular opening in the Gantry. As the patient passes through the Gantry, a source of x rays rotates around the inside of the circular opening. Detectors on the exit side of the patient record the X rays exiting the section of the patient's body being irradiated as an X-ray "snapshot". Many different "snapshots" (angles) are collected during one complete rotation. The data are sent to a computer to reconstruct all of the individual "snapshots" into a cross-sectional image (slice) of the internal organs and tissues for each complete rotation of the source of x rays.

There are two features for denoising and reduce metal artifact, which are KARL iterative denoising reconstruction algorithm and MAC Metal artifact correction algorithm.

This proposed device includes two models: uCT 530, uCT 550. The differences between the two models are as follows:

| Spec. Model | HV Power | Rotation speed | Minimum slice thickness | Maximum slices generated per rotation |
|----------------|----------|---------------------------------|-------------------------|---------------------------------------|
| uCT 530 | 50kW | Up to 0.5 sec per 360° rotation | 0.55mm | 40 |
| uCT 550 | 50kW | Up to 0.5 sec per 360° rotation | 0.55mm | 80 |

The uCT 530, uCT 550 have been previously cleared by FDA via K181414. The modifications performed on the uCT 530, uCT 550 (K181414) in this submission are due to the addition of the calcium scoring scan function. Meanwhile some component descriptions have been updated. The modifications are listed as follows:

- Indications for use: cardiac (calcium scoring) is stated in this submission.
- Hardware: Vital Signal Module (VSM) hardware is added to the system.
- Software: workflow of Calcium scoring scan is added to the system.
- Component Descriptions:
 - Update the description of DMS frame and detector building block (DBB).
 - Update the description of the console PC' CPU.
 - Update the description of the gantry control PC' CPU.
 - Update the description of the monitor's size.

6. Indications for Use

The uCT Computed Tomography X-ray System uCT 530/550 is a computed tomography x-ray system intended to produce cross-sectional images of the body by computer reconstruction of x-ray transmission data taken at different angles and planes and indicated for the whole body, including head, neck, cardiac (calcium scoring) and vascular.

7. Comparison of Technological Characteristics with the Predicate Devices

Table 1 below provides a comparison of the technological characteristics of the proposed device in comparison to the Primary Predicate Device:

Table 1 Comparison of Technological Characteristics

| ITEM | Proposed Device uCT 530, uCT 550 | Primary Predicate Device: uCT 530, uCT 550 (K181414) | Remark |
|----------------|--|---|--------|
| General | | | |
| Product Code | JAK | JAK | Same |
| Regulation No. | 21 CFR 8 92.1750 | 21 CFR 892.1750 | Same |
| Class | II | II | Same |
| Intended Use | The uCT Computed Tomography X-ray System uCT 530/550 is a computed tomography x-ray system intended to produce cross-sectional images of the body by computer reconstruction of x-ray transmission data taken at different angles and planes and indicated for the whole body, including head, neck, cardiac (calcium scoring) and vascular. | The uCT Computed Tomography X-ray System uCT 530/550 is a computed tomography x-ray system intended to produce cross-sectional images of the body by computer reconstruction of x-ray transmission data taken at different angles and planes and indicated for the whole body (including head, neck, vascular). | Note 1 |

| Specifications | | | |
|--|--|---|--------|
| Scan Regime | Continuous Rotation | Continuous Rotation | Same |
| Scan Modes | Scout scan Axial scan Helical scan Contrast enhanced scan Stationary perfusion scan Gating scan | Scout scan Axial scan Helical scan Contrast enhanced scan Stationary perfusion scan | Note 2 |
| Detector Material | Solid-state GOS | Solid-state GOS | Same |
| Z-plane coverage | 22mm | 22mm | Same |
| Size of detector element in Z-plane | 0.55mm | 0.55mm | Same |
| Number of element per row | 864 | 864 | Same |
| Number of detector row | 40 | 40 | Same |
| Maximum slices generated per rotation (multi-slice capability) | 40 for uCT 530 80 for uCT 550 | 40 for uCT 530 80 for uCT 550 | Same |
| Minimum slice thickness | 0.55mm | 0.55mm | Same |
| Maximum sampling rate | Up to 4800 views per 360° | Up to 4800 views per 360° | Same |
| Tube anode storage capacity | 5.3MHU | 5.3MHU | Same |
| Maximum cooling rate | 815 kHU/min | 815 kHU/min | Same |
| Focal spot size | 0.5x1.0mm 1.0x1.0mm | 0.5x1.0mm 1.0x1.0mm | Same |

| | | | |
|------------------------------------|--|--|------|
| Power | 50kW | 50kW | Same |
| mA Range | 10-420mA | 10-420mA | Same |
| kV Settings | 70, 80, 100, 120, 140 | 70, 80, 100, 120, 140 | Same |
| Aperture | 700mm | 700mm | Same |
| Rotation speed | Up to 0.5 sec per 360° rotation | Up to 0.5 sec per 360° rotation | Same |
| Gantry Tilt | ± 30°with 0.5 increment | ± 30°with 0.5 increment | Same |
| Scannable range | 1700 mm | 1700 mm | Same |
| Horizontal motion range | 2180 mm | 2180 mm | Same |
| Table Horizontal Speed | Up to 200mm/sec | Up to 200mm/sec | Same |
| Vertical motion range | 480 mm-950 mm from the floor | 480 mm-950 mm from the floor | Same |
| Vertical speed | Up to 40 mm/sec | Up to 40 mm/sec | Same |
| Table Horizontal Position accuracy | ±0.25mm | ±0.25mm | Same |
| Table Maximum table load | 205kg | 205kg | Same |
| Image Spatial Resolution | High mode: >20 lp/cm @ MTF 0% 16.5±1.7 lp/cm @ MTF10% 11.5±1.2 lp/cm @ MTF50% | High mode: >20 lp/cm @ MTF 0% 16.5±1.7 lp/cm @ MTF10% 11.5±1.2 lp/cm @ MTF50% | Same |
| Image Noise | 3.0±0.5 HU at 120 kV, 5 mm slice thickness, CTDIvol 28.9 mGy | 3.0±0.5 HU at 120 kV, 5 mm slice thickness, CTDIvol 28.9 mGy | Same |
| CT Number Display Range | -1024 ~+8191 HU | -1024 ~+8191 HU | Same |
| Scan Field of View | Up to 500 mm | Up to 500 mm | Same |

| | | | |
|----------------------------------|--|--|--------|
| | 600mm with extend FOV | 600mm with extend FOV | |
| Reconstruction Field of View | 40mm-500mm 40mm-600mm with extend FOV | 40mm-500mm 40mm-600mm with extend FOV | Same |
| Maximum scannable length | 1700mm | 1700mm | Same |
| Image Matrix | Up to 1024 x 1024 | Up to 1024 x 1024 | Same |
| Reconstructed slice thickness | 0.55mm, 1.1mm, 2.2mm, 5.5mm, 11mm (axial) 0.55-10mm (helical) | 0.55mm, 1.1mm, 2.2mm, 5.5mm, 11mm (axial) 0.55-10mm (helical) | Same |
| Pitch | 0.1~2.0 | 0.1~2.0 | Same |
| Maximum continuous exposure time | Up to 100seconds | Up to 100seconds | Same |
| VSM | Operating voltage: 3.7V DC Common-mode rejection [allowed 10V(rms) line-frequency noise]: ≤1mV (p-v RTI) Signal reduction of the injected frequency: ≤20% (0.67Hz ~ 40Hz) System noise: ≤30μV (p-v RTI) Frequency response: 80%~110% Heart rate range: 30bpm~200bpm | Not Applicable | Note 3 |
| Application Features | | | |
| Iterative noise reduction | KARL 3D | KARL 3D | Same |
| | Adaptive Filter | Adaptive Filter | Same |
| Metal artifact reduction | MAC | MAC | Same |
| Safety | | | |
| Electrical Safety | Comply with ES60601-1 | Comply with ES60601-1 | Same |

| | | | |
|----------------------|--|--|------|
| EMC | Comply with IEC60601-1-2 | Comply with IEC60601-1-2 | Same |
| Biocompatibility | Patient Contact Materials were tested and demonstrated no cytotoxicity (ISO 10993-5), no evidence for irritation and sensitization (ISO 10993-10). | Patient Contact Materials were tested and demonstrated no cytotoxicity (ISO 10993-5), no evidence for irritation and sensitization (ISO 10993-10). | Same |
| Clinical | Comparing with the predicate device (K181414), the difference of clinical image is due to the addition of calcium scoring scan function. Sample clinical images of calcium scoring scan provided are of diagnostic quality. | | |
| Justification | | | |
| Note ID | Justification | | |
| Note 1 | The difference in indications for use between the two devices is due to proposed device adds an indicated use for cardiac (calcium scoring). The proposed device supports a prospective ECG-gating axial scanning technique to reduce the artifact of the cardiac motion. ECG gating tests and sample clinical images evaluation of calcium scoring scan showed the proposed device can obtain clinically acceptable calcium scoring images. The difference in indications for use does not affect the safety and effectiveness. | | |
| Note 2 | Provide additional gating scan mode compared with predicate device. For the difference, through ECG gating tests and clinical image evaluation, proposed device can obtain clinically acceptable calcium scoring images. The difference of scan modes does not affect the safety and effectiveness. | | |
| Note 3 | Provide additional Vital Signal Module (VSM) that can transmit the patient's ECG to the system intended to trigger scan. The difference does not affect the safety and effectiveness. | | |

Table 2 below provides a comparison of the technological characteristics of the proposed device in comparison to the Secondary Predicate Device.

Table 2 Comparison of Technological Characteristics

| ITEM | Proposed Device uCT 530, uCT 550 | Secondary Predicate Device uCT 760, uCT 780 (K172135) | Remark |
|-----------------|--|--|--------|
| Hardware | | | |
| VSM | Operating voltage: 3.7V DC Common-mode rejection [allowed 10V(rms) line-frequency noise]: ≤1mV (p-v RTI) | Operating voltage: 3.7V DC Common-mode rejection [allowed 10V(rms) line-frequency noise]: ≤1mV (p-v RTI) | Same |

| | | | |
|---------------------------|--|--|------|
| | Signal reduction of the injected frequency: $\leq 20\%$ (0.67Hz ~ 40Hz) System noise: $\leq 30\mu\text{V}$ (p-v RTI) Frequency response: 80%~110% Heart rate range: 30bpm~200bpm | Signal reduction of the injected frequency: $\leq 20\%$ (0.67Hz ~ 40Hz) System noise: $\leq 30\mu\text{V}$ (p-v RTI) Frequency response: 80%~110% Heart rate range: 30bpm~200bpm | |
| Scan Mode | | | |
| Scout scan | The system supports acquiring and reconstructing image(s) for scout scan including frontal scout, lateral scout, and dual scout. | The system supports acquiring and reconstructing image(s) for scout scan including frontal scout, lateral scout, and dual scout. | Same |
| Axial scan | The system supports acquiring and reconstructing image(s) for axial scan. During the x-ray exposure, the patient table remains stationary; the table position may be incremented between x-ray exposures to collect data over a longer z axis range. | The system supports acquiring and reconstructing image(s) for axial scan. During the x-ray exposure, the patient table remains stationary; the table position may be incremented between x-ray exposures to collect data over a longer z axis range. | Same |
| Helical scan | The system supports acquiring and reconstructing image(s) for helical scan. During the x-ray exposure, the patient table is continuously moving along the z axis. | The system supports acquiring and reconstructing image(s) for helical scan. During the x-ray exposure, the patient table is continuously moving along the z axis. | Same |
| Contrast enhanced scan | The system supports acquiring and reconstructing image(s) for contrast enhanced scan with contrast injection. | The system supports acquiring and reconstructing image(s) for contrast enhanced scan with contrast injection. | Same |
| Stationary perfusion scan | The system supports acquiring and reconstructing image(s) at multiple time points over the same | The system supports acquiring and reconstructing image(s) at multiple time points over the same | Same |

| | | | |
|----------------------|--|---|--------|
| | anatomic location(s) while the patient table remains stationary. | anatomic location(s) while the patient table remains stationary. | |
| Gating Scan | The system supports controlling the x-ray exposure based on the ECG signal. Calcium scoring axial scan | The system supports controlling the x-ray exposure based on the ECG signal. Calcium scoring axial scan Calcium scoring helical scan Coronary CTA axial scan Coronary CTA helical scan | Note 4 |
| Justification | | | |
| Note ID | Justification | | |
| Note 4 | The gating scan of proposed device can be covered by the predicate device, there are no additional applications compared to the predicate device and the description difference of two devices does not affect the safety and effectiveness. | | |

8. Performance Data

The following performance data were provided in support of the substantial equivalence determination.

Non-Clinical Testing

Non-clinical testing including dosimetry and image performance tests were conducted for the uCT 530/uCT 550 during the product development.

UNITED IMAGING HEALTHCARE claims conformance to the following standards and guidance:

Electrical Safety and Electromagnetic Compatibility (EMC)

Electrical Safety and Electromagnetic Compatibility (EMC) testing were conducted on the uCT 530/550 in accordance with the following standards:

- ES 60601-1:2005(R)2012+A1:2012+C1:2009/(R)2012+A2:2010/(R)2012 Medical electrical equipment Part 1: General requirements for basic safety and essential performance
- IEC 60601-2-44 Edition 3.2: 2016, Medical Electrical Equipment - Part 2-44: Particular Requirements For The Basic Safety And Essential Performance Of X-ray Equipment For Computed Tomography
- IEC 60601-1-2:2014, Medical Electrical Equipment - Part 1-2: General Requirements For Basic Safety And Essential Performance - Collateral Standard: Electromagnetic Compatibility - Requirements And Tests
- IEC 60825-1 Edition 2.0 2007-03, Safety Of Laser Products - Part 1: Equipment Classification, And Requirements

Product Particular Standards

- NEMA XR 25-2010, Computed Tomography Dose Check
- NEMA XR 28-2013, Supplemental Requirements For User Information And System Function Related To Dose In CT
- NEMA XR 29-2013, Standard Attributes on CT Equipment Related to Dose Optimization and Management
- IEC 60601-1-3 Edition 2.1 2013-04, Medical Electrical Equipment - Part 1-3: General Requirements For Basic Safety And Essential Performance - Collateral Standard: Radiation Protection In Diagnostic X-ray Equipment
- IEC 61223-3-5 First Edition 2004-08, Evaluation And Routine Testing In Medical Imaging Departments - Part 3-5: Acceptance Tests - Imaging Performance Of Computed Tomography X-ray Equipment

Performance Verification

- Clinical Evaluation for sample clinical images evaluation
- AEC Test Report for AEC performance study
- MAC Performance Evaluation Report
- ECG R-Wave Detection Algorithm Performance Evaluation Report

Software

- NEMA PS 3.1-3.20(2011): Digital Imaging and Communications in Medicine (DICOM)
- IEC 62304: Medical Device Software - software life cycle process
- Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices
- Content of Premarket Submissions for Management of Cybersecurity in Medical Devices

Biocompatibility

- ISO 10993-5 Third Edition 2009-06-01, Biological Evaluation Of Medical Devices - Part 5: Tests For In Vitro Cytotoxicity
- ISO 10993-10 Third Edition 2010-08-01, Biological Evaluation Of Medical Devices - Part 10: Tests For Irritation And Skin Sensitization

Other Standards and Guidances

- ISO 14971: Medical Devices – Application of risk management to medical devices
- Code of Federal Regulations, Title 21, Part 820 - Quality System Regulation
- Code of Federal Regulations, Title 21, Subchapter J - Radiological Health
- Laser Products - Conformance with IEC 60825-1 and IEC 60601-2-22; Guidance for Industry and FDA Staff (Laser Notice No. 50)
- Provision for Alternate Measure of the Computed Tomography Dose Index (CTDI) to Assure Compliance with the Dose Information Requirements of the Federal Performance Standard for Computed Tomography

Software Verification and Validation

Software documentation for a Moderate Level of Concern software per FDA' Guidance Document "Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices" is included as a part of this submission.

The risk analysis was completed and risk control was implemented to mitigate identified hazards. The testing results show that all the software specifications have met the acceptance criteria. Verification and validation testing of the proposed device was found acceptable to support the claim of substantial equivalence.

UNITED IMAGING HEALTHCARE conforms to the Cybersecurity requirements by implementing a process of preventing unauthorized access, modification, misuse or denial of use, or unauthorized use of information that is stored, accessed, or transferred from a medical device to an external recipient. Cybersecurity information in accordance with guidance document “Content of Premarket Submissions for Management of Cybersecurity in Medical Devices” is included in this submission.

Clinical Testing

No Clinical Study is included in this submission.

Summary

The features described in this premarket submission are supported with the results of the testing mentioned above, the uCT 530/uCT 550 was found to have a safety and effectiveness profile that is similar to the predicate device.

9. Conclusions

Based on the comparison and analysis above, the proposed device has same intended use, same performance, equivalence safety and effectiveness as the predicate devices. The differences above between the proposed device and predicate devices do not affect the intended use, the technology characteristics, safety and effectiveness. And no issues are raised regarding to safety and effectiveness. The proposed device is determined to be Substantially Equivalent (SE) to the predicate devices.