

June 7, 2022

Foundation Medicine, Inc. Ryan Woodhouse Director, Regulatory Affairs 150 Second Street, 1st Floor Cambridge, MA 02141

Re: P170019/S014

Trade/Device Name: FoundationOne CDx

Product Code: PQP

Filed: December 16, 2019

Amended: April 16, 2020, May 4, 2020, June 26, 2020, November 13, 2020, April 15, 2021, May 26,

2021, and December 20, 2021

Dear Ryan Woodhouse:

The Center for Devices and Radiological Health (CDRH) of the Food and Drug Administration (FDA) has completed its review of your premarket approval application (PMA) supplement for the FoundationOne CDx (F1CDx) to include a companion diagnostic indication for *NTRK1*, *NTRK2*, and *NTRK3* fusions in patients with solid tumors and for *ROS1* fusions in patients with non-small cell lung cancer who may benefit from treatment with ROZLYTREK® (entrectinib). This device is indicated for the following:

FoundationOne®CDx (F1CDx) is a qualitative next generation sequencing based *in vitro* diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels) and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

Table 1. Companion diagnostic indications

Indication	Biomarker	Therapy
Non-small cell lung	EGFR exon 19 deletions and EGFR	EGFR tyrosine kinase inhibitors
cancer (NSCLC)	exon 21 L858R alterations	(TKI) approved by FDA*
	EGFR exon 20 T790M alterations	TAGRISSO® (osimertinib)
	ALK rearrangements	ALECENSA® (alectinib),
	_	ALUNBRIG® (brigatinib)

Indication	Biomarker	Therapy
		XALKORI® (crizotinib), or
		ZYKADIA® (ceritinib)
	BRAF V600E	TAFINLAR® (dabrafenib) in
		combination with MEKINIST®
		(trametinib)
	MET single nucleotide variants	TABRECTA [™] (capmatinib)
	(SNVs) and indels that lead to MET	,
	exon 14 skipping	
	ROS1 fusions	ROZLYTREK® (entrectinib)
Melanoma	BRAF V600E	BRAF Inhibitors approved by
		FDA*
	BRAF V600E and V600K	MEKINIST® (trametinib) or
		BRAF/MEK Inhibitor
		Combinations approved by
		FDA*
	BRAF V600 mutation-positive	TECENTRIQ® (atezolizumab) in
	_	combination with COTELLIC®
		(cobimetinib) and ZELBORAF®
		(vemurafenib)
Breast cancer	ERBB2 (HER2) amplification	HERCEPTIN® (trastuzumab),
		KADCYLA® (ado-trastuzumab-
		emtansine), or
		PERJETA® (pertuzumab)
	<i>PIK3CA</i> C420R, E542K, E545A,	PIQRAY® (alpelisib)
	E545D [1635G>T only], E545G,	
	E545K, Q546E, Q546R, H1047L,	
	H1047R, and H1047Y alterations	
Colorectal cancer	KRAS wild-type (absence of mutations	ERBITUX® (cetuximab)
	in codons 12 and 13)	
	KRAS wild-type (absence of mutations	VECTIBIX® (panitumumab)
	in exons 2, 3, and 4) and NRAS wild-	
	type (absence of mutations in exons 2,	
	3, and 4)	
Ovarian cancer	BRCA1/2 alterations	LYNPARZA® (olaparib) or
		RUBRACA® (rucaparib)
Cholangiocarcinoma	FGFR2 fusions and select	PEMAZYRE TM (pemigatinib) or
	rearrangements	TRUSELTIQ TM (infigratinib)
Prostate cancer	Homologous Recombination Repair	
	(HRR) gene (BRCA1, BRCA2, ATM,	
	BARD1, BRIP1, CDK12, CHEK1,	LYNPARZA® (olaparib)
	CHEK2, FANCL, PALB2, RAD51B,	
	RAD51C, RAD51D and RAD54L)	
	alterations	
Solid tumors	$TMB \ge 10$ mutations per megabase	KEYTRUDA® (pembrolizumab)

Indication	Biomarker	Therapy
	NTRK1/2/3 fusions	ROZLYTREK® (entrectinib) or
		VITRAKVI® (larotrectinib)
	MSI-High	KEYTRUDA® (pembrolizumab)

^{*}For the most current information about the therapeutic products in this group, go to: https://www.fda.gov/medical-devices/in-vitro-diagnostics/list-cleared-or-approved-companion-diagnostic-devices-in-vitro-and-imaging-tools

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (F1CDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from RUBRACA (rucaparib) maintenance therapy in accordance with the RUBRACA product label.

The F1CDx assay will be performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

We are pleased to inform you that the PMA supplement is approved. You may begin commercial distribution of the device in accordance with the conditions of approval described below. Although this letter refers to your product as a device, please be aware that some approved products may instead be combination products. The Premarket Approval Database located at https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMA/pma.cfm identifies combination product submissions.

The sale and distribution of this device are restricted to prescription use in accordance with 21 CFR 801.109 and under section 515(d)(1)(B)(ii) of the Federal Food, Drug, and Cosmetic Act (the act). The device is further restricted under section 515(d)(1)(B)(ii) of the act insofar as the device is to be distributed only with serial number-controlled instruments and only to Foundation Medicine, Inc. at 150 Second Street, Cambridge, MA 02141 and 7010 Kit Creek Road, Morrisville, NC 27560. FDA has determined that these restrictions on sale and distribution are necessary to provide reasonable assurance of the safety and effectiveness of the device. Your device is therefore a restricted device subject to the requirements in sections 502(q) and (r) of the act, in addition to the many other FDA requirements governing the manufacture, distribution, and marketing of devices.

Expiration dating for this device has been established and approved as follows: library construction reagents, hybrid capture reagents, and sequencing reagents may be stored between 4°C and -20°C for up to 90 days; DNA samples may be stored at 4°C for up to 6 weeks and -20°C for up to 5 months. This is to advise you that the protocol you used to establish this expiration dating is considered an approved protocol for the purpose of extending the expiration dating as provided by 21 CFR 814.39(a)(7).

Continued approval of the PMA is contingent upon the submission of periodic reports, required under 21 CFR 814.84, at intervals of one year (unless otherwise specified) from the date of approval of the original PMA. This report, identified as "Annual Report" and bearing the applicable PMA reference number, should be submitted to the address below. The Annual Report should indicate the beginning and ending date of the period covered by the report and should include the information required by 21 CFR 814.84.

In addition to the above, and in order to provide continued reasonable assurance of the safety and effectiveness of the PMA device, the Annual Report must include, separately for each model number (if applicable), the number of devices sold and distributed during the reporting period, including those distributed to distributors. The distribution data will serve as a denominator and provide necessary context for FDA to ascertain the frequency and prevalence of adverse events, as FDA evaluates the continued safety and effectiveness of the device.

You have agreed to provide the following non-clinical information in a report, which may be followed by a PMA supplement where applicable.

- 1. Foundation Medicine, Inc. (FMI) must provide data from a site-to-site precision study and an intermediate precision study (using analyte levels at 1x-1.5x LoD) for at least two solid tumor samples that are biomarker positive by the biomarker calling rules for F1CDx for *NTRK1* and *NTRK2* rearrangements as conditions of approval, namely one additional sample for *NTRK1* fusion positive and one additional *NTRK2* fusion positive sample. These results must be adequate to confirm the safety and effectiveness of the FoundationOne CDx device.
- 2. Foundation Medicine, Inc. (FMI) must provide detailed protocols, including acceptance criteria where appropriate, for a site-to-site precision study and an intermediate precision study (using analyte levels at 1x-1.5x LoD) for at least two NSCLC samples biomarker positive by the biomarker calling rules for F1CDx for *ROS1* rearrangements as conditions of approval. These studies must be adequate to confirm the safety and effectiveness of the FoundationOne CDx device and must include a detailed description of the numbers of sample to be tested, the type of samples to be tested, the complete testing protocol, and a robust statistical analysis plan, as applicable. These protocols must be submitted to FDA no later than 60 days after approval.

Be advised that failure to comply with any post-approval requirement, including the analytical studies to support identification of patients with solid tumors with *NTRK1/2/3* fusions or NSCLC patients with *ROS1* fusions who may benefit from treatment with Rozlytrek® (entrectinib) requested above constitutes grounds for FDA withdrawal of approval of the PMA in accordance with 21 CFR 814.82(c) and 814.46(a)(2).

In addition to the Annual Report requirements, you must provide the following data in post-approval study (PAS) reports for each PAS listed below. Separate PAS Progress Reports must be submitted for each study every six (6) months during the first two (2) years of the study and annually thereafter, unless otherwise specified by FDA. Each report, identified as a PMA Post-Approval Study Report" in accordance with how the study is identified below and bearing the applicable PMA reference number, should be submitted to the address below.

1. FMI must provide clinical outcome data (e.g., Real World Evidence, direct clinical data) in the post-market setting in order to confirm the clinical effectiveness of F1CDx as a companion diagnostic (CDx) device for identification of patients with solid tumors with NTRK1/2/3 fusions and NSCLC patients with ROS1 fusions who may benefit from treatment with ROZLYTREK. A complete study protocol considered sufficient by FDA is required within 60 days of approval of P170019/S014. FMI must submit interim study reports every

six months following approval of P170019/S014. The final study data, study conclusions, and labeling revisions should be submitted within 2 years of the PMA approval date.

Each PAS report should be submitted to the address below identified as a "PMA Post-Approval Study Report" in accordance with how the study is identified above and bearing the applicable PMA reference number.

Be advised that failure to comply with any post-approval requirement, including the clinical studies to support identification of patients with solid tumors with *NTRK1/2/3* fusions or NSCLC patients with *ROS1* fusions who may benefit from treatment with Rozlytrek® (entrectinib) requested above constitutes grounds for FDA withdrawal of approval of the PMA in accordance with 21 CFR 814.82(c) and 814.46(a)(2).

Be advised that the failure to conduct any such study in compliance with the good clinical laboratory practices in 21 CFR part 58 (if a non-clinical study subject to part 58) or the institutional review board regulations in 21 CFR part 56 and the informed consent regulations in 21 CFR part 50 (if a clinical study involving human subjects) may be grounds for FDA withdrawal of approval of the PMA in accordance with 21 CFR 814.46(a)(3)-(4).

Be advised that protocol information, interim and final results will be published on the Post Approval Study Webpage https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMA/pma_pas.cfm.

In addition, the results from any post approval study should be included in the labeling as these data become available. Any updated labeling must be submitted to FDA in the form of a PMA Supplement. For more information on post-approval studies, see the FDA guidance document entitled, "Procedures for Handling Post-Approval Studies Imposed by PMA Order" (https://www.fda.gov/media/71327/download).

This is a reminder that as of September 24, 2014, class III devices are subject to certain provisions of the final Unique Device Identification (UDI) rule. These provisions include the requirement to provide a UDI on the device label and packages (21 CFR 801.20), format dates on the device label in accordance with 21 CFR 801.18, and submit data to the Global Unique Device Identification Database (GUDID) (21 CFR 830 Subpart E). Additionally, 21 CFR 814.84 (b)(4) requires PMA annual reports submitted after September 24, 2014, to identify each device identifier currently in use for the subject device, and the device identifiers for devices that have been discontinued since the previous periodic report. It is not necessary to identify any device identifier discontinued prior to December 23, 2013. Combination Products may also be subject to UDI requirements (see 21 CFR 801.30). For more information on these requirements, please see the UDI website, https://www.fda.gov/medical-devices/device-advice-comprehensive-regulatory-assistance/unique-device-identification-udi-system.

Before making any change affecting the safety or effectiveness of the PMA device, you must submit a PMA supplement or an alternate submission (30-day notice) in accordance with 21 CFR 814.39. All PMA supplements and alternate submissions (30-day notice) must comply with the applicable requirements in 21 CFR 814.39. For more information, please refer to the FDA guidance document entitled, "Modifications to Devices Subject to Premarket Approval (PMA) - The PMA Supplement Decision-Making Process" https://www.fda.gov/media/81431/download.

You are reminded that many FDA requirements govern the manufacture, distribution, and marketing of devices. For example, in accordance with the Medical Device Reporting (MDR) regulation, 21 CFR 803.50 and 21 CFR 803.52 for devices or post-marketing safety reporting (21 CFR 4, Subpart B) for combination products, you are required to report adverse events for this device. Manufacturers of medical devices, including in vitro diagnostic devices, are required to report to FDA no later than 30 calendar days after the day they receive or otherwise becomes aware of information, from any source, that reasonably suggests that one of their marketed devices:

- 1. May have caused or contributed to a death or serious injury; or
- 2. Has malfunctioned and such device or similar device marketed by the manufacturer would be likely to cause or contribute to a death or serious injury if the malfunction were to recur.

Additional information on MDR, including how, when, and where to report, is available at https://www.fda.gov/medical-device-medical-device-safety/medical-device-reporting-mdr-how-report-medical-device-problems and on combination product post-marketing safety reporting is available at (see https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products">https://www.fda.gov/combination-products/guidance-regulatory-information/postmarketing-safety-reporting-combination-products).

In accordance with the recall requirements specified in 21 CFR 806.10 for devices or the post-marketing safety reporting requirements (21 CFR 4, Subpart B) for combination products, you are required to submit a written report to FDA of any correction or removal of this device initiated by you to: (1) reduce a risk to health posed by the device; or (2) remedy a violation of the act caused by the device which may present a risk to health, with certain exceptions specified in 21 CFR 806.10(a)(2). Additional information on recalls is available at

https://www.fda.gov/safety/recalls-market-withdrawals-safety-alerts/industry-guidance-recalls.

CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading. CDRH will notify the public of its decision to approve your PMA by making available, among other information, a summary of the safety and effectiveness data upon which the approval is based. The information can be found on the FDA CDRH Internet Home Page located at

https://www.fda.gov/medical-devices/device-approvals-denials-and-clearances/pma-approvals. Written requests for this information can also be made to the Food and Drug Administration, Dockets Management Branch, (HFA-305), 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. The written request should include the PMA number or docket number. Within 30 days from the date that this information is placed on the Internet, any interested person may seek review of this decision by submitting a petition for review under section 515(g) of the act and requesting either a hearing or review by an independent advisory committee. FDA may, for good cause, extend this 30-day filing period.

Failure to comply with any post-approval requirement constitutes a ground for withdrawal of approval of a PMA. The introduction or delivery for introduction into interstate commerce of a device that is not in compliance with its conditions of approval is a violation of law.

You are reminded that, as soon as possible and before commercial distribution of your device, you must submit an amendment to this PMA submission with a copy of all final labeling. Final labeling that is

identical to the labeling approved in draft form will not routinely be reviewed by FDA staff when accompanied by a cover letter stating that the final labeling is identical to the labeling approved in draft form. If the final labeling is not identical, any changes from the final draft labeling should be highlighted and explained in the amendment.

All required documents should be submitted, unless otherwise specified, to the address below and should reference the above PMA number to facilitate processing.

U.S. Food and Drug Administration Center for Devices and Radiological Health Document Control Center - WO66-G609 10903 New Hampshire Avenue Silver Spring, MD 20993-0002

If you have any questions concerning this approval order, please contact Abdelrahmman Abukhdeir at 240-402-6482 or <u>Abdelrahmman.Abukhdeir@fda.hhs.gov</u>.

Sincerely,

Wendy S. Rubinstein -S

Wendy Rubinstein, MD, PhD
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