

February 16, 2023

Shanghai United Imaging Healthcare Co., Ltd. % Xin Gao Regulatory Affairs Manager No. 2258 Chengbei Rd., Jiading Industrial District SHANGHAI, SHANGHAI 201807 CHINA

Re: K223028

Trade/Device Name: uCT ATLAS Astound with uWS-CT-Dual Energy Analysis

Regulation Number: 21 CFR 892.1750

Regulation Name: Computed Tomography X-Ray System

Regulatory Class: Class II

Product Code: JAK Dated: January 11, 2023 Received: January 17, 2023

#### Dear Xin Gao:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part

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801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems">https://www.fda.gov/medical-device-problems</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance">https://www.fda.gov/training-and-continuing-education/cdrh-learn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</a>) for more information or contact DICE by email (<a href="DICE@fda.hhs.gov">DICE@fda.hhs.gov</a>) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Lu Jiang, Ph.D. Assistant Director

Diagnostic X-Ray Systems Team

Lu Jiang

DHT8B: Division of Radiological Imaging Devices

and Electronic Products

OHT8: Office of Radiological Health Office of Product Evaluation and Quality Center for Devices and Radiological Health

Enclosure

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

# **Indications for Use**

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

510(k) Number (if known)
K223028
Device Name
uCT ATLAS Astound with uWS-CT-Dual Energy Analysis
Indications for Use (Describe)
uCT ATLAS Astound is a computed tomography x-ray system, which is intended to produce cross-sectional images of the
whole body by computer reconstruction of x-ray transmission data taken at different angles and planes. uCT ATLAS
Astound is applicable to head, whole body, cardiac, and vascular x-ray Computed Tomography.
uCT ATLAS Astound is intended to be used for low dose CT lung cancer screening for the early detection of lung nodules that may represent cancer. The screening must be performed within the established inclusion criteria of programs / protocols that have been approved and published by either a governmental body or professional medical society.  * Please refer to clinical literature, including the results of the National Lung Screening Trial (N Engl J Med 2011; 365:395-409) and subsequent literature, for further information.
uWS-CT-Dual Energy Analysis is a post-processing software package that accepts UIH CT images acquired using different tube voltages and/or tube currents of the same anatomical location. The various materials of an anatomical region of interest have different attenuation coefficients, which depend on the used energy. These differences provide information on the chemical composition of the scanned body materials and enable images to be generated at multiple energies within the available spectrum. uWS-CT-Dual Energy Analysis software combines images acquired with low and high energy spectra to visualize this information.
Type of Use (Select one or both, as applicable)
Prescription Use (Part 21 CFR 801 Subpart D) Over-The-Counter Use (21 CFR 801 Subpart C)
CONTINUE ON A SEPARATE PAGE IF NEEDED.

This section applies only to requirements of the Paperwork Reduction Act of 1995.

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#### **510 (K) SUMMARY**

#### K223028

# 1. Date of Preparation

September 29, 2022

# 2. Sponsor Identification

# Shanghai United Imaging Healthcare Co., Ltd.

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Contact Person: Xin GAO

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# 3. Identification of Proposed Device

**Device Name:** uCT ATLAS Astound with uWS-CT-Dual Energy Analysis

Common Name: Computed Tomography X-ray System

Model(s): uCT ATLAS Astound

# **Regulatory Information**

**Regulation Number:** 21 CFR 892.1750

**Regulation Name:** Computed Tomography X-ray System

Regulatory Class: II Product Code: JAK Review Panel: Radiology

# 4. Identification of Predicate/Reference Device(s)

#### **Predicate Device**

**510(k) Number:** K203448

Device Name: uCT ATLAS with uWS-CT-Dual Energy Analysis

**Regulation Name:** Computed Tomography X-ray System

Regulatory Class: II Product Code: JAK Review Panel: Radiology

### 5. Device Description:



The proposed device uCT ATLAS Astound with uWS-CT-Dual Energy Analysis includes image acquisition hardware, image acquisition, reconstruction and dual energy analysis software, and associated accessories.

The uCT ATLAS Astound is a multi-slice computed tomography scanner that features the following specification and technologies.

- 40 mm z-coverage in a single axial exposure with a 80-row 0.5 mm-slice Z-Detector
- 0.25 s rotation speed for high temporal resolution, and maximum 310 mm/s fast helical scanning capability
- 82 cm bore size, 318 kg (700 lbs) maximum table load capacity allows flexible positioning and access for all patients
- The new generation reconstruction method, Deep IR (also named AIIR), which combines the model-based iterative reconstruction and deep learning technology together, in order to reduce image noise and artifacts, while at the same time improving low contrast detectability and spatial resolution
- The uAI Vision patient positioning assistance

Built upon these technologies, the uCT ATLAS Astound is designed to use less radiation dose. Further, the fast scanning capability benefits the clinical applications, especially for cardiac imaging, dynamic whole organ imaging and fast body and vascular imaging.

The uWS-CT-Dual Energy Analysis is a post-processing software package that accepts UIH CT images acquired using different tube voltages and/or tube currents of the same anatomical location. The various materials of an anatomical region of interest have different attenuation coefficients, which depend on the used energy. These differences provide information on the chemical composition of the scanned body materials. CT dual energy analysis application combines images acquired with low and high energy spectra to visualize this information.

#### 6. Indications for Use

uCT ATLAS Astound is a computed tomography x-ray system, which is intended to produce cross-sectional images of the whole body by computer reconstruction of x-ray transmission data taken at different angles and planes. uCT ATLAS Astound is applicable to head, whole body, cardiac, and vascular x-ray Computed Tomography.

uCT ATLAS Astound is intended to be used for low dose CT lung cancer screening for the early detection of lung nodules that may represent cancer. The screening must be performed within the established inclusion criteria of programs / protocols that have been approved and published by either a governmental body or professional medical society.



\* Please refer to clinical literature, including the results of the National Lung Screening Trial (N Engl J Med 2011; 365:395-409) and subsequent literature, for further information.

uWS-CT-Dual Energy Analysis is a post-processing software package that accepts UIH CT images acquired using different tube voltages and/or tube currents of the same anatomical location. The various materials of an anatomical region of interest have different attenuation coefficients, which depend on the used energy. These differences provide information on the chemical composition of the scanned body materials and enable images to be generated at multiple energies within the available spectrum. uWS-CT-Dual Energy Analysis software combines images acquired with low and high energy spectra to visualize this information.

# 7. Comparison of Technological Characteristics with the Predicate Device

The uCT ATLAS Astound with uWS-CT-Dual Energy Analysis has the same indications for use as the predicate device uCT ATLAS with uWS-CT-Dual Energy Analysis.

A comparison between the technological characteristics of proposed and predicate devices is provided as below.

ITEM	Proposed Device	Predicate Device	Discussion
Specifications	,	1	l
Gantry	Rotation speed: up to	Rotation speed: up to	Same
	0.25s/rotation	0.25s/rotation	
	82cm bore	82cm bore	
Detector	40mm Detector	160mm Detector	Note 1
	Material: Solid-state GOS	Material: Solid-state GOS	
	80 rows,	320 rows,	
	936 channels/row	936 channels/row	
	Size of detector element in	Size of detector element in	
	Z-plane: 0.5mm	Z-plane: 0.5mm	
X-ray tube	60, 70, 80, 100, 120, 140	60, 70, 80, 100, 120, 140	Note 2
	kV	kV	

Table 1 Comparisons to Predicate Device

mA range: 10mA-833mA

mA range: 10mA-667mA,

10mA-833mA(option)



ITEM	Proposed Device	Predicate Device	Discussion
	Anode heat capacity:	Anode heat capacity:	
	30MHU equivalently	30MHU equivalently	
	Maximum anode heat	Maximum anode heat	
	dissipation:	dissipation:	
	20kW(1696kHU/min)	20kW(1696kHU/min)	
	Focal spot size:	Focal spot size:	
	$0.4$ mm $\times$ $0.7$ mm	$0.4$ mm $\times 0.8$ mm	
	$0.6$ mm $\times 0.7$ mm	$0.6$ mm $\times 0.8$ mm	
	$1.1$ mm $\times$ $1.2$ mm	$1.1$ mm $\times$ $1.2$ mm	
High Voltage	80kW, 100kW(option)	100kW	Note 3
Generator	60, 70, 80, 100, 120, 140	60, 70, 80, 100, 120, 140	
	kV	kV	
Patient Table	Max load capacity	Max load capacity	Note 4
	205kg (Standard	318kg	
	Configuration);		
	318kg (High Configuration)		
Reconstruction	40-500mm	40-500mm	Same
Field of View	40-600mm with extended	40-600mm with extended	
	FOV	FOV	
Maximum slices	160	640	Note 5
generated per			
rotation			
Functions	1	I	
Low Dose CT	Yes	Yes	Same
Lung Cancer			
Screening			
Protocol			
uAI Vision-	Yes	Yes	Same
EasyPositioning			
EasyISO			
Easy Range	Yes	Yes	Same
Injector Linkage	Yes	Yes	Same
Remote	Yes	Yes	Same
Assistance			



ITEM	<b>Proposed Device</b>	Predicate Device	Discussion
Auto ALARA	Yes	Yes	Same
kVp			
Auto ALARA mA	Yes	Yes	Same
Organ-Based Auto	Yes	Yes	Same
ALARA mA			
Deep IR (which is	Yes	Yes	Same
also named AIIR)			
KARL 3D	Yes	Yes	Same
CardioXphase	Yes	Yes	Note 6
CardioCapture	Yes	Yes	Note 7
Metal Artifact	Yes	Yes	Same
Correction			

Table 2 Dual Energy comparison to Reference Devices

Item	Proposed device	<b>Predicate Device</b>	Discussion
Dual Energy Scan	Yes	Yes	Same
Dual Energy Analysis			•
Mono Energetic Image	Yes	Yes	Same
Mixed Enhanced Image	Yes	Yes	Same
CNR(Contrast Noise Ratio) Image	Yes	Yes	Same
Water-Iodine Base Material Pair	Yes	Yes	Same
Water-Calcium Base Material Pair	Yes	Yes	Same
Calcium-Iodine Base Material Pair	Yes	Yes	Same
Uric acid-Calcium Base	Yes	Yes	Same
Material Pair			
Image Registration	Yes	Yes	Same
<ul> <li>Effective Atomic Number Images</li> <li>Component analysis of kidney stones, uric acid stones or non-uric acid stones</li> <li>Component analysis of joint gout, uric acid gout or non-uric acid gout</li> </ul>	Yes	Yes	Same
Electron Density Image	Yes	Yes	Same



Virtual Non contrast Images Yes Yes Same

Justificat	tion
Note 1	The detector dimension of the proposed device is shorter than that of the
	predicate device. Detector rows of the proposed device is less than those of the
	predicate device. The shorter detector Z-plane coverage, the longer scanning
	time for CT imaging. The smaller number of rows, the less image information
	obtained.
	The difference did not raise new safety and effectiveness concerns.
Note 2	The proposed device is configured with two different ranges of mA ranges. One
	is same with the predicate device, one is smaller than the predicate device,
	based on the x-ray tube hardware. Smaller mA output that induces lower ability
	of x-ray penetration when scanning the object with high BMI with higher
	possibility of photon starvation.
	Focal spot size of the proposed device is smaller than that of the predicate
	device. Smaller size is helpful for the improvement of resolution.
	The differences did not raise new safety and effectiveness concerns.
Note 3	The proposed device is configured with two kinds of Maximum Output Power.
	One is same with the predicate device, one is smaller than the predicate device.
	Smaller power, lower mA or kV level in X-ray.
	The differences did not raise new safety and effectiveness concerns.
Note 4	The proposed device is configured with two kinds of patient table. One is same
	with the predicate device, one is with lower load capacity than the predicate
	device. The two tables being a major component of the proposed device
	conform to the safety standards such as IEC 60601-1 series and satisfy the
	clinical use.
	The difference did not raise new safety and effectiveness concerns.
Note 5	Maximum slices generated per rotation of the proposed device is less than those
	of the predicate device. The less slices, the longer scanning time for CT
	imaging.
	The difference did not raise new safety and effectiveness concerns.
Note 6	The predicate device can use this function both on axial scan mode and helical
	scan mode. The proposed device only can use this function on helical scan
	mode.
	The difference did not raise new safety and effectiveness concerns.



Note 7	The predicate device can use this function both on axial scan mode and helical
	scan mode. The proposed device only can use this function on helical scan
	mode.
	The difference did not raise new safety and effectiveness concerns.

#### 8. Performance Data

The following performance data were provided in support of the substantial equivalence determination.

# **Non-Clinical Testing**

Non-clinical testing including dosimetry and image performance tests were conducted for the uCT ATLAS Astound with uWS-CT Dual Energy Analysis to verify that the proposed device met all design specifications as it is Substantially Equivalent (SE) to the predicate device.

UNITED IMAGING HEALTHCARE claims conformance to the following standards and guidance:

# **Electrical Safety and Electromagnetic Compatibility (EMC)**

- ➤ ANSI AAMI ES60601-1:2005+A1:2012+A2:2021, Medical electric for basic safety and essential performance (IEC 60601-1:2005, MOD) [Including Amendment 2 (2021)].
- ➤ IEC 60601-1-2: 2014, Edition 4.0, Medical electrical equipment Part 1-2: General requirements for basic safety and essential performance Collateral Standard: Electromagnetic disturbances Requirements and tests.
- ➤ IEC 60601-1-3: 2008+AMD1:2013+A2:2021, Edition 2.2, Medical electrical equipment Part 1-3: General requirements for basic safety and essential performance Collateral Standard: Radiation protection in diagnostic X-ray equipment.
- ➤ IEC 60601-2-44 Edition 3.2: 2016 Medical electrical equipment Part 2-44: Particular requirements for the basic safety and essential performance of x-ray equipment for computed tomography
- ➤ IEC 60601-1-6:2010+A1:2013+A2:2020, Edition 3.2, Medical electrical equipment Part 1-6: General requirements for basic safety and essential performance Collateral standard: Usability.
- ➤ NEMA XR 25-2019, Computed Tomography Dose Check
- ➤ NEMA XR 28-2018, Supplemental Requirements For User Information And System Function Related To Dose In CT
- ➤ NEMA XR 29-2013, Standard Attributes on CT Equipment Related to Dose Optimization and Management
- ➤ IEC 61223-3-5 First Edition 2004-08, Evaluation And Routine Testing In Medical Imaging Departments Part 3-5: Acceptance Tests Imaging Performance Of Computed Tomography X-ray Equipment [Including: Technical Corrigendum 1 (2006)]



#### **Software**

- ➤ NEMA PS 3.1-3.20(2016): Digital Imaging and Communications in Medicine (DICOM)
- Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices
- Content of Premarket Submissions for Management of Cybersecurity in Medical Devices

# **Biocompatibility**

- ➤ ISO 10993-5: 2009, Edition 3.0, Biological evaluation of medical devices Part 5: Tests for in vitro cytotoxicity.
- ➤ ISO 10993-10: 2010, Edition 3.0, Biological evaluation of medical devices Part 10: Tests for irritation and skin sensitization.

#### Other Standards and Guidance

- ➤ ISO 14971: 2019, Edition 3.0, Medical Devices Application of risk management to medical devices
- Code of Federal Regulations, Title 21, Part 820 Quality System Regulation
- ➤ Code of Federal Regulations, Title 21, Subchapter J Radiological Health
- ➤ Provision for Alternate Measure of the Computed Tomography Dose Index (CTDI) to Assure Compliance with the Dose Information Requirements of the Federal Performance Standard for Computed Tomography
- ➤ Laser Product Conformance with IEC 60825-1; Guidance for Industry and FDA Staff (Laser Notice No. 56)

# **Software Verification and Validation**

Software Documentation for a Moderate Level of Concern software per FDA's Guidance Document "Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices" is included as part of this submission. The risk analysis was completed and risk control was implemented to mitigate identified hazards. The testing results show that all the software specifications have met the acceptance criteria. Verification and validation testing of the proposed device was found acceptable to support the claim of substantial equivalence.

UNITED IMAGING HEALTHCARE conforms to the Cybersecurity requirements by implementing a process of preventing unauthorized access, modification, misuse or denial of use, or unauthorized use of information that is stored, accessed, or transferred from a medical device to an external recipient. Cybersecurity information in accordance with guidance document "Content of Premarket Submissions for Management of Cybersecurity in Medical Devices" is included in this submission.

# **Clinical Image Evaluation**



The clinical image evaluation was performed under the proposed device. Sample image of head, neck, chest, abdomen, spine, hip, knee, pelvis and so on were provided with a board certified radiologist to evaluate the image quality in this submission. Each image was reviewed with a statement indicating that image quality are sufficient for clinical diagnosis.

# Summary

The features described in this premarket submission are supported with the results of the testing mentioned above, the uCT ATLAS Astound with uWS-CT Dual Energy Analysis was found to have a safety and effectiveness profile that is similar to the predicate device.

#### 9. Conclusions

Based on the comparison and analysis above, the proposed device has same intended use, similar performance, safety equivalence, and effectiveness as the predicate device. The differences above between the proposed device and predicate device do not affect the intended use, technology characteristics, safety, and effectiveness. And no issues are raised regarding to safety and effectiveness. The proposed device is determined to be Substantially Equivalent (SE) to the predicate device.