

May 13, 2022

LimaCorporate S.p.A % Lacey Harbour Sr. Regulatory and Quality Manager US Lima USA Inc. 2001 NE Green Oaks Blvd. Ste. 100 Arlington, Texas 76006

Re: K213785

Trade/Device Name: SMR TT Hybrid Glenoid, SMR 3-Pegs Cemented Glenoid

Regulation Number: 21 CFR 888.3660

Regulation Name: Shoulder Joint Metal/Polymer Semi-Constrained Cemented Prosthesis

Regulatory Class: Class II

Product Code: KWS, KWT, MBF, PHX

Dated: December 2, 2021 Received: December 6, 2021

# Dear Lacey Harbour:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. Although this letter refers to your product as a device, please be aware that some cleared products may instead be combination products. The 510(k) Premarket Notification Database located at <a href="https://www.accessdata.fda.gov/scripts/cdrh/efdocs/efpmn/pmn.cfm">https://www.accessdata.fda.gov/scripts/cdrh/efdocs/efpmn/pmn.cfm</a> identifies combination product submissions. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the <u>Federal Register</u>.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803) for devices or postmarketing safety reporting (21 CFR 4, Subpart B) for combination products (see <a href="https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products</a>); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820) for devices or current good manufacturing practices (21 CFR 4, Subpart A) for combination products; and, if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <a href="https://www.fda.gov/medical-devices/medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems">https://www.fda.gov/medical-device-problems</a>.

For comprehensive regulatory information about medical devices and radiation-emitting products, including information about labeling regulations, please see Device Advice (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance</a>) and CDRH Learn (<a href="https://www.fda.gov/training-and-continuing-education/cdrh-learn">https://www.fda.gov/training-and-continuing-education/cdrh-learn</a>). Additionally, you may contact the Division of Industry and Consumer Education (DICE) to ask a question about a specific regulatory topic. See the DICE website (<a href="https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice">https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/contact-us-division-industry-and-consumer-education-dice</a>) for more information or contact DICE by email (DICE@fda.hhs.gov) or phone (1-800-638-2041 or 301-796-7100).

Sincerely,

Lixin Liu, Ph.D.
Acting Assistant Director
DHT6A: Division of Joint
Arthroplasty Devices
OHT6: Office of Orthopedic Devices
Office of Product Evaluation and Quality
Center for Devices and Radiological Health

Enclosure

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

# Indications for Use

Form Approved: OMB No. 0910-0120

Expiration Date: 06/30/2023 See PRA Statement below.

510(k) Number (if known)

K213785

### Device Name

SMR TT Hybrid Glenoid SMR 3-Pegs Cemented Glenoid

#### Indications for Use (Describe)

The SMR Shoulder System is intended for partial or total, primary or revision shoulder joint replacement.

The SMR Anatomic Shoulder System is indicated for partial or total, primary or revision shoulder joint replacement in patients suffering from disability due to:

- non-inflammatory degenerative joint disease including osteoarthritis and avascular necrosis;
- inflammatory degenerative joint disease such as rheumatoid arthritis;
- treatment of acute fractures of the humeral head that cannot be treated with other fracture fixation methods;
- revision of a failed primary implant; in case of SMR Short Stems only if sufficient bone stock remains);
- cuff tear arthropathy (CTA Heads only);
- glenoid arthrosis without excessive glenoid bone loss: A1, A2 and B1 according to Walch classification (SMR TT Hybrid Glenoid only).

The SMR Reverse Shoulder System is indicated for primary, fracture or revision total shoulder replacement in a grossly rotator cuff deficient joint with severe arthropathy (disabled shoulder). The patient's joint must be anatomically and structurally suited to receive the selected implants and a functional deltoid muscle is necessary to use the device.

The SMR TT Hybrid Glenoid Reverse Baseplate must not be used in cases of excessive glenoid bone loss and/or when bone graft is needed.

The Modular SMR Shoulder System allows the assembly of components in various humeral and glenoid constructs. The constructs are intended for cemented and uncemented use as specified in the following table.

In the Anatomic shoulder the humeral construct consists of the humeral stem, the humeral body, the adaptor taper and the humeral head. In the Reverse shoulder the humeral construct consists of the humeral stem, the reverse humeral body and the reverse liner. On the humeral side the fixation of the humeral stem determines if the construct is cemented or uncemented.

The Anatomic glenoid construct consists of an all polyethylene glenoid, a polyethylene glenoid with metal peg or a metal back assembled with a liner; the Reverse glenoid consists of a metal back/connector/glenosphere construct or of a peg/baseplate/glenosphere construct. On the glenoid side, the fixation of the all polyethylene glenoid, the polyethylene glenoid with metal peg or the metal back determines if the construct is cemented or uncemented.

System				U	Use	
А	R	Components	Material	Cem	Not Cem	
•	•	SMR Stems (Cemented, Cemented Revision)	Ti6Al4V	Х		
•	•	SMR Stems (Cementless Finned, Cementless Revision)	Ti6Al4V		Х	
•	•	SMR Short Stems (Cementless Finned)	Ti6Al4V		Х	
•		SMR Humeral Bodies (Trauma, Finned)	Ti6Al4V	Х	Х	
•	•	SMR Reverse Humeral Body	Ti6Al4V	Х	Х	
•	•	Humeral Extension	Ti6Al4V	Х	Х	
•		SMR Humeral Heads (Standard*, CTA)	CoCrMo	Х	Х	
•		SMR Adaptor Tapers (Neutral, Eccentric)	Ti6Al4V	Х	Х	
•		SMR CTA Head Adaptor for Reverse Humeral Body	Ti6Al4V	Х	Х	
	•	SMR Glenospheres	CoCrMo		Х	
	•	SMR Connectors*	Ti6Al4V		Х	
	•	Reverse Liners	UHMWPE	Х	Х	
•		SMR Cemented Glenoids	UHMWPE	Х		
•		SMR 3 Pegs Cemented Glenoids	UHMWPE	Х		

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•	• *	SMR TT Hybrid Glenoid	UHMWPE+Ti6Al4V+Ta	Х	Х
	•	SMR TT Hybrid Glenoid Reverse Baseplate + Screw	Ti6Al4V		Х
•	•	SMR Metal Back Glenoids	Ti6Al4V+PoroTi	Х*	Χ*
•	•	SMR TT Metal Back Baseplate	Ti6Al4V	Х*	Χ*
	•	SMR TT Augmented 360 Baseplate	Ti6Al4V		Х
•	•	SMR TT Glenoid Peg	Ti6Al4V	Х	Х
•		SMR Metal Back Liner	UHMWPE	Х*	X*
• *	•	SMR Bone screws	Ti6Al4V		Х

#### **Material Standards**

**Ti6Al4V** (ISO 5832-3 - ASTM F1472) - **CoCrMo** (ISO 5832-12 - ASTM F1537) — **UHMWPE** (ISO 5834-2 - ASTM F648) - **PoroTi Titanium Coating** (ASTM F1580) - **Ta** (ISO13782 - ASTM F560)

#### A= Anatomic / R=Reverse

#### \*NOTE

- In the US, the SMR Metal Backed Glenoid/Liner construct, used as part of the SMR Anatomic Shoulder Replacement, is intended for use with bone cement and should be used without bone screws.
- The SMR Metal Backed Glenoid/Connector/Glenosphere construct, used as part of the SMR Reverse Shoulder replacement, is intended for uncemented use with the addition of screws for fixation.
- SMR Lateralized Connectors are not indicated for use with glenoid bone grafting techniques.
- In the US the SMR TT Metal Back Baseplate used as part of the SMR Anatomic Shoulder Replacement, is intended for use with bone
  cement and should be used without bone screws; while when used as part of the SMR Reverse Shoulder replacement, is intended
  for uncemented use with the addition of screws for fixation.
- If a SMR TT Hybrid Glenoid is in place and revision to a reverse prosthesis is required, the patient can be revised by removing the polyethylene baseplate, leaving the metal peg in place and by connecting it to the SMR TT Hybrid Glenoid Reverse Baseplate. The SMR TT Hybrid Glenoid Reverse Baseplate is intended for uncemented use with the addition of screws for fixation.
- The Dia. 50, 52 and 54mm Humeral Heads with + 3mm increased height cannot be coupled to the Long Adaptor Tapers (both concentric and eccentric). The Dia. 52 and 54mm Humeral Heads with + 2mm increased height cannot be coupled to the Long Adaptor Tapers (both concentric and eccentric).

Type of Use (Select one or both, as applicable)				
Prescription Use (Part 21 CFR 801 Subpart D)	Over-The-Counter Use (21 CFR 801 Subpart C)			

This section applies only to requirements of the Paperwork Reduction Act of 1995.

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FORM FDA 3881 (6/20)

PSC Publishing Services (301) 443-6740 EF

**510(k) number:** K213785

**Device Trade Name:** SMR TT Hybrid Glenoid and SMR 3-Pegs Cemented

Glenoid

Manufacturer: LimaCorporate S.p.A

Via Nazionale, 52

33038 Villanova di San Daniele del Friuli

Udine, Italy

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lacey.harbour@limacorporate.com

**Date Prepared:** May 13, 2022

Classification: 21 CFR 888.3660 Shoulder joint metal/polymer semi-

constrained cemented prosthesis.

21 CFR 888.3650 Shoulder joint metal/polymer non-

constrained cemented prosthesis.

21 CFR 888.3670 Shoulder joint metal/polymer/metal nonconstrained or semi-constrained porous-coated

uncemented prosthesis.

Class:

**Product Code:** MBF, PHX, KWS, KWT

**Predicate Devices:** Primary Predicate:

SMR Anatomic Shoulder System, LimaCorporate S.p.A

K163397

#### **Indications for Use:**

The SMR Shoulder System is intended for partial or total, primary or revision shoulder joint replacement.

The SMR Anatomic Shoulder System is indicated for partial or total, primary or revision shoulder joint replacement in patients suffering from disability due to:

- non-inflammatory degenerative joint disease including osteoarthritis and avascular necrosis:
- inflammatory degenerative joint disease such as rheumatoid arthritis;
- treatment of acute fractures of the humeral head that cannot be treated with other fracture fixation methods;
- revision of a failed primary implant; in case of SMR Short Stems only if sufficient bone stock remains);
- cuff tear arthropathy (CTA Heads only);
- glenoid arthrosis without excessive glenoid bone loss: A1, A2 and B1 according to Walch classification (SMR TT Hybrid Glenoid only).

The SMR Reverse Shoulder System is indicated for primary, fracture or revision total shoulder replacement in a grossly rotator cuff deficient joint with severe arthropathy (disabled shoulder). The patient's joint must be anatomically and structurally suited to receive the selected implants and a functional deltoid muscle is necessary to use the device. The SMR TT Hybrid Glenoid Reverse Baseplate must not be used in cases of excessive glenoid bone loss and/or when bone graft is needed.

The Modular SMR Shoulder System allows the assembly of components in various humeral and glenoid constructs. The constructs are intended for cemented and uncemented use as specified in the following table.

In the Anatomic shoulder the humeral construct consists of the humeral stem, the humeral body, the adaptor taper and the humeral head. In the Reverse shoulder the humeral construct consists of the humeral stem, the reverse humeral body and the reverse liner. On the humeral side the fixation of the humeral stem determines if the construct is cemented or uncemented.

The Anatomic glenoid construct consists of an all polyethylene glenoid, a polyethylene glenoid with metal peg or a metal back assembled with a liner; the Reverse glenoid consists of a metal back/connector/glenosphere construct or of a peg/baseplate/glenosphere construct.

On the glenoid side, the fixation of the all polyethylene glenoid, the polyethylene glenoid with metal peg or the metal back determines if the construct is cemented or uncemented.

System				Use	
A	R	Components	Material	Cem	Not Cem
•	•	SMR Stems (Cemented, Cemented Revision)	Ti6Al4V	X	
•	•	SMR Stems (Cementless Finned, Cementless Revision)	Ti6Al4V		X
•	•	SMR Short Stems (Cementless Finned)	Ti6Al4V		X

System				Use	
A	R	Components	Material	Cem	Not Cem
•		SMR Humeral Bodies (Trauma, Finned)	Ti6Al4V	X	X
•	•	SMR Reverse Humeral Body	Ti6Al4V	X	X
•	•	Humeral Extension	Ti6Al4V	X	X
•		SMR Humeral Heads (Standard*, CTA)	CoCrMo	X	X
•		SMR Adaptor Tapers (Neutral, Eccentric)	Ti6Al4V	X	X
•		SMR CTA Head Adaptor for Reverse Humeral Body	Ti6Al4V	X	X
	•	SMR Glenospheres	CoCrMo		X
	•	SMR Connectors*	Ti6Al4V		X
	•	Reverse Liners	UHMWPE	X	X
•		SMR Cemented Glenoids	UHMWPE	X	
•		SMR 3 Pegs Cemented Glenoids	UHMWPE	X	
•	• *	SMR TT Hybrid Glenoid	UHMWPE+Ti6Al4V+Ta	X	X
	•	SMR TT Hybrid Glenoid Reverse Baseplate + Screw	Ti6Al4V		X
•	•	SMR Metal Back Glenoids	Ti6Al4V+PoroTi	X*	X*
•	•	SMR TT Baseplate	Ti6Al4V	X*	X*
	•	SMR TT Augmented 360 Baseplate	Ti6Al4V		X
•	•	SMR TT Glenoid Peg	Ti6Al4V	X	X
•		SMR Metal Back Liner	UHMWPE	X*	X*
• *	•	SMR Bone screws	Ti6Al4V		X
		andards			
Ti6A	14V (IS	SO 5832-3 - ASTM F1472) - <b>CoCrMo</b> (ISO 5832-12 - AST	M F1537) – <b>UHMWPE</b> (ISO 5	834-2	ASTM

# A= Anatomic / R=Reverse

## \*NOTE:

• In the US, the SMR Metal Backed Glenoid/Liner construct, used as part of the SMR Anatomic Shoulder Replacement, is intended for use with bone cement and should be used without bone screws.

F648) - **PoroTi Titanium Coating** (ASTM F1580) - **Ta** (ISO13782 - ASTM F560)

- The SMR Metal Backed Glenoid/Connector/Glenosphere construct, used as part of the SMR Reverse Shoulder replacement, is intended for uncemented use with the addition of screws for fixation.
- SMR Lateralized Connectors are not indicated for use with glenoid bone grafting techniques.
- In the US the SMR TT Metal Back Baseplate used as part of the SMR Anatomic Shoulder Replacement, is intended for use with bone cement and should be used without bone screws; while when used as part of the SMR Reverse Shoulder replacement, is intended for uncemented use with the addition of screws for fixation.
- If a SMR TT Hybrid Glenoid is in place and revision to a reverse prosthesis is required, the patient can be revised by removing the polyethylene baseplate, leaving the metal peg in place and by connecting it to the SMR TT Hybrid Glenoid Reverse Baseplate. The SMR TT Hybrid Glenoid Reverse Baseplate is intended for uncemented use with the addition of screws for fixation.

• The Dia. 50, 52 and 54mm Humeral Heads with + 3mm increased height cannot be coupled to the Long Adaptor Tapers (both concentric and eccentric). The Dia. 52 and 54mm Humeral Heads with + 2mm increased height cannot be coupled to the Long Adaptor Tapers (both concentric and eccentric).

# **Device Description:**

The SMR Shoulder System is a shoulder system. The SMR TT Hybrid Glenoid and the SMR 3-Pegs Cemented Glenoid are glenoid components intended to be used in combination with the previously cleared components of the SMR Shoulder System (humeral stems, humeral bodies, adaptor tapers, humeral heads) to achieve an anatomic configuration.

The purpose of the Special 510(k) is to add an alternative sterilization method for SMR TT Hybrid Glenoid and SMR 3-Pegs Cemented Glenoid.

The SMR TT Hybrid Glenoid is composed by a poly baseplate and a peg. The poly baseplate is manufactured from conventional (non-crosslinked) UHMWPE (ISO 5834-2 ASTM F648) and Tantalum (ISO 13782 ASTM F560). The peg is manufactured from Ti6Al4V (ISO 5832-3 ASTM F1472).

The SMR 3-Pegs Cemented Glenoid is manufactured from conventional (non-crosslinked) UHMWPE (ISO 5834-2 ASTM F648).

The SMR TT Hybrid Glenoid and SMR 3-Pegs Cemented Glenoid can be sterilized using a minimum gamma radiation dose of 25 kGy.

# **Substantial Equivalence:**

The SMR TT Hybrid Glenoid and SMR 3-Pegs Cemented Glenoid are substantially equivalent to the predicate devices cited on the previous page with respect to indications, design, function, and performance.

# **Preclinical Testing:**

The following activities were performed:

- Sterilization validation
- Packaging validation
- UHMWPE Characterization

# **Clinical Testing:**

Clinical testing was not necessary to support substantial equivalence.

### **Conclusion:**

The SMR TT Hybrid Glenoid and the SMR 3-Pegs Cemented Glenoid possesses the same intended use and similar technological characteristics as the predicate devices. Therefore, the SMR TT Hybrid Glenoid and the SMR 3-Pegs Cemented Glenoid are substantially equivalent to the respective predicate device identified in this premarket notification.