

July 29, 2022

Covidien LLC Andrew Berkeland Senior Regulatory Affairs Specialist 6135 Gunbarrel Ave Boulder, Colorado 80301

Re: K213911

Trade/Device Name: Microstream CO2 NanoPod

Regulation Number: 21 CFR 868.1400

Regulation Name: Carbon Dioxide Gas Analyzer

Regulatory Class: Class II Product Code: CCK, BZQ Dated: June 27, 2022 Received: June 28, 2022

#### Dear Andrew Berkeland:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal

statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems">https://www.fda.gov/medical-device-problems</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance</a>) and CDRH Learn (<a href="https://www.fda.gov/training-and-continuing-education/cdrh-learn">https://www.fda.gov/training-and-continuing-education/cdrh-learn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</a>) for more information or contact DICE by email (<a href="DICE@fda.hhs.gov">DICE@fda.hhs.gov</a>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Todd Courtney
Assistant Director
DHT1C: Division of Sleep Disordered
Breathing, Respiratory and
Anesthesia Devices
OHT1: Office of Ophthalmic, Anesthesia,
Respiratory, ENT and Dental Devices
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

## DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

### **Indications for Use**

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

510(k) Number (if known)
K213911
Device Name Microstream™ CO2 NanoPod
Indications for Use (Describe) The Microstream <sup>™</sup> CO2 NanoPod, when connected to the host monitor, is intended to provide continuous, non-invasive measurement and monitoring of carbon dioxide (CO2) during the respiration cycle, EtCO2, FiCO2, and CO2-based
respiration rate for adult, pediatric and neonatal patients, utilizing Microstream <sup>TM</sup> and Microstream <sup>TM</sup> Advance CO2 sampling lines.  The Microstream <sup>TM</sup> CO2 NanoPod also provides the clinician with integrated pulmonary index (IPI), apnea per hour (A/hr) and oxygen desaturation index (ODI) values. IPI is intended for pediatric and adult patients only. A/hr and ODI are
intended for age 22 and up.  IPI and ODI values can be calculated and displayed only if the host monitor collects SpO2 data and provides this SPO2 data to the NanoPod in order to calculate these parameters.  The Microstream <sup>TM</sup> CO2 NanoPod is intended for use in hospitals, hospital-type facilities, during intra-hospital transport
between and within areas of care.
Contraindications: The device is not to be used as an apnea monitor.
Type of Use (Select one or both, as applicable)

# CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

### \*DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.\*

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# Microstream™ CO<sub>2</sub> NanoPod 510(k) Summary

This summary of 510(k) safety and effectiveness information for the Microstream<sup>TM</sup> CO<sub>2</sub> NanoPod is submitted in accordance with the Food and Drug Administration Rule to implement provisions of the Safe Medical Devices Act of 1990 and in conformance with the requirements of 21 CFR §807.92.

### SUBMITTER INFORMATION

Submitted By:

Covidien, Ilc 6135 Gunbarrel Avenue Boulder, CO 80301

Date Prepared: December 14, 2021

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**DEVICE** 

Trade Name: Microstream<sup>TM</sup> CO<sub>2</sub> NanoPod

Classification Name: Capnography - NanoPod

Regulation: 21 CFR 868.1400, 21 CRF 868.2377

Regulatory Class: Class II
Product Code: CCK, BZQ

Review Panel: Anesthesiology

PREDICATE DEVICE

Predicate Manufacturer: Oridion Medical 1987 LTD

Predicate Trade Name: Capnostream™35 Portable Respiratory Monitor

Predicate 510(k): K150272

#### **DEVICE DESCRIPTION**

The subject device of this premarket 510(k) notification is referred to as the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod throughout this submission.

The Microstream<sup>™</sup> CO<sub>2</sub> NanoPod is a finished medical device that when connected to a host monitor is intended to provide continuous, non-invasive measurement and monitoring of carbon dioxide (CO<sub>2</sub>) during the respiration cycle, including End-tidal CO<sub>2</sub> (EtCO<sub>2</sub>), Fractional Concentration of Inspired CO<sub>2</sub> (FiCO<sub>2</sub>), and CO<sub>2</sub> based respiration rate, for adult, pediatric, and neonatal patients utilizing Microstream<sup>™</sup>CO<sub>2</sub> or Microstream<sup>™</sup> Advance CO<sub>2</sub> sampling lines.

The Microstream<sup>™</sup> CO<sub>2</sub> NanoPod also provides the clinician with integrated pulmonary index (IPI), apnea per hour (A/hr), and oxygen desaturation index (ODI) values. The IPI measurement is intended for use in pediatric and adult patients only, and A/hr and ODI measurements are intended for age 22 and up. Both IPI and ODI values can be calculated and displayed only if the host monitor collects SpO<sub>2</sub> data and provides this SpO<sub>2</sub> data to the NanoPod to calculate these parameters.

The Microstream<sup>™</sup> CO<sub>2</sub> NanoPod encloses a NanoMediCO2 capnography module, which measures inspired/expired carbon dioxide and respiration rate. The NanoPod is powered by the host monitor on a DC supply.

The Microstream™ CO<sub>2</sub> NanoPod system includes the following sub-systems:

- NanoPod is the medical device enclosure that encloses the NanoMediCO<sub>2</sub> module. The NanoPod has a connected active cable that has a LEMO connector end, which connects to a host monitoring system.
- NanoMediCO<sub>2</sub> capnography module is enclosed in the NanoPod. The NanoMediCO<sub>2</sub> module measures inspired and expired carbon dioxide and respiration rate. The active cable is what provides bidirectional communication between the Host monitor and the NanoMediCO<sub>2</sub> module enclosed inside the NanoPod.
- Interface Board is enclosed inside NanoPod which consists of an LED exposed on the outside of the NanoPod to provide the functional status of the subject device to the user.
- Cradle is a plastic holder used to connect the NanoPod with a GCX clamp or other mounting solution, which can then be used to mount the NanoPod on a pole or bedrail. There are no sharp edges on the Microstream™ CO₂ NanoPod, which enables the medical device to be held in the hand at times when it is removed from its mounting cradle.

The Microstream<sup>™</sup> and or Microstream<sup>™</sup> Advance CO<sub>2</sub> sampling lines can attach to the NanoPod CO<sub>2</sub> port on the NanoPod enclosure. Microstream<sup>™</sup> Capnography parameters are displayed the Microstream<sup>™</sup> and or Microstream<sup>™</sup> Advance CO<sub>2</sub> sampling line is connected to the NanoPod CO<sub>2</sub> Port and the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod is connected via LEMO connector to a host monitoring system. Please note that the Microstream<sup>™</sup> and Microstream<sup>™</sup> Advance sampling lines have been cleared in their own respective 510K submissions.

### INTENDED USE / INDICATIONS FOR USE

The Microstream<sup>™</sup>CO<sub>2</sub> NanoPod, when connected to the host monitor, is intended to provide continuous, non-invasive measurement and monitoring of carbon dioxide (CO<sub>2</sub>) during the

respiration cycle, EtCO<sub>2</sub>, FiCO<sub>2</sub>, and CO<sub>2</sub>-based respiration rate for adult, pediatric and neonatal patients, utilizing Microstream<sup>™</sup> and Microstream<sup>™</sup> Advance CO<sub>2</sub> sampling lines.

The Microstream<sup>™</sup> CO<sub>2</sub> NanoPod also provides the clinician with integrated pulmonary index (IPI), apnea per hour (A/hr) and oxygen desaturation index (ODI) values. IPI is intended for pediatric and adult patients only. A/hr and ODI are intended for age 22 and up.

IPI and ODI values can be calculated and displayed only if the host monitor collects SpO<sub>2</sub> data and provides this SpO<sub>2</sub> data to the NanoPod in order to calculate these parameters.

The Microstream<sup>™</sup> CO<sub>2</sub> NanoPod is intended for use in hospitals, hospital-type facilities, during intra-hospital transport between and within areas of care.

#### CONTRAINDICATIONS

The device is not to be used as an apnea monitor.

#### TECHNOLOGICAL CHARACTERISTICS

The main difference between the subject device and the predicate, is the fact that the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod is an external single parameter, capnography module, designed to be connected to a host monitor. The predicate Capnostream<sup>™</sup>35 Portable Respiratory Monitor (K150272), is a standalone, dual parameter monitor, equipped with MicroMediCO<sub>2</sub> capnography module (product code CCK) and a pulse oximetry module (product code DQA).

The subject device, the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod, has the same intended population, principles of operation, and fundamental technology as the predicate device, the Capnostream<sup>™</sup>35 Portable Respiratory Monitor. The subject device is a derivative of the capnography module that is inside the predicate device with software and hardware modifications to enable the subject device to be used in conjunction with an Original Equipment Manufacturer (OEM) host monitor.

Based on the results of the verification and validation studies, Covidien has established that the subject device, the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod, is substantially equivalent to the predicate device.

The following technological characteristics were compared between the subject device and the predicate device to demonstrate substantial equivalence.

**Table 1. Comparison of Technological Characteristics** 

Characteristic	Subject Device	Predicate Device K150272
Classification	II	II
Device Classification Name	Carbon Dioxide Gas Analyzer and Breathing Frequency Monitor	Carbon Dioxide Gas Analyzer and Breathing Frequency Monitor
Product Code	CCK, BZQ	CCK DQA MNR
Purpose and Function	Continuous non-invasive monitoring of expired and inspired CO <sub>2</sub> , EtCO <sub>2</sub> ,	Continuous non-invasive monitoring of expired and inspired CO <sub>2</sub> , EtCO <sub>2</sub> ,

Characteristic	Subject Device	Predicate Device K150272
	capnography derived	capnography derived respiration
	respiration rate	rate, SpO2 and pulse rate
Energy Source	Powered by the host monitor	Power supply operating on AC
		90-264VAC, 47 to 63 Hz; alternatively, on a rechargeable
		internal lithium-ion battery or on
		a removable lithium-ion battery.
Target population	Adult, pediatric, and neonatal	Adult, pediatric, and neonatal
	patients	patients
Intended user	Professionally trained health	Professionally trained health
	care providers	care providers
	In hospitals, hospital-type	In hospitals, hospital-type
Where used	facilities, during intra-hospital	facilities, intra-hospital transport,
	transport between and within areas of care.	out-of-hospital Emergency Medical Service applications
	areas or care.	that include ground and air
		transport.
	Non-dispersive infrared (NDIR)	Non-dispersive infrared (NDIR)
Fundamental	(CO <sub>2</sub> ) Spectroscopy	(CO <sub>2</sub> ) Spectroscopy
Technology	and Plethysmography	and Plethysmography
Performance Standards	ISO 80601-2-55	ISO 80601-2-55
		ISO 80601-2-61
Main Safety Standards	IEC 60601-1	IEC 60601-1
	IEC 60601-1-2	IEC 60601-1-2
	IEC 60601-1-6	IEC 60601-2-49
	IEC 80601-2-49 (section	IEC 60601-1-12
	202.8.102, Figure 202 104)	IEC 60601-1-6 IEC 60601-1-8
		ILC 00001-1-0

### PERFORMANCE DATA

The following performance data were provided to support the substantial equivalence determination with the predicate device.

### **Bench Performance Testing**

The subject device, the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod, is substantially equivalent to the predicate device, the Capnostream<sup>™</sup>35 (Portable Respiratory Monitor).

The functional features and the intended use and indications for use of the Microstream<sup>TM</sup>  $CO_2$  NanoPod are substantially equivalent to the predicate device.

The Microstream<sup>™</sup> CO<sub>2</sub> NanoPod has successfully undergone performance, safety, electromagnetic and environmental testing to ensure compliance with expected performance standards.

Software testing was performed to validate the performance of the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod and its substantial equivalence to the predicate device.

A hazard analysis was carried out on the Microstream<sup>™</sup> CO<sub>2</sub> NanoPod in compliance with ISO 14971:2019. This hazard analysis concluded that any residual risks were judged as acceptable when weighed against the intended benefits of use of the device.

### **Animal Performance Testing**

Not Applicable. No animal performance testing was required to demonstrate device safety and effectiveness.

### **Clinical Performance Testing**

Not Applicable. No clinical performance testing was required to demonstrate device safety and effectiveness.

### **CONCLUSIONS**

The subject device, Microstream<sup>™</sup> CO<sub>2</sub> NanoPod is equivalent in intended use, technological characteristics, and performance to the existing legally marketed device. Testing did not raise any issue of safety and effectiveness; therefore, the device is substantially equivalent to the predicate device with respect to safety, effectiveness, indications for use and intended use.